

June 30, 2013

The Honorable Andrew Cuomo
Governor, State of New York
State Capitol Executive Chamber
Albany, NY 12224

Re: Annual Program Report – Fiscal Year 2012-2013

Dear Governor Cuomo:

I am pleased to provide you with the Empire State Development (ESD) Annual Program Report for Fiscal Year 2012-2013, as required by statute. The report, which provides information on the status of proposals for assistance from ESD's economic development programs, is organized into the following sections:

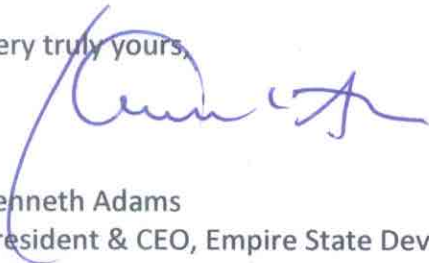
- Proposals for Assistance: Approved;
- Proposals for Assistance: Evaluated – Not Yet Approved; and
- Proposals for Assistance: Evaluated – No Further Action Recommended.

The following appropriation categories are included:

- Community Development Financial Institution Program
- Downstate Revitalization Fund
- Entrepreneurial Assistance Program
- Economic Development Purposes Fund
- Economic Transformation Program
- Empire State Economic Development Fund
- Innovate New York Fund
- Job Development Authority
- JOBS Now Program
- Metropolitan Economic Revitalization Fund
- Minority- and Women-owned Business Development and Lending Program
- Regional Council Capital Fund
- Restore New York Communities Initiative
- Small Business Revolving Loan Fund
- Urban and Community Development Program
- Upstate Regional Blueprint Fund

It has been a pleasure working together to foster your economic vision for New York State.

Very truly yours,



Kenneth Adams
President & CEO, Empire State Development
Commissioner, NYS Department of Economic Development

cc: Chairperson of the Senate Committee on Finance
Chairperson of the Senate Committee on Commerce, Economic Development and Small Business
Chairperson of the Senate Committee on Corporations, Authorities and Commissions
Chairperson of the Assembly Committee on Ways and Means
Chairperson of the Assembly Committee on Commerce, Industry, and Economic Development
Chairperson of the Assembly Committee on Corporations, Authorities and Commissions
Chairperson of the Assembly Committee on Small Business

As required by statute, this report contains the status of economic development programs administered by the Urban Development Corporation, doing business as Empire State Development (“ESD”). This report covers the fiscal year from April 1, 2012, through March 31, 2013. Projects contained in this report are comprised of Proposals for Assistance: Approved; Proposals for Assistance: Evaluated Not Yet Approved; and Proposals for Assistance: Evaluated No Further Action Recommended. During this fiscal period, the ESD Directors approved 169 projects totaling \$174,488,186 in funding commitments.

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- Summary by Program and Region
- Project Detail by Region

Section 3: Proposals for Assistance Evaluated – No Further Action Recommended

- Summary by Program and Region

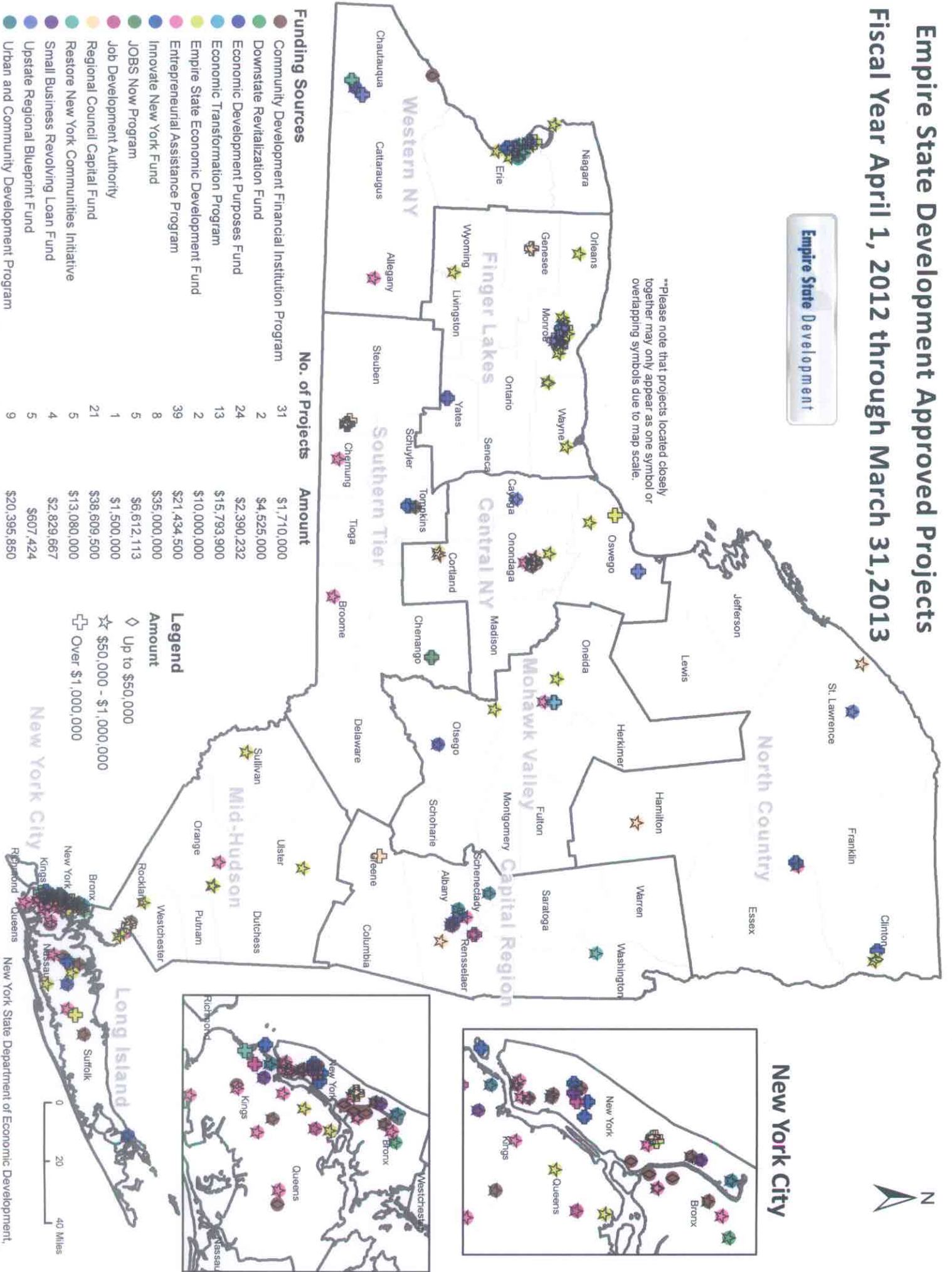
Empire State Development Approved Projects

Fiscal Year April 1, 2012 through March 31, 2013



Empire State Development

**Please note that projects located closely together may only appear as one symbol or overlapping symbols due to map scale.



