

The logo for Empire State Development, featuring a stylized map of New York State in the background. The text "Empire State" is in a bold, blue font, and "Development" is in a lighter blue font to its right.

Empire State Development

DIVISION OF MINORITY AND WOMEN'S BUSINESS DEVELOPMENT

Annual Report Fiscal Year 2012-2013

The Division of Minority and Women’s Business Development

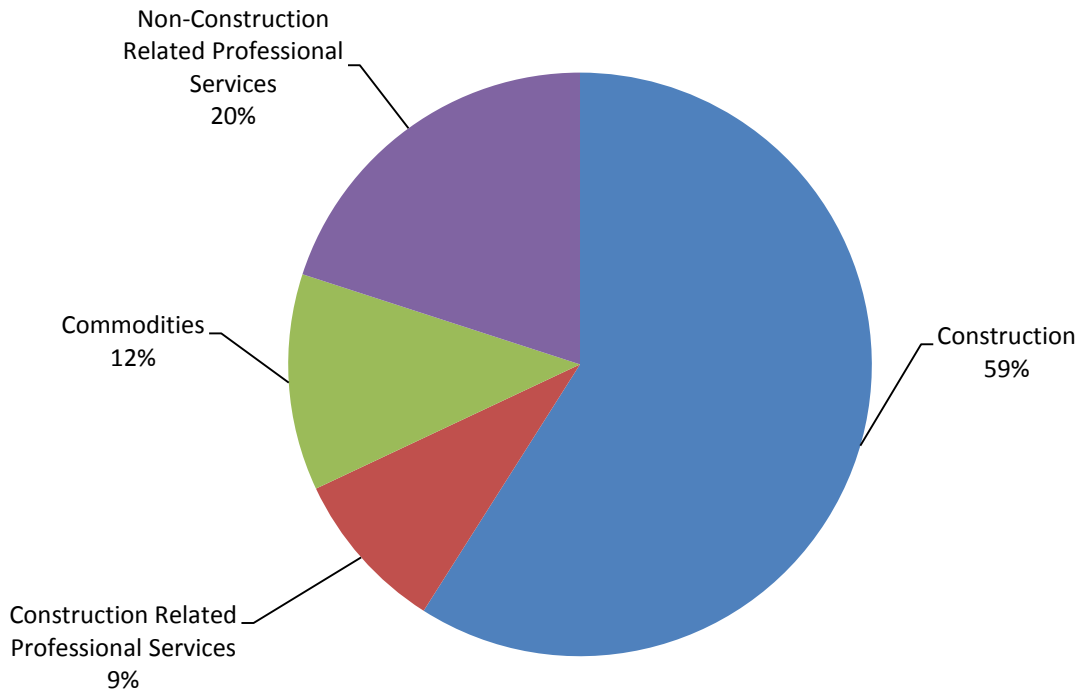
Executive Law Article 15-A (§§ 310-317) governs requirements for the participation of minority- and women-owned business enterprises (“MWBEs”), minority group members and women in New York State contracting. The objective of Article 15-A is to promote equality of economic opportunities for MWBEs, minority group members and women and to eliminate barriers to their participation in state contracting.

The Division of Minority and Women’s Business Development (“DMWBD” or the “Division”) oversees the statewide MWBE program operated by “state agencies” (which includes, inter alia, state agencies and public authorities) as defined by Article 15-A. The Division is charged with three primary functions: (1) to encourage and assist state agencies that are engaged in contracting activities to award a fair share of state contracts to MWBEs; (2) to review applications by businesses seeking certification as an MWBE and to maintain a directory of certified MWBEs; and (3) to promote the business development of MWBEs through education and outreach to agencies and MWBEs.