Funding Sources	No. of Projects	Amount
Community Development Financial Institution Program	31	\$1,710,000
Downstate Revitalization Fund	2	\$4,525,000
Economic Development Purposes Fund	24	\$2,390,232
Economic Transformation Program	13	\$15,793,900
Empire State Economic Development Fund	2	\$10,000,000
Entrepreneurial Assistance Program	39	\$21,434,500
Innovate New York Fund	8	\$35,000,000
JOBS Now Program	5	\$6,612,113
Job Development Authority	1	\$1,500,000
Regional Council Capital Fund	21	\$38,609,500
Restore New York Communities Initiative	5	\$13,080,000
Small Business Revolving Loan Fund	4	\$2,829,667
Upstate Regional Blueprint Fund	5	\$607,424
Urban and Community Development Program	9	\$20,385,850
Total -	169	\$174,488,186

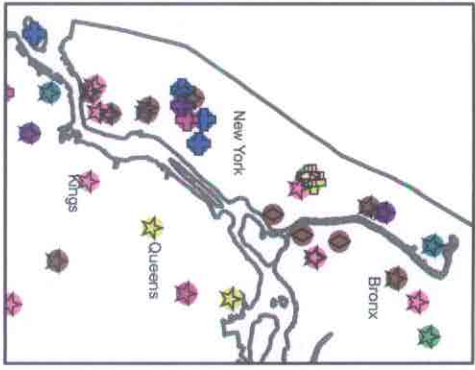
Legend

Amount

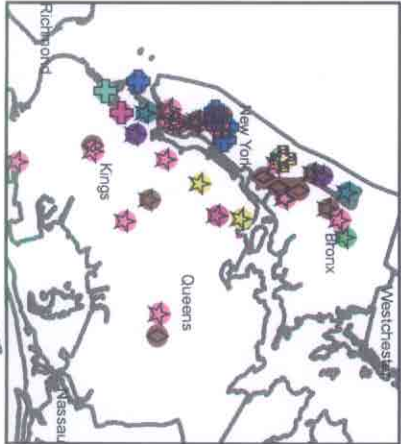
◇ Up to \$50,000

☆ \$50,000 - \$1,000,000

⊕ Over \$1,000,000



New York City



Long Island

0 20 40 Miles

ECONOMIC DEVELOPMENT PROGRAMS: APPROPRIATION CATEGORIES

Projects in this report are funded from the following appropriation categories (“Programs”):

- **Community Development Financial Institution Program (“CDFI”)** – an annual appropriation that provides micro-loans to businesses that do not qualify for bank loans as well as one-on-one counseling and business development assistance to facilitate credit-readiness.
- **Downstate Revitalization Fund (“DRF”)** – a \$35 million appropriation enacted in FY 2008-09 to promote economic development by facilitating the creation and retention of jobs or other economic activity in downstate New York by providing financial assistance via a Request for Proposals process.
- **Entrepreneurial Assistance Program (“EAP”)** – two annual appropriations that establish and maintain Entrepreneurial Assistance Program Centers in local communities to provide instruction, training, technical assistance, and support services to individuals who have recently started their own business or are interested in starting a business.
- **Economic Development Purposes Fund (“EDPF”)** – a two-year appropriation established in the 2011-12 budget that made \$62.36 million of funding available for economic development across New York State for business investment, infrastructure investment, and economic growth investment initiatives.
- **Economic Transformation Program (“ETP”)** – a \$50 million appropriation created as part of the 2011-12 budget to assist communities affected by closures of the state’s correctional and juvenile justice facilities.
- **Empire State Economic Development Fund (“EDF”)** – created in 1996 and generally funded annually, this appropriation provides assistance for projects that promote the economic health of New York State by facilitating the creation or retention of jobs or the increase of business activity.
- **Innovate New York Fund (“Innovate NY”)** – a \$45 million seed-stage equity fund created in the 2011-12 budget to support innovation, job creation, and high-growth entrepreneurship throughout the state. Competitively selected investment funds receive funds to invest in technology companies and other high-growth firms.
- **Job Development Authority (“JDA”)** – this authority, which operates under the ESD umbrella, provides direct loans for the growth of manufacturing and other eligible businesses within New York State by financing a portion of the cost of real estate acquisition, renovation, or construction or for purchasing machinery and equipment. Funds to make loans are derived from the sale of State-guaranteed bonds.
- **JOBS Now Program (“JN”)** – this appropriation, created in 1998, promotes the state’s economic health and the increase of economic activity by encouraging the expansion of current businesses and attraction of new businesses, primarily targeting companies that create at least 300 new permanent, full-time private sector jobs, with 25% of funds allowed for the creation of 100-299 jobs.
- **Metropolitan Economic Revitalization Fund (“MERF”)** – a \$25 million fund created in 1996 to revive economically distressed neighborhoods in the Port District of New York by offering capital loans that will result in retention or creation of a significant number of private-sector jobs.

- **Minority- and Women-owned Business Development and Lending Program (“MWBDL”)** – an annual appropriation that provides financial assistance to minority- and women-owned business enterprises via loan funding.
- **Regional Council Capital Fund (“RCCF”)** – an appropriation established under the Governor’s Regional Economic Development Council Initiative to support capital-based economic development initiatives intended to create or retain jobs, prevent or reduce unemployment and underemployment and to increase business activity in a community or region.
- **Restore New York Communities Initiative (“Restore NY”)** – a \$300 million appropriation, awarded over three years (FY 2006-07, FY 2007-08, and FY 2008-09), that aids municipalities with real property in need of demolition/deconstruction, rehabilitation or reconstruction for both commercial and residential property.
- **Small Business Revolving Loan Fund (“SBRLF”)** – a \$25 million fund designed to create economic activity by providing greater access to capital for small businesses. The program is targeted to small businesses that have had difficulty accessing regular credit markets; the program will leverage at least \$25 million in private matching funds.
- **Urban and Community Development Program (“UCDP”)** – an annual appropriation that promotes economic development by encouraging economic and employment opportunities in targeted communities and urban areas.
- **Upstate Regional Blueprint Fund (“URB”)** – a one-time appropriation of \$120 million enacted in FY 2008-09 to promote economic development in upstate New York by facilitating the creation and retention of jobs or other economic activity by providing financial assistance via a Request for Proposals process.

SECTION 1: SUMMARY OF APPROVED ASSISTANCE FISCAL YEAR APRIL 1, 2012 – MARCH 31, 2013

Summary of Approved Assistance

Projects are categorized by funding program and detail the total amount of assistance approved by the ESD Directors during the Fiscal Year April 1, 2012 – March 31, 2013. If a recipient received more than one award or an award from more than one Program, each award is counted separately.

<u>Appropriation</u>	<u>Number of Projects</u>	<u>Amount</u>
Community Development Financial		
Institution Program	31	\$1,710,000
Downstate Revitalization Fund	2	\$4,525,000
Entrepreneurial Assistance Program	24	\$2,390,232
Economic Development Purposes Fund	13	\$15,793,900
Economic Transformation Program	2	\$10,000,000
Empire State Economic Development Fund	39	\$21,434,500
Innovate New York Fund	8	\$35,000,000
Job Development Authority	5	\$6,612,113
JOBS Now Program	1	\$1,500,000
Regional Council Capital Fund	21	\$38,609,500
Restore New York Communities Initiative	5	\$13,080,000
Small Business Revolving Loan Fund	4	\$2,829,667
Urban and Community Development Program	5	\$607,424
<u>Upstate Regional Blueprint Fund</u>	<u>9</u>	<u>\$20,395,850</u>
TOTAL	169	\$174,488,186

Section I: Proposals for Assistance - Approved 4/1/2012 - 3/31/2013

A. Summary by Program and Region

COMMUNITY DEVELOPMENT FINANCIAL INSTITUTION PROGRAM (CDFI)		
Region	# of Projects	Approved Assistance
Capital	1	\$ 75,000
Central	2	\$ 120,000
Finger Lakes	1	\$ 40,000
Long Island	3	\$ 140,000
Mid Hudson	1	\$ 75,000
New York City	20	\$ 1,105,000
North Country	1	\$ 60,000
Southern Tier	1	\$ 75,000
Western New York	1	\$ 20,000
TOTAL CDFI	31	\$ 1,710,000
DOWNSTATE REVITALIZATION FUND (DRF)		
Region	# of Projects	Approved Assistance
New York City	2	\$ 4,525,000
TOTAL DRF	2	\$ 4,525,000
ENTREPRENEURIAL ASSISTANCE PROGRAM (EAP)		
Region	# of Projects	Approved Assistance
Capital	1	\$ 99,593
Central	1	\$ 99,593
Finger Lakes	1	\$ 99,593
Long Island	2	\$ 199,186
Mid Hudson	2	\$ 199,186
Mohawk Valley	1	\$ 99,593
New York City	11	\$ 1,095,523
North Country	1	\$ 99,593
Southern Tier	2	\$ 199,186
Western New York	2	\$ 199,186
TOTAL EAP	24	\$ 2,390,232
ECONOMIC DEVELOPMENT PURPOSES FUND (EDPF)		
Region	# of Projects	Approved Assistance
Capital	2	\$ 2,986,000
Finger Lakes	4	\$ 6,100,000
Long Island	2	\$ 682,900
Mohawk Valley	1	\$ 125,000
North Country	2	\$ 2,400,000
Southern Tier	1	\$ 3,000,000
Western New York	1	\$ 500,000
TOTAL EDPF	13	\$ 15,793,900
ECONOMIC TRANSFORMATION PROGRAM (ETP)		
Region	# of Projects	Approved Assistance
Mohawk Valley	2	\$ 10,000,000
TOTAL ETP	2	\$ 10,000,000
EMPIRE STATE ECONOMIC DEVELOPMENT FUND (EDF)		
Region	# of Projects	Approved Assistance
Central	4	\$ 6,010,000
Finger Lakes	11	\$ 3,062,000
Long Island	3	\$ 1,750,000
Mid Hudson	7	\$ 1,781,500
Mohawk Valley	2	\$ 200,000
New York City	3	\$ 2,175,000
North Country	2	\$ 600,000
Western New York	7	\$ 5,856,000
TOTAL EDF	39	\$ 21,434,500

Section I: Proposals for Assistance - Approved 4/1/2012 - 3/31/2013

A. Summary by Program and Region

INNOVATE NEW YORK FUND (INNOVATE NY)		
Region	# of Projects	Approved Assistance
Finger Lakes	1	\$ 4,000,000
Long Island	1	\$ 4,500,000
New York City	4	\$ 17,500,000
Southern Tier	1	\$ 5,000,000
Western New York	1	\$ 4,000,000
TOTAL INNOVATE NY	8	\$ 35,000,000
JOB DEVELOPMENT AUTHORITY (JDA)		
Region	# of Projects	Approved Assistance
Capital	2	\$ 2,016,000
New York City	3	\$ 4,596,113
TOTAL JDA	5	\$ 6,612,113
JOBS NOW PROGRAM (JN)		
Region	# of Projects	Approved Assistance
Southern Tier	1	\$ 1,500,000
TOTAL JN	1	\$ 1,500,000
REGIONAL COUNCIL CAPITAL FUND (RCCF)		
Region	# of Projects	Approved Assistance
Capital	2	\$ 2,500,000
Central	5	\$ 7,087,500
Finger Lakes	2	\$ 2,200,000
Mid Hudson	1	\$ 4,000,000
New York City	1	\$ 5,000,000
North Country	2	\$ 922,000
Southern Tier	6	\$ 11,000,000
Western New York	2	\$ 5,900,000
TOTAL RCCF	21	\$ 38,609,500
RESTORE NEW YORK COMMUNITIES INITIATIVE (RESTORENY)		
Region	# of Projects	Approved Assistance
Capital	1	\$ 500,000
New York City	1	\$ 10,000,000
Western New York	3	\$ 2,580,000
TOTAL RESTORENY	5	\$ 13,080,000
SMALL BUSINESS REVOLVING LOAN FUND (SBRLF)		
Region	# of Projects	Approved Assistance
Capital	1	\$ 996,684
New York City	3	\$ 1,832,983
TOTAL SBRLF	4	\$ 2,829,667
URBAN AND COMMUNITY DEVELOPMENT PROGRAM (UCDP)		
Region	# of Projects	Approved Assistance
Capital	2	\$ 199,924
New York City	2	\$ 400,000
Western New York	1	\$ 7,500
TOTAL UCDP	5	\$ 607,424
UPSTATE REGIONAL BLUEPRINT FUND (URB)		
Region	# of Projects	Approved Assistance
Central	2	\$ 2,025,000
Finger Lakes	2	\$ 800,000
North Country	1	\$ 195,850
Western New York	4	\$ 17,375,000
TOTAL URB	9	\$ 20,395,850
Grand Total	169	\$ 174,488,186