MWBE Utilization

	FY12-13
Statewide MWBE utilization percentage	21.06%
Total agency and authority spending	\$7,069,768,138
Total MWBE contract awards	\$1,488,810,688
Total Minority Business Enterprise (MBE) contract awards	\$689,826,864
Total Women Business Enterprise (WBE) contract awards	\$798,983,824

Breakdown of State Contracts to MWBEs by Industry



Waiver and Violation Summary FY2012-2013

Number of Waivers Requested:	373
Number of Waivers Granted:	243*
Number of Contract Violations:	11**
Monetary Sanctions/Damages from Violations:	\$231,131

*Due to a lack of MWBE firms available to meet goals.

**Due to contractor failure to meet good faith standard.

Updated 2014

New York State Contract System

The State created and implemented an easier to use web-based contract system called the New York State Contract System. The electronic system used by the State to track MWBE utilization was antiquated and had no capacity to track compliance, contract opportunity reporting or allow for on-line state certification applications.

The New York State Contract System enables businesses to easily interact with the state agencies responsible for MWBE contracting and consolidates access to the entire range of procurement information. The system simplifies both the MWBE certification and contract management process.

For the state agencies, the system allows for comprehensive online reporting of activities with MWBE firms, resulting in robust contract monitoring and improved tracking of expenditures.

The new system allows MWBE firms, prime contractors and state agencies to:

- Identify contract opportunities;
- Find bid opportunities;
- Find prime contractors and subcontractors;
- Monitor contractor performance; and
- Obtain certification on-line.

FY2012-2013 MWBE Utilization

Name	Agency Expenditure	MBE Utilization	WBE Utilization	MWBE Utilization
ADIRONDACK PARK AGENCY	\$263,759.09	\$10,022.31	\$34,163.74	\$44,186.05
AGING, OFFICE OF	\$401,569.98	\$14,586.15	\$159,045.75	\$173,631.90
AGRICULTURE & MARKETS, DEPARTMENT OF	\$2,560,930.46	\$13,990.00	\$636,109.12	\$650,099.12
AGRICULTURE & NEW YORK STATE HORSEBREEDING DEVELOPMENT	\$207,628.97	\$18,424.03	\$88,955.80	107,379.83
ALBANY COUNTY AIRPORT AUTHORITY	\$5,105,830.74	\$306,477.67	\$685,195.52	\$991,673.19
ALBANY PORT DISTRICT COMMISSION	\$169,450.08	\$6,898.53	\$63,722.63	\$70,621.16
ALCOHOL BEVERAGE CONTROL, DIVISION OF	\$238,797.00	\$68,077.63	\$1,083.76	\$69,161.39