Section I: Proposals for Assistance - Approved

4/1/2012 - 3/31/2013

B. Project Detail by Region

CAPITAL REGION			
Project #	Project Name	Program	Approved Assistance
X804	Albany Medical College Capital & Working Capital - NYCAP Research Alliance	EDPF	\$ 950,000
X841	Capital Chamber Foundation - EAP Center 2012-2013	EAP	\$ 99,593
Y198	Community Loan Fund of the Capital Region CDFI 14	CDFI	\$ 75,000
Y363	Fountains Spatial Online Mapping Application UCDP Working Capital	UCDP	\$ 100,000
W862	Hudson Falls-RESTORE III-First National Bank Building Redevelopment	RestoreNY	\$ 500,000
X264	NYBDC Small Business Loan Program	SBRLF	\$ 996,684
X877	SEED - SUNY Albany Working Capital	UCDP	\$ 99,924
X996	The Case Group JDA M&E	JDA	\$ 816,000
X994	The Case Group JDA RE	JDA	\$ 1,200,000
X806	University at Albany - Biotechnology Training Center	RCCF	\$ 1,000,000
X765	University at Albany Capital - RNA Institute Capital	EDPF	\$ 2,036,000
X763	Windham Mountain Partners Capital	RCCF	\$ 1,500,000
TOTAL CAPITAL REGION		12 Projects	\$ 9,373,201
CENTRAL REGION			
Project #	Project Name	Program	Approved Assistance
X788	COR Inner Harbor Capital - Phase I	RCCF	\$ 3,000,000
X784	Cortland Plastics International Capital	RCCF	\$ 100,000
W963	Fulton Thermal Corporation URB Capital	URB	\$ 1,500,000
Y203	Home Headquarters CDFI 14	CDFI	\$ 40,000
W908	Huhtamaki MAP Capital	EDF	\$ 500,000
X783	Matt Industries Capital	RCCF	\$ 150,000
X704	Merchants Commons Capital	RCCF	\$ 837,500
X405	Novelis Oswego Plant Capital	EDF	\$ 5,000,000
X321	Owens-Brockway Re-Machine URB Capital	URB	\$ 525,000
W964	Pyrotek MAP Capital	EDF	\$ 350,000
X647	Raymour & Flanigan Capital	EDF	\$ 160,000
Y214	Syracuse Cooperative Federal Credit Union CDFI 14	CDFI	\$ 80,000
X854	Syracuse University - EAP Center 2012-2013	EAP	\$ 99,593
X766	Syracuse University NYE - RIC Capital	RCCF	\$ 3,000,000
TOTAL CENTRAL REGION		14 Projects	\$ 15,342,093
FINGER LAKES REGION			
Project #	Project Name	Program	Approved Assistance
W991	ABVI/Goodwill URB Capital	URB	\$ 500,000
X120	American Aerogel URB	URB	\$ 300,000
X444	Closing USA Capital	EDF	\$ 150,000
W782	Comtec Solutions Capital	EDF	\$ 50,000
X249	D4 Capital	EDF	\$ 75,000
X364	Empire Fruit Grower's Cooperative Capital	EDF	\$ 175,000
X820	Excell Partners - Innovate NY Fund	Innovate NY	\$ 4,000,000
X619	Finger Lakes Museum Capital	EDPF	\$ 1,500,000
X764	Greater Rochester Enterprise Working Capital	EDPF	\$ 200,000
X807	Health Sciences Center for Computational Innovation Capital	EDPF	\$ 3,300,000
X861	Health Sciences Center for Computational Innovation Capital	RCCF	\$ 1,700,000
X237	ITT Geospatial Systems Capital	EDF	\$ 1,540,000
X369	Liberty Fresh Farms Capital	EDF	\$ 100,000
X209	Monro Service Corporation Capital	EDF	\$ 400,000
Y210	Pathstone Enterprise Center CDFI 14	CDFI	\$ 40,000
V125	Pliant Capital I	EDF	\$ 150,000
W477	Pliant Capital II	EDF	\$ 40,000

Section I: Proposals for Assistance - Approved

4/1/2012 - 3/31/2013

B. Project Detail by Region

FINGER LAKES REGION Continued			
Project #	Project Name	Program	Approved Assistance
W745	Rochester Midland Capital	EDF	\$ 300,000
X251	Upstate Door Capital	EDF	\$ 82,000
X855	Urban League of Rochester NY - EAP Center 2012-2013	EAP	\$ 99,593
Y089	Western NY Science, Technology & Adv. Manufacturing Pk. Cap. (STAMP)	EDPF	\$ 1,100,000
Y263	Western NY Science, Technology & Adv. Manufacturing Pk. Cap. (STAMP)	RCCF	\$ 500,000
TOTAL FINGER LAKES REGION		22 Projects	\$ 16,301,593
LONG ISLAND REGION			
Project #	Project Name	Program	Approved Assistance
X657	Accelerate Long Island Working Capital	EDPF	\$ 500,000
X819	Canrock Ventures - Innovate NY Fund	Innovate NY	\$ 4,500,000
Y192	CDCLI Funding Corporation CDFI 14	CDFI	\$ 60,000
X452	Clever Devices Capital	EDF	\$ 200,000
X214	Contract Pharmacal Capital	EDF	\$ 1,050,000
X750	Cornell Cooperative Extension of Suffolk County Capital	EDPF	\$ 182,900
X355	Hi-Tech Pharmacal Capital	EDF	\$ 500,000
X844	Hofstra University - EAP Center 2012-2013	EAP	\$ 99,593
Y204	La Fuerza Unida Community Development Corp. CDFI 14	CDFI	\$ 60,000
Y205	LISBAC CDFI 14	CDFI	\$ 20,000
X853	Suffolk County CC - EAP Center 2012-2013	EAP	\$ 99,593
TOTAL LONG ISLAND REGION		11 Projects	\$ 7,272,086
MID HUDSON REGION			
Project #	Project Name	Program	Approved Assistance
X580	ASB Greenworld Capital	EDF	\$ 100,000
Y197	Community Capital Resources CDFI 14	CDFI	\$ 75,000
X221	Intercos America Emulsions Capital	EDF	\$ 500,000
X622	New York Medical College Capital	RCCF	\$ 4,000,000
X847	Orange County COC - EAP Center 2012-2013	EAP	\$ 99,593
X464	Pentegra Services Capital	EDF	\$ 150,000
W953	Precision Pipeline Solutions Capital	EDF	\$ 150,000
W954	Precision Pipeline Solutions Training	EDF	\$ 241,500
X539	TAL International Container Capital	EDF	\$ 290,000
X357	Wolf-tec Capital	EDF	\$ 350,000
X857	Women's Enterprise Dev. Corp - EAP Center 2012-2013	EAP	\$ 99,593
TOTAL MID HUDSON REGION		11 Projects	\$ 6,055,686
MOHAWK VALLEY REGION			
Project #	Project Name	Program	Approved Assistance
X192	Bonide Products Capital	EDF	\$ 100,000
X839	Business Training Institute - EAP Center 2012-2013	EAP	\$ 99,593
X674	Milford CSD CORE Initiative Working Capital	EDPF	\$ 125,000
X624	MVEDGE- Marcy Interceptor Sewer Upgrade ETP Capital	ETP	\$ 5,000,000
X623	MVEDGE- Marcy Nanocenter Loop Road Capital	ETP	\$ 5,000,000
X328	Precisionmatics Capital	EDF	\$ 100,000
TOTAL MOHAWK VALLEY REGION		6 Projects	\$ 10,424,593

Section I: Proposals for Assistance - Approved

4/1/2012 - 3/31/2013

B. Project Detail by Region

NEW YORK CITY REGION			
Project #	Project Name	Program	Approved Assistance
X402	A. S. Diamonds JDA Real Estate Loan	JDA	\$ 2,622,113
Y185	ACCION CDFI 14	CDFI	\$ 75,000
Y115	Architectural Grille Capital	JDA	\$ 1,491,000
Y188	Bethex Federal Credit Union CDFI 14	CDFI	\$ 75,000
Y189	BOC Capital Corp. CDFI 14	CDFI	\$ 80,000
X261	BOC Capital Small Business Loan Program	SBRLF	\$ 200,000
Y191	Bronx Overall Economic Development Corp. CDFI 14	CDFI	\$ 30,000
Y190	Brooklyn Cooperative Federal Credit Union CDFI 14	CDFI	\$ 60,000
X838	Brooklyn EDC - EAP Center 2012-2013	EAP	\$ 99,593
X840	CAMBA - EAP Center 2012-2013	EAP	\$ 99,593
Y193	CAMBA Economic Development Corp. CDFI 14	CDFI	\$ 40,000
Y195	Center for Community Development of New Americans CDFI 14	CDFI	\$ 75,000
X842	Chinatown Manpower Project BOC - EAP Center 2012-2013	EAP	\$ 99,593
Y194	Credit Inc CDFI 14	CDFI	\$ 30,000
X946	DUMBO Improvement District Working Capital	UCDP	\$ 100,000
Y199	East Harlem Business Capital Corp. CDFI 14	CDFI	\$ 40,000
X957	ff Venture Capital - Innovate NY Fund	Innovate NY	\$ 4,500,000
X823	Golden Seeds - Innovate NY Fund	Innovate NY	\$ 4,000,000
Y200	Grameen America CDFI 14	CDFI	\$ 80,000
X263	Grameen America Small Business Loan Program	SBRLF	\$ 1,532,983
Y201	Greater Jamaica Local Development Corp. CDFI 14	CDFI	\$ 40,000
Y016	Happyrock Partners JDA	JDA	\$ 483,000
Y202	Harlem Entrepreneurial Fund CDFI 14	CDFI	\$ 20,000
X845	Hunts Pt. EDC - EAP Center 2012-2013	EAP	\$ 99,593
X846	LDC of East New York - EAP Center 2012-2013	EAP	\$ 99,593
Y206	Lower East Side People's Federal Credit Union CDFI 14	CDFI	\$ 30,000
U331	Mechoshade Systems Capital	EDF	\$ 600,000
Y207	Neighborhood Trust Federal Credit Union CDFI 14	CDFI	\$ 60,000
X022	New York Botanical Garden DRF Capital	DRF	\$ 1,000,000
X958	New York City Investment Fund - Innovate NY Fund	Innovate NY	\$ 4,000,000
W831	New York-RESTORE III-Bush Terminal	RestoreNY	\$ 10,000,000
Y015	NYC Waterfront Development Permitting Working Capital	UCDP	\$ 300,000
Y208	NYCfNAC CDFI 14	CDFI	\$ 75,000
X848	Project Enterprise - EAP Center 2012-2013	EAP	\$ 99,593
Y211	Project Enterprise CDFI 14	CDFI	\$ 40,000
X849	Queens EDC - EAP Center 2012-2013	EAP	\$ 99,593
X850	Renaissance EDC - EAP Center 2012-2013	EAP	\$ 99,593
Y212	Renaissance EDC CDFI 14	CDFI	\$ 80,000
Y213	SEEDCO Financial Services CDFI 14	CDFI	\$ 80,000
X852	South Bronx EDC - EAP Center 2012-2013	EAP	\$ 99,593
X851	St. Nick's Alliance - EAP Center 2012-2013	EAP	\$ 99,593
X822	Stonehenge Capital Company - Innovate NY Fund	Innovate NY	\$ 5,000,000
X879	TAYSTEE CREATE LLC CAPITAL	DRF	\$ 3,525,000
X878	TAYSTEE CREATE LLC CAPITAL	EDF	\$ 1,475,000
X860	TAYSTEE CREATE LLC CAPITAL	RCCF	\$ 5,000,000
X856	Washington Hts. - Inwood Dev. Corp - EAP Center 2012-2013	EAP	\$ 99,593
X313	Water Lilies Food MAP Capital	EDF	\$ 100,000
Y215	WHIDC CDFI 14	CDFI	\$ 75,000
X269	WHIDC Small Business Loan Program	SBRLF	\$ 100,000
Y216	Women's Venture Fund CDFI 14	CDFI	\$ 20,000
TOTAL NEW YORK CITY REGION		50 Projects	\$ 48,229,619

Section I: Proposals for Assistance - Approved

4/1/2012 - 3/31/2013

B. Project Detail by Region

NORTH COUNTRY REGION			
Project #	Project Name	Program	Approved Assistance
X098	Adirondack - Champlain Telemedicine Network Capital	EDF	\$ 550,000
Y186	Adirondack Economic Development Corp. CDFI 14	CDFI	\$ 60,000
X836	Adirondack EDC - EAP Center 2012-2013	EAP	\$ 99,593
X811	Clinton Industrial Development Acquisition Working Capital	EDPF	\$ 1,200,000
X706	Hamilton County Broadband Capital	RCCF	\$ 472,000
X670	Ogdensburg Bridge & Port Authority Capital	RCCF	\$ 450,000
X889	Plattsburgh-North Country Chamber of Commerce - UK Airshow 2012	EDF	\$ 50,000
X202	TAUNY Center Rehabilitation URB	URB	\$ 195,850
X791	Trudeau Institute Working Capital	EDPF	\$ 1,200,000
TOTAL NORTH COUNTRY REGION		9 Projects	\$ 4,277,443
SOUTHERN TIER REGION			
Project #	Project Name	Program	Approved Assistance
Y187	Alternatives Federal Credit Union CDFI 14	CDFI	\$ 75,000
X837	Binghamton LDC - EAP Center 2012-2013	EAP	\$ 99,593
X821	Cayuga Ventures - Innovate NY Fund	Innovate NY	\$ 5,000,000
X386	Chobani Capital	JN	\$ 1,500,000
Y111	STREDC - Community Revitalization Program Capital	RCCF	\$ 2,500,000
X970	STREDC - Community Revitalization Program Capital	RCCF	\$ 1,000,000
X716	STREDC - Community Revitalization Capital	RCCF	\$ 2,500,000
Y113	STREDC - Rural Initiative Program Capital	RCCF	\$ 1,000,000
Y110	STREDC - Shovel Ready Program Capital	RCCF	\$ 1,500,000
X748	STREDC - Rural Initiative Fund Capital	EDPF	\$ 3,000,000
X749	STREDC - Shovel Ready Program	RCCF	\$ 2,500,000
X858	Worker Ownership Resource Ctr. - EAP Center 2012-2013	EAP	\$ 99,593
TOTAL SOUTHERN TIER REGION		12 Projects	\$ 20,774,186

Section I: Proposals for Assistance - Approved

4/1/2012 - 3/31/2013

B. Project Detail by Region

WESTERN NEW YORK REGION			
Project #	Project Name	Program	Approved Assistance
X835	ACCORD - EAP Center 2012-2013	EAP	\$ 99,593
X467	Alliance Innovative Manufacturing Capital	EDF	\$ 181,000
X110	Buffalo - RESTORE III - Fairfield Library	RestoreNY	\$ 500,000
W897	Buffalo - RESTORE III - PUSH	RestoreNY	\$ 320,000
X552	Buffalo Building Reuse Market Analysis	UCDP	\$ 7,500
X695	Buffalo Neighborhood Stabilization Co.-Small Business Green Retrofit Cap.	RCCF	\$ 800,000
X045	Buffalo Niagara Medical Campus URB Capital	URB	\$ 4,000,000
Y196	Chautauqua Opportunities for Development CDFI 14	CDFI	\$ 20,000
X743	Dream It Do It WNY Working Capital	EDPF	\$ 500,000
W925	GM 2L & 2.5L Engine Lines URB Capital	URB	\$ 6,000,000
W926	GM 2L & 2.5L Engines Training	EDF	\$ 1,000,000
X027	GM Gen-V URB Capital	URB	\$ 6,000,000
X843	IBERO AT MEDAILLE COLLEGE - EAP Center 2012-2013	EAP	\$ 99,593
W092	Jamestown - RESTORE II - Renaissance	RestoreNY	\$ 1,760,000
X375	Olin Capital	EDF	\$ 500,000
W983	Praxair Capital	EDF	\$ 1,000,000
X683	Roswell Park Cancer Institute Capital	RCCF	\$ 5,100,000
X824	SCP Buffalo Incubator - Innovate NY Fund	Innovate NY	\$ 4,000,000
W768	SKF Heat Transfer MAP Capital	EDF	\$ 1,375,000
W769	SKF Heat Transfer URB Capital	URB	\$ 1,375,000
X379	Time Release Sciences Capital	EDF	\$ 300,000
U530	Unifrax Capital	EDF	\$ 1,500,000
TOTAL WESTERN NEW YORK REGION		22 Projects	\$ 36,437,686
Grand Total		169 Projects	\$ 174,488,186

**SECTION 2: SUMMARY OF ASSISTANCE
EVALUATED NOT YET APPROVED
FISCAL YEAR APRIL 1, 2012 – MARCH 31, 2013**

Summary of Assistance Evaluated Not Yet Approved

Projects are broken down by Program and detail the total amount of assistance for projects that were evaluated but were not yet approved by the ESD Directors at the end of Fiscal Year April 1, 2012 – March 31, 2013. Per statute, in order to promote the development of proposed projects, references to specific participant names have been excluded. Instead, project numbers are included in order to identify the progress of specific proposals. If a recipient received more than one award or an award from more than one Program, each award is counted separately.