ALCOHOLISM AND SUBSTANCE ABUSE SERVICES, OFFICE OF	\$2,483,626.69	\$475,312.24	\$545,312.68	\$1,020,624.92
ARTS, NYS COUNCIL ON	\$248,485.15	\$59,927.09	\$0.00	\$59,927.09
BATTERY PARK CITY AUTHORITY	\$21,607,074.71	\$4,603,654.01	\$1,601,299.88	\$6,204,953.89
BRIDGE AUTHORITY	\$18,419,048.00	\$785,450.20	\$1,581,593.46	\$2,367,043.66
BUDGET, DIVISION OF	\$2,236,866.00	\$178,485.53	\$199,793.57	\$378,279.10
BUFFALO FISCAL STABILITY AUTHORITY	\$12,772.99	\$2,548.49	\$1,595.08	\$4,143.57
CAPITAL DISTRICT TRANSPORTATION AUTHORITY	\$8,069,743.20	\$25,646.30	\$849,583.18	\$875,229.48
CAYUGA COUNTY WATER & SEWER AUTHORITY	\$5,319,895.60	\$169,126.61	\$209,534.68	\$378,661.29
CHILDREN & FAMILY SERVICES, OFFICE OF	\$48,388,954.20	\$4,927,644.53	\$8,108,698.46	\$13,036,342.99
CITY UNIVERSITY CONSTRUCTION FUND	\$73,359,226.37	\$9,257,184.03	\$5,468,182.89	\$14,725,366.92
CIVIL SERVICE, DEPARTMENT OF	\$2,191,859.00	\$358,809.00	\$819,546.14	\$1,178,355.14
CNY REGIONAL TRANSPORTATION	\$5,010,206.80	\$84,942.64	\$1,563,827.22	\$1,648,769.86
CORRECTION, STATE COMMISSION	\$49,364.63	\$1,850.96	\$3,867.24	\$5,718.20
CORRECTIONS AND COMMUNITY SUPERVISION, DEPARTMENT OF	\$56,898,009.96	\$2,884,160.04	\$7,425,483.12	\$10,309,643.16
CRIMINAL JUSTICE SERVICES, DIVISION OF	\$18,363,441.20	\$4,792,569.43	\$706,894.13	\$5,499,463.56
CITY UNIVERSITY OF NEW YORK	\$12,542,540.00	\$281,408.58	\$337,652.61	\$619,061.19
DEVELOPMENTAL AUTHORITY OF NORTH COUNTRY	\$9,998,834.00	\$167,502.22	\$1,159,157.11	\$1,326,659.33
DORMITORY AUTHORITY OF THE STATE OF NEW YORK	\$839,301,502.55	\$113,609,979.63	\$68,203,683.66	\$181,813,663.29
ECONOMIC DEVELOPMENT, DEPARTMENT OF	\$5,225,983.70	\$744,330.24	\$1,894,115.43	\$2,638,445.67
EDUCATION DEPARTMENT	\$25,416,598.00	\$2,632,709.00	\$3,846,622.00	\$6,479,331.00
ELECTIONS, STATE BOARD OF	\$145,378.72	\$4,410.12	\$62,985.99	\$67,396.11
EMPIRE CENTER AT THE EGG	\$79,033.96	\$209.67	\$1,637.93	\$1,847.60
EMPIRE STATE DEVELOPMENT CORP.	\$369,143,972.07	\$35,375,033.56	\$62,808,224.62	\$98,183,258.18
EMPLOYEE RELATIONS, GOVERNOR'S OFFICE OF	\$40,124.37	\$5,366.44	\$27,025.28	\$32,391.72
ENERGY RESEARCH & DEVELOPMENT AUTHORITY	\$2,774,867.20	\$361,216.35	\$745,780.98	\$1,106,997.33
ENVIRONMENTAL CONSERVATION, DEPARTMENT OF	\$158,163,341.00	\$22,200,739.53	\$25,594,604.67	\$47,795,344.20
ENVIRONMENTAL FACILITIES CORPORATION	\$5,531,753.62	\$849,554.13	\$325,671.46	\$1,175,225.59