Appropriation	Number of Projects	Amount
Economic Development Purposes Fund	52	\$23,873,700
Economic Transformation Program	5	\$20,950,000
Empire State Economic Development Fund	39	\$12,432,583
Metropolitan Economic Revitalization Fund	2	\$6,100,000
Minority- and Women-owned		
Business Development & Lending Program	1	\$125,000
Regional Council Capital Fund	113	\$105,803,917
Urban and Community Development Program	2	\$350,000
TOTAL	214	\$169,635,200

Section II: Proposals for Assistance Evaluated Not Yet Approved

4/1/2012 - 3/31/2013

B. Project Detail by Region

ECONOMIC DEVELOPMENT PURPOSES FUND (EDPF)		
Region	# of Projects	Proposed Assistance
Capital	3	\$ 2,050,000
Central	9	\$ 3,187,600
Finger Lakes	12	\$ 3,555,000
Long Island	8	\$ 4,347,535
Mid Hudson	3	\$ 2,200,000
Mohawk Valley	5	\$ 1,315,000
New York City	1	\$ 100,000
North Country	2	\$ 225,000
Southern Tier	2	\$ 1,100,000
Western New York	7	\$ 5,793,565
TOTAL EDPF	52	\$ 23,873,700
ECONOMIC TRANSFORMATION PROGRAM (ETP)		
Region	# of Projects	Proposed Assistance
Central	1	\$ 750,000
Mohawk Valley	4	\$ 20,200,000
TOTAL ETP	5	\$ 20,950,000
EMPIRE STATE ECONOMIC DEVELOPMENT FUND (EDF)		
Region	# of Projects	Proposed Assistance
Capital	2	\$ 715,000
Finger Lakes	2	\$ 350,000
Long Island	13	\$ 3,942,583
Mid Hudson	1	\$ 200,000
Mohawk Valley	13	\$ 3,490,000
New York City	2	\$ 1,900,000
North Country	1	\$ 250,000
Southern Tier	2	\$ 800,000
Western New York	3	\$ 785,000
TOTAL EDF	39	\$ 12,432,583
METROPOLITAN ECONOMIC REVITALIZATION FUND (MERF)		
Region	# of Projects	Proposed Assistance
New York City	2	\$ 6,100,000
TOTAL MERF	2	\$ 6,100,000
MINORITY-AND WOMEN-OWNED BUSINESS DEVELOPMENT AND LENDING PROGRAM (MWBDL)		
Region	# of Projects	Proposed Assistance
Mohawk Valley	1	\$ 125,000
TOTAL MWBDL	1	\$ 125,000
REGIONAL COUNCIL CAPITAL FUND (RCCF)		
Region	# of Projects	Proposed Assistance
Capital	4	\$ 3,020,000
Central	29	\$ 20,524,000
Finger Lakes	15	\$ 12,655,000
Long Island	17	\$ 22,902,417
Mid Hudson	3	\$ 3,350,000
Mohawk Valley	10	\$ 4,622,500
New York City	2	\$ 1,000,000
North Country	17	\$ 23,774,000
Southern Tier	4	\$ 1,500,000
Western New York	12	\$ 12,456,000
TOTAL RCCF	113	\$ 105,803,917

Section II: Proposals for Assistance Evaluated Not Yet Approved

4/1/2012 - 3/31/2013

B. Project Detail by Region

URBAN AND COMMUNITY DEVELOPMENT PROGRAM (UCDP)		
Region	# of Projects	Proposed Assistance
Mid Hudson	1	\$ 100,000
New York City	1	\$ 250,000
TOTAL UCDP	2	\$ 350,000
Grand Total	214	\$ 169,635,200

Section II: Proposals for Assistance Evaluated Not Yet Approved

4/1/2012 - 3/31/2013

B. Project Detail by Region

CAPITAL REGION			
Project #	Program	Proposed Assistance	Date Project Initiated
X800	EDPF	\$ 300,000	5/17/2012
X805	RCCF	\$ 2,500,000	5/21/2012
X833	EDPF	\$ 250,000	5/10/2012
Y050	EDPF	\$ 1,500,000	11/27/2012
Y344	EDF	\$ 465,000	2/25/2013
Y354	RCCF	\$ 245,000	3/22/2013
Y358	EDF	\$ 250,000	3/11/2013
Y401	RCCF	\$ 150,000	3/25/2013
Y402	RCCF	\$ 125,000	3/28/2013
TOTAL CAPITAL REGION	9 Projects	\$ 5,785,000	
CENTRAL REGION			
Project #	Program	Proposed Assistance	Date Project Initiated
X775	RCCF	\$ 3,600,000	5/16/2012
X778	RCCF	\$ 1,000,000	5/8/2012
X779	RCCF	\$ 350,000	4/5/2012
X781	RCCF	\$ 150,000	10/19/2012
X782	RCCF	\$ 994,000	4/25/2012
X785	RCCF	\$ 150,000	7/3/2012
X787	EDPF	\$ 394,000	5/2/2012
X825	ETP	\$ 750,000	4/11/2012
X831	RCCF	\$ 500,000	4/5/2012
X923	EDPF	\$ 100,000	6/12/2012
X960	RCCF	\$ 250,000	8/3/2012
X998	EDPF	\$ 43,600	10/23/2012
Y083	EDPF	\$ 350,000	12/28/2012
Y084	EDPF	\$ 1,000,000	3/13/2013
Y085	EDPF	\$ 500,000	12/28/2012
Y099	EDPF	\$ 50,000	1/24/2013
Y160	RCCF	\$ 225,000	1/29/2013
Y179	RCCF	\$ 550,000	2/20/2013
Y183	RCCF	\$ 100,000	2/22/2013
Y224	RCCF	\$ 500,000	3/4/2013
Y225	RCCF	\$ 250,000	3/14/2013
Y227	RCCF	\$ 250,000	2/6/2013
Y228	RCCF	\$ 320,000	2/22/2013
Y233	RCCF	\$ 500,000	3/22/2013
Y234	RCCF	\$ 150,000	2/14/2013
Y257	RCCF	\$ 2,500,000	3/1/2013
Y277	RCCF	\$ 810,000	3/12/2013
Y278	EDPF	\$ 250,000	2/20/2013
Y283	RCCF	\$ 700,000	2/14/2013
Y284	RCCF	\$ 75,000	2/20/2013
Y299	EDPF	\$ 500,000	2/22/2013
Y302	RCCF	\$ 100,000	2/14/2013
Y309	RCCF	\$ 3,100,000	3/25/2013
Y310	RCCF	\$ 500,000	3/13/2013
Y316	RCCF	\$ 100,000	3/14/2013
Y327	RCCF	\$ 500,000	3/14/2013
Y332	RCCF	\$ 300,000	2/28/2013
Y338	RCCF	\$ 500,000	3/6/2013
Y362	RCCF	\$ 1,500,000	3/13/2013
TOTAL CENTRAL REGION	39 Projects	\$ 22,961,600	