Name	Agency Expenditure	MBE Utilization	WBE Utilization	MWBE Utilization
ERIE COUNTY FISCAL STABILITY AUTHORITY	\$270,826.00	\$40,443.50	\$6,726.15	\$47,169.65
ERIE COUNTY MEDICAL CENTER CORPORATION	\$14,315,180.00	\$1,392,282.89	\$1,580,074.87	\$2,972,357.76
EXECUTIVE CHAMBER	\$664,494.67	\$170,783.01	\$107,568.00	\$278,351.01
FINANCIAL SERVICES, DEPARTMENT OF	\$4,095,950.64	\$1,901,008.00	\$330,882.78	\$2,231,890.78
GENERAL SERVICES, OFFICE OF	\$198,478,303.26	\$23,795,167.74	\$25,385,270.34	\$49,180,438.08
HEALTH, DEPARTMENT OF	\$354,562,220.00	\$4,753,429.18	\$44,636,264.82	\$49,389,694.00
HIGHER EDUCATION SERVICES CORPORATION	\$462,569.62	\$38,291.69	\$271,571.89	\$309,863.58
HOMES & COMMUNITY RENEWAL, DIVISION OF	\$108,437,066.15	\$18,122,364.32	\$15,438,394.10	\$33,560,758.42
HOMELAND SECURITY AND EMERGENCY SERVICES, DIVISION OF	\$11,562,905.14	\$34,640.68	\$953,117.73	\$987,758.41
HOUSING FINANCE AGENCY	\$622,719,972.00	\$41,594,652.02	\$51,333,836.94	\$92,928,488.96
HUDSON RIVER PARK TRUST	\$25,003,256.03	\$4,624,854.66	\$1,026,363.81	\$5,651,218.47
HUDSON RIVER BLACK RIVER REGULATING DISTRICT	\$280,710.40	\$0.00	\$10,545.88	\$10,545.88
HUMAN RIGHTS, DIVISION OF	\$1,123,045.63	\$63,506.52	\$309,241.42	\$372,747.94
INSPECTOR GENERAL, OFFICE OF	\$167,716.74	\$23,730.15	\$66,704.51	\$90,434.66
INSURANCE FUND, NYS	\$41,369,239.86	\$9,760,700.38	\$10,523,477.24	\$20,284,177.62
JACOB K. JAVITS CONVENTION CENTER	\$5,112,882.00	\$150,132.31	\$979,824.94	\$1,129,957.25
JOINT COMMISSION ON PUBLIC ETHICS	\$122,619.00	\$63,476.69	\$41,776.41	\$105,253.10
LABOR, DEPARTMENT OF	\$24,232,688.14	\$3,583,909.46	\$2,978,691.84	\$6,562,601.30
LIVINGSTON COUNTY WATER & SEWER AUTHORITY	\$1,216,551.00	\$0.00	\$105,560.79	\$105,560.79
LONG ISLAND POWER AUTHORITY	\$58,452,106.00	\$1,791,060.04	\$9,077,803.44	\$10,868,863.48
LOTTERY, DIVISION OF	\$50,666,060.95	\$12,180,367.48	\$905,648.83	\$13,086,016.31
MEDICAID INSPECTOR GENERAL, OFFICE OF	\$2,895,931.93	\$781,432.70	\$365,645.53	\$1,147,078.23
MENTAL HEALTH, OFFICE OF	\$35,075,220.15	\$4,476,216.21	\$4,656,469.14	\$9,132,685.35
METROPOLITAN TRANSPORTATION AUTHORITY	\$1,209,787,948.61	\$133,683,730.05	\$126,967,842.94	\$260,651,572.99
MILITARY AND NAVAL AFFAIRS, DIVISION OF	\$4,991,264.09	\$354,009.27	\$1,279,292.38	\$1,633,301.65
MOTOR VEHICLES, DEPARTMENT OF	\$15,038,950.00	\$1,113,784.48	\$2,765,805.50	\$3,879,589.98
NASSAU COUNTY INTERIM FINANCE AUTHORITY	\$90,246.72	\$90,246.58	\$0.00	\$90,246.58
NASSAU HEALTH CARE CORPORATION	\$52,169,357.73	\$3,481,226.88	\$1,306,173.71	\$4,787,400.59
NATURAL HERITAGE TRUST	\$1,587,769.33	\$31,206.99	\$300,288.76	\$331,495.75
NIAGARA FALLS WATER BOARD	\$5,846,478.50	\$250,970.00	\$111,012.88	\$361,982.88
NIAGARA FRONTIER TRANSPORTATION AUTHORITY	\$2,387,783.00	\$70,882.61	\$509,321.26	\$580,203.87
NYS THOROUGHBRED BREEDING AND DEVELOPMENT FUND	\$254,477.00	\$569.68	\$100,918.61	\$101,488.29
OLYMPIC REGIONAL DEVELOPMENT AUTHORITY	\$4,344,821.98	\$0.00	\$2,446,792.80	\$2,446,792.80
PARKS, RECREATION & HISTORIC PRESERVATION, OFFICE OF	\$99,644,986.47	\$5,516,972.74	\$19,864,383.99	\$25,381,356.73
PEOPLE WITH DEVELOPMENTAL DISABILITIES, OFFICE OF	\$51,299,354.98	\$6,459,985.45	\$5,498,017.75	\$11,958,003.20
OGDENSBURG BRIDGE AND PORT AUTHORITY	\$394,679.00	\$0.00	\$54,017.71	\$54,017.71