Section II: Proposals for Assistance Evaluated Not Yet Approved

4/1/2012 - 3/31/2013

B. Project Detail by Region

FINGER LAKES REGION			
Project #	Program	Proposed Assistance	Date Project Initiated
X808	EDPF	\$ 300,000	6/18/2012
X809	RCCF	\$ 2,500,000	9/4/2012
X810	RCCF	\$ 1,000,000	5/25/2012
X959	EDPF	\$ 500,000	7/26/2012
X980	EDPF	\$ 100,000	8/10/2012
X993	EDPF	\$ 350,000	9/18/2012
Y014	EDPF	\$ 75,000	10/9/2012
Y048	EDPF	\$ 100,000	11/16/2012
Y097	RCCF	\$ 100,000	1/24/2013
Y098	RCCF	\$ 150,000	1/14/2013
Y102	RCCF	\$ 125,000	1/14/2013
Y104	EDPF	\$ 1,100,000	12/28/2012
Y105	RCCF	\$ 300,000	1/30/2013
Y107	EDF	\$ 50,000	1/28/2013
Y108	EDF	\$ 300,000	1/14/2013
Y130	EDPF	\$ 90,000	1/18/2013
Y142	RCCF	\$ 200,000	1/28/2013
Y167	RCCF	\$ 250,000	1/28/2013
Y174	RCCF	\$ 180,000	1/24/2013
Y221	RCCF	\$ 100,000	1/30/2013
Y235	RCCF	\$ 50,000	2/11/2013
Y237	RCCF	\$ 600,000	2/14/2013
Y252	RCCF	\$ 5,000,000	2/15/2013
Y253	RCCF	\$ 100,000	3/1/2013
Y265	RCCF	\$ 2,000,000	3/4/2013
Y321	EDPF	\$ 250,000	2/22/2013
Y329	EDPF	\$ 40,000	3/25/2013
Y331	EDPF	\$ 400,000	3/4/2013
Y376	EDPF	\$ 250,000	3/27/2013
TOTAL FINGER LAKES REGION	29 Projects	\$ 16,560,000	
LONG ISLAND REGION			
Project #	Program	Proposed Assistance	Date Project Initiated
X628	RCCF	\$ 1,700,000	6/14/2012
X673	EDPF	\$ 500,000	2/1/2013
X678	EDPF	\$ 400,000	4/20/2012
X727	EDPF	\$ 100,000	4/12/2012
X728	EDPF	\$ 320,000	5/2/2012
X730	EDPF	\$ 427,535	4/9/2012
X776	RCCF	\$ 2,500,000	7/10/2012
X794	RCCF	\$ 4,000,000	6/14/2012
X795	EDPF	\$ 2,000,000	3/4/2013
X796	RCCF	\$ 3,800,000	4/20/2012
X797	RCCF	\$ 1,467,108	6/8/2012
X832	RCCF	\$ 1,000,000	3/4/2013
X871	EDPF	\$ 350,000	6/14/2012
X920	RCCF	\$ 1,766,446	6/8/2012
X921	RCCF	\$ 1,766,446	6/8/2012
X930	EDPF	\$ 250,000	8/9/2012
X973	RCCF	\$ 1,000,000	3/27/2013
Y121	EDF	\$ 120,000	3/13/2013
Y122	EDF	\$ 120,000	2/14/2013
Y131	EDF	\$ 500,000	3/22/2013

Section II: Proposals for Assistance Evaluated Not Yet Approved

4/1/2012 - 3/31/2013

B. Project Detail by Region

LONG ISLAND REGION Continued			
Project #	Program	Proposed Assistance	Date Project Initiated
Y217	RCCF	\$ 500,000	1/28/2013
Y219	EDF	\$ 250,000	2/8/2013
Y238	EDF	\$ 227,583	2/20/2013
Y239	RCCF	\$ 1,000,000	3/19/2013
Y240	EDF	\$ 500,000	2/14/2013
Y241	EDF	\$ 200,000	2/8/2013
Y242	EDF	\$ 65,000	2/8/2013
Y246	RCCF	\$ 1,000,000	2/4/2013
Y267	EDF	\$ 365,000	2/8/2013
Y285	RCCF	\$ 250,000	2/14/2013
Y287	EDF	\$ 500,000	3/25/2013
Y326	EDF	\$ 45,000	3/19/2013
Y340	EDF	\$ 500,000	3/22/2013
Y367	RCCF	\$ 250,000	3/20/2013
Y370	RCCF	\$ 250,000	3/20/2013
Y371	RCCF	\$ 152,417	3/25/2013
Y391	RCCF	\$ 500,000	3/19/2013
Y392	EDF	\$ 550,000	3/19/2013
TOTAL LONG ISLAND REGION	38 Projects	\$ 31,192,535	
MID HUDSON REGION			
Project #	Program	Proposed Assistance	Date Project Initiated
X816	EDF	\$ 200,000	4/5/2012
X942	EDPF	\$ 1,000,000	8/2/2012
X982	EDPF	\$ 1,000,000	2/14/2013
Y175	UCDP	\$ 100,000	1/31/2013
Y301	RCCF	\$ 600,000	3/15/2013
Y311	RCCF	\$ 250,000	3/19/2013
Y313	RCCF	\$ 2,500,000	3/15/2013
Y377	EDPF	\$ 200,000	3/21/2013
TOTAL MID HUDSON REGION	8 Projects	\$ 5,850,000	
MOHAWK VALLEY			
Project #	Program	Proposed Assistance	Date Project Initiated
X223	EDF	\$ 650,000	10/16/2012
X617	RCCF	\$ 2,000,000	6/1/2012
X629	ETP	\$ 350,000	4/4/2012
X640	ETP	\$ 4,100,000	4/11/2012
X643	RCCF	\$ 397,500	5/18/2012
X650	ETP	\$ 750,000	4/4/2012
X792	EDPF	\$ 140,000	4/20/2012
X812	ETP	\$ 15,000,000	10/1/2012
X883	EDPF	\$ 150,000	5/23/2012
X976	EDPF	\$ 350,000	9/7/2012
Y003	EDPF	\$ 75,000	9/27/2012
Y004	MWBDL	\$ 125,000	9/27/2012
Y119	EDF	\$ 40,000	3/27/2013
Y120	RCCF	\$ 25,000	2/5/2013
Y141	EDF	\$ 150,000	1/15/2013
Y145	EDF	\$ 100,000	1/30/2013
Y168	EDF	\$ 100,000	2/19/2013
Y177	EDF	\$ 250,000	3/26/2013
Y243	EDF	\$ 50,000	2/20/2013
Y245	EDF	\$ 150,000	2/28/2013