Name	Agency Expenditure	MBE Utilization	WBE Utilization	MWBE Utilization
PORT OF OSWEGO AUTHORITY	\$28,181.00	\$0.00	\$27,562.00	\$27,562.00
POWER AUTHORITY, NEW YORK	\$342,646,311.00	\$33,736,604.00	\$37,841,544.00	\$71,578,148.00
PREVENTION OF DOMESTIC VIOLENCE, OFFICE OF THE	\$21,718.36	\$1,704.50	\$8,450.15	\$10,154.65
PUBLIC EMPLOYMENT RELATIONS BOARD	\$5,977.55	\$673.14	\$572.93	\$1,246.07
PUBLIC SERVICE, DEPARTMENT OF	\$1,477,000.18	\$156,025.84	\$323,504.48	\$479,530.32
QUALITY OF CARE FOR THE MENTALLY DISABLED	\$23,218.17	\$16,744.73	\$2,885.10	\$19,629.83
RACING AND WAGERING BOARD	\$116,659.23	\$49,279.75	\$30,865.72	\$80,145.47
ROCHESTER GENESEE REGIONAL TRANSPORTATION AUTHORITY	\$720,268.87	\$42,136.01	\$146,727.84	\$188,863.85
ROOSEVELT ISLAND OPERATING CORPORATION	\$8,160,584.33	\$1,444,861.70	\$1,286,164.65	\$2,731,026.35
ROSWELL PARK CANCER INSTITUTE	\$28,619,240.00	\$473,003.91	\$1,874,274.73	\$2,347,278.64
SCHENECTADY METROPLEX DEVELOPMENT AUTHORITY	\$3,242,249.49	\$3,335.00	\$355,963.50	\$359,298.50
STATE POLICE, DIVISION OF	\$9,867,070.65	\$29,107.57	\$3,239,629.34	\$3,268,736.91
STATE UNIVERSITY CONSTRUCTION FUND	\$588,060,738.83	\$49,219,318.95	\$49,522,660.70	\$98,741,979.65
STATE, DEPARTMENT OF	\$3,748,487.00	\$214,973.54	\$663,843.26	\$878,816.80
STATE UNIVERSITY OF NEW YORK CENTRAL	\$468,141,008.06	\$24,491,388.60	\$46,628,659.16	\$71,120,047.76
TAXATION AND FINANCE, DEPARTMENT OF	\$10,178,993.00	\$2,014,654.75	\$2,194,591.68	\$4,209,246.43
TECHNOLOGY, OFFICE OF	\$12,317,633.01	\$2,273,785.23	\$1,511,030.09	\$3,784,815.32
TEMPORARY AND DISABILITY ASSISTANCE, OFFICE OF	\$53,451,881.54	\$6,065,012.59	\$11,223,438.84	\$17,288,451.43
THRUWAY AUTHORITY	\$237,482,459.31	\$19,540,638.73	\$25,091,756.04	\$44,632,394.77
TRANSPORTATION, DEPARTMENT OF	\$570,729,915.08	\$62,395,610.60	\$85,442,041.38	\$147,837,651.98
UNITED NATIONS DEVELOPMENT CORPORATION	\$4,868,983.00	\$663,363.00	\$333,238.34	\$996,601.34
UPPER MOHAWK VALLEY WATER AUTHORITY	\$2,527,481.27	\$0.00	\$193,566.32	\$193,566.32
VETERANS' AFFAIRS, DIVISION OF	\$34,801.55	\$1,421.62	\$13,602.68	\$15,024.30
VICTIM SERVICES, OFFICE OF	\$402,357.77	\$20,532.38	\$254,281.78	\$274,814.16
WELFARE INSPECTOR GENERAL, OFFICE OF	\$5,301.00	\$1,300.44	\$0.00	\$1,300.44
WESTCHESTER COUNTY HEALTH CARE CORPORATION	\$6,194,776.00	\$0.00	\$1,358,696.00	\$1,358,696.00
WORKERS COMPENSATION BOARD	\$7,598,741.00	\$1,297,106.68	\$1,358,294.22	\$2,655,400.90