Section II: Proposals for Assistance Evaluated Not Yet Approved

4/1/2012 - 3/31/2013

B. Project Detail by Region

MOHAWK VALLEY Continued			
Project #	Program	Proposed Assistance	Date Project Initiated
Y247	RCCF	\$ 300,000	3/12/2013
Y249	EDPF	\$ 600,000	3/8/2013
Y250	RCCF	\$ 300,000	3/28/2013
Y255	EDF	\$ 250,000	2/15/2013
Y275	EDF	\$ 250,000	3/18/2013
Y282	RCCF	\$ 250,000	3/5/2013
Y289	EDF	\$ 600,000	2/22/2013
Y290	RCCF	\$ 75,000	3/11/2013
Y295	RCCF	\$ 1,000,000	3/25/2013
Y300	RCCF	\$ 25,000	3/27/2013
Y378	EDF	\$ 400,000	3/19/2013
Y389	RCCF	\$ 250,000	3/27/2013
Y397	EDF	\$ 500,000	3/29/2013
TOTAL MOHAWK VALLEY REGION	33 Projects	\$ 29,752,500	
NEW YORK REGION			
Project #	Program	Proposed Assistance	Date Project Initiated
X944	MERF	\$ 5,000,000	7/10/2012
Y020	MERF	\$ 1,100,000	10/26/2012
Y053	EDPF	\$ 100,000	11/26/2012
Y129	EDF	\$ 400,000	3/6/2013
Y286	RCCF	\$ 500,000	3/8/2013
Y347	UCDP	\$ 250,000	2/27/2013
Y383	RCCF	\$ 500,000	3/21/2013
Y406	EDF	\$ 1,500,000	3/21/2013
TOTAL NEW YORK REGION	8 Projects	\$ 9,350,000	
NORTH COUNTRY REGION			
Project #	Program	Proposed Assistance	Date Project Initiated
X092	EDF	\$ 250,000	5/1/2012
X664	RCCF	\$ 1,300,000	9/7/2012
X665	EDPF	\$ 25,000	5/1/2012
X667	RCCF	\$ 4,000,000	4/20/2012
X689	RCCF	\$ 2,250,000	6/19/2012
X708	RCCF	\$ 596,000	7/10/2012
X739	RCCF	\$ 2,500,000	4/12/2012
X939	RCCF	\$ 400,000	7/17/2012
X947	RCCF	\$ 350,000	2/4/2013
X997	RCCF	\$ 8,672,000	9/7/2012
Y081	EDPF	\$ 200,000	12/17/2012
Y146	RCCF	\$ 130,000	2/28/2013
Y154	RCCF	\$ 300,000	1/29/2013
Y162	RCCF	\$ 470,000	3/25/2013
Y163	RCCF	\$ 500,000	2/14/2013
Y164	RCCF	\$ 1,000,000	3/20/2013
Y181	RCCF	\$ 500,000	3/6/2013
Y281	RCCF	\$ 36,000	2/8/2013
Y318	RCCF	\$ 300,000	2/25/2013
Y394	RCCF	\$ 470,000	3/18/2013
TOTAL NORTH COUNTRY REGION	20 Projects	\$ 24,249,000	

Section II: Proposals for Assistance Evaluated Not Yet Approved

4/1/2012 - 3/31/2013

B. Project Detail by Region

SOUTHERN TIER REGION			
Project #	Program	Proposed Assistance	Date Project Initiated
X598	EDF	\$ 750,000	5/9/2012
Y010	EDPF	\$ 700,000	9/27/2012
Y060	EDPF	\$ 400,000	12/7/2012
Y296	RCCF	\$ 150,000	2/25/2013
Y345	RCCF	\$ 500,000	3/4/2013
Y355	EDF	\$ 50,000	3/14/2013
Y356	RCCF	\$ 500,000	3/11/2013
Y364	RCCF	\$ 350,000	3/11/2013
TOTAL SOUTHERN TIER REGION	8 Projects	\$ 3,400,000	
WESTERN NEW YORK REGION			
Project #	Program	Proposed Assistance	Date Project Initiated
W288	EDF	\$ 175,000	2/6/2013
X470	EDF	\$ 150,000	11/7/2012
X648	RCCF	\$ 1,000,000	12/3/2012
X649	RCCF	\$ 500,000	5/9/2012
X691	RCCF	\$ 800,000	5/1/2012
X693	EDPF	\$ 93,565	5/22/2012
X696	RCCF	\$ 2,800,000	5/25/2012
X700	RCCF	\$ 30,000	4/20/2012
X738	RCCF	\$ 500,000	8/23/2012
X741	RCCF	\$ 4,000,000	8/10/2012
X747	RCCF	\$ 2,000,000	4/20/2012
X827	EDPF	\$ 200,000	4/12/2012
X863	RCCF	\$ 100,000	12/28/2012
X886	EDPF	\$ 3,000,000	6/14/2012
X991	EDPF	\$ 200,000	9/4/2012
Y005	EDPF	\$ 2,000,000	11/7/2012
Y078	EDPF	\$ 150,000	12/21/2012
Y127	RCCF	\$ 500,000	3/27/2013
Y136	RCCF	\$ 186,000	2/6/2013
Y138	EDF	\$ 460,000	2/6/2013
Y170	RCCF	\$ 40,000	3/27/2013
Y297	EDPF	\$ 150,000	2/12/2013
TOTAL WESTERN NEW YORK REGION	22 Projects	\$ 19,034,565	
Grand Total	214 Projects	\$ 168,135,200	

**SECTION 3: PROPOSALS FOR ASSISTANCE EVALUATED –
NO FURTHER ACTION RECOMMENDED
FISCAL YEAR APRIL 1, 2012 – MARCH 31, 2013**

Projects are broken down by Program and fall into the following two categories: 1) the company or organization accepted ESD’s offer of assistance, but it did not meet requirements for ESD Directors’ approval; or 2) assistance was approved by the ESD Directors, but the company or organization did not meet the requirements for the disbursement of funds.

Appropriation	Number of Projects	Amount
Job Development Authority	2	\$1,840,000
Minority- and Women-owned		
Business Development & Lending Program	1	\$500,000
Regional Council Capital Fund	1	\$2,000,000
TOTAL	4	\$4,340,000

Section III. Proposals for Assistance Evaluated - No Further Action Recommended

4/1/2012 - 3/31/2013

Summary by Program and Region

JOB DEVELOPMENT AUTHORITY (JDA)		
Region	# of Projects	Requested Assistance
<i>Approved but did not meet requirements for disbursement</i>		
New York City	2	\$ 1,840,000
TOTAL JDA	2	\$ 1,840,000
MINORITY-AND WOMEN-OWNED BUSINESS AND LENDING PROGRAM (MWBDL)		
Region	# of Projects	Requested Assistance
<i>Accepted offer of assistance but did not meet approval requirements</i>		
New York City	1	\$ 500,000
TOTAL MWBDL	1	\$ 500,000
REGIONAL COUNCIL CAPITAL FUND (RCCF)		
Region	# of Projects	Requested Assistance
<i>Accepted offer of assistance but did not meet approval requirements</i>		
Western New York	1	\$ 2,000,000
TOTAL RCCF	1	\$ 2,000,000
Grand Total	4	\$ 4,340,000