



**DIVISION OF MINORITY AND WOMEN'S  
BUSINESS DEVELOPMENT**

**Annual Report Fiscal Year 2019-2020**

## The Division of Minority and Women’s Business Development

Executive Law Article 15-A (§§ 310-317) governs requirements for the participation of minority and women-owned business enterprises (“MWBEs”) in New York State contracting. The objective of Article 15-A is to promote equality of economic opportunities for MWBEs and to eliminate barriers to their participation in state contracting.

The Division of Minority and Women’s Business Development (“DMWBD” or the “Division”) oversees the statewide MWBE program operated by “state agencies” (which includes, *inter alia*, state agencies and public authorities) as defined by Article 15-A. The Division is charged with three primary functions: (1) to encourage and assist state agencies that are engaged in contracting activities to award a fair share of state contracts to MWBEs; (2) to review applications by businesses seeking certification as a MWBE and to maintain a directory of certified MWBEs; and (3) to promote the business development of MWBEs through education and outreach to agencies and MWBEs.

### MWBE Certification

Total Number of MWBE Certified Firms<sup>1</sup>: 8,210 firms  
Number of Firms Certified/Renewed in Fiscal Year 2019-2020: 1,437 firms

### NYS MWBE Certified Firms by Ethnicity

Ethnicity	Number of Certified Firms
Asian	1,410
Black	2,249
Hispanic	1,271
Native American	68
Non-Minority Women	3,212
<b>Total</b>	<b>8,210</b>

<sup>1</sup> These numbers are accurate as of November 10, 2020

## MWBE Utilization<sup>2</sup>

	Fiscal Year 2019-2020
Statewide MWBE Utilization percentage	29.51%
Total agency and authority spending	\$10,648,012,000.83
Total MWBE Utilization	\$3,142,482,951.24
Total Minority Business Enterprise (MBE) Utilization	\$1,482,585,454.71
Total Women Business Enterprise (WBE) Utilization	\$1,659,897,496.53

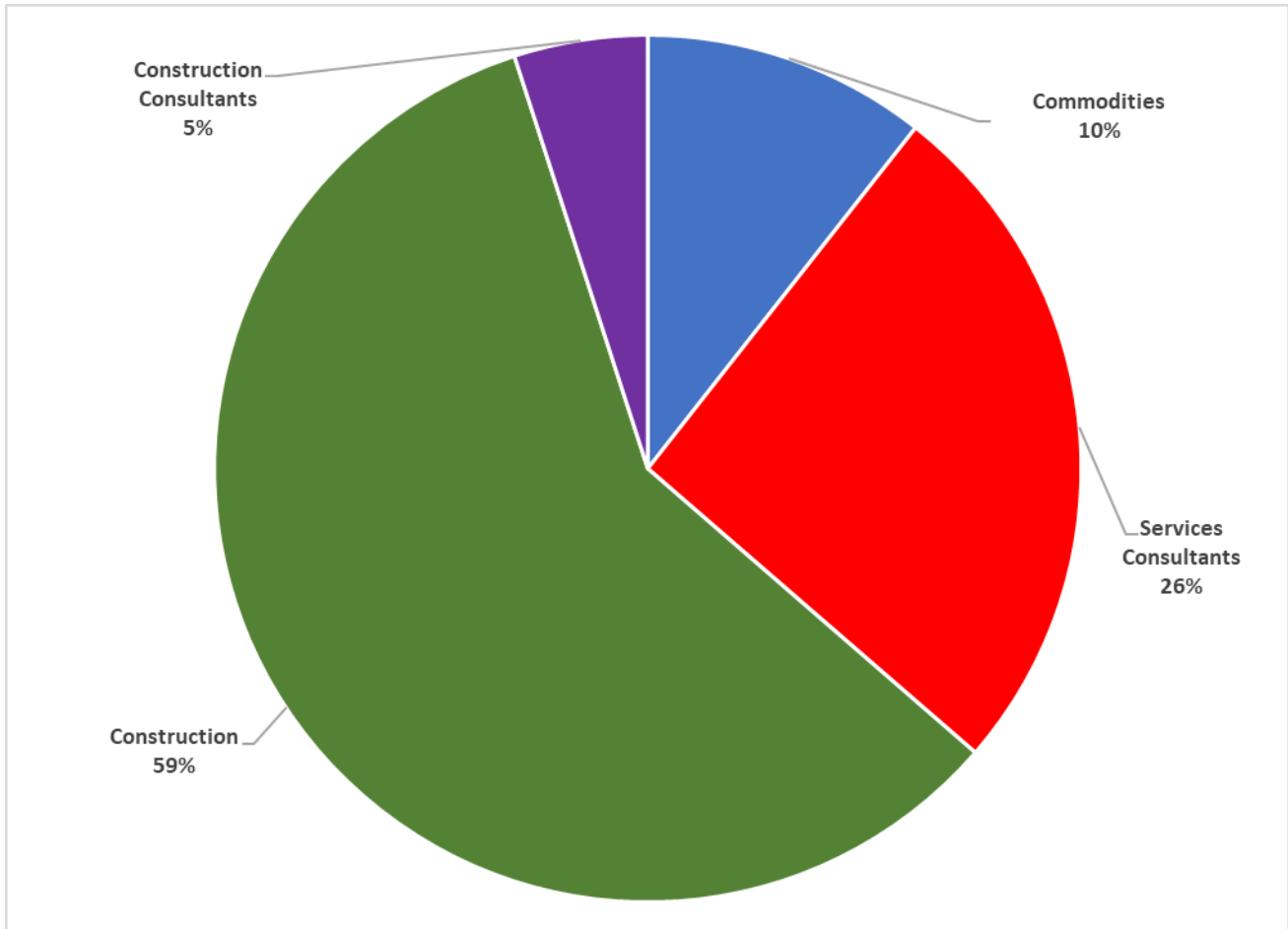
## Breakdown of Utilization of MWBEs by Industry<sup>3</sup>

	MBE	WBE	Total Utilization	Total Spend
Commodities	140,567,224.37	192,479,985.64	333,047,210.01	842,212,256.56
Service Consultants	354,695,386.50	455,050,228.02	809,745,614.52	2,240,799,533.34
Construction	908,626,491.99	934,502,333.39	1,843,128,825.38	6,986,071,550.82
Construction Consultants	78,696,351.86	77,864,949.46	156,561,301.32	578,928,660.11
<b>TOTALS</b>	<b>\$1,482,585,454.71</b>	<b>\$1,659,897,496.53</b>	<b>\$3,142,482,951.24</b>	<b>\$10,648,012,000.83</b>

<sup>2</sup> Utilization Reporting - Summary of Individual Agency Goals, Utilization, and Expenditures

<sup>3</sup> Utilization Reporting – Agency Utilization Summary

### Fiscal Year FY2019-2020 Utilization by Industry



### Waiver and Violation Summary Fiscal Year 2019-2020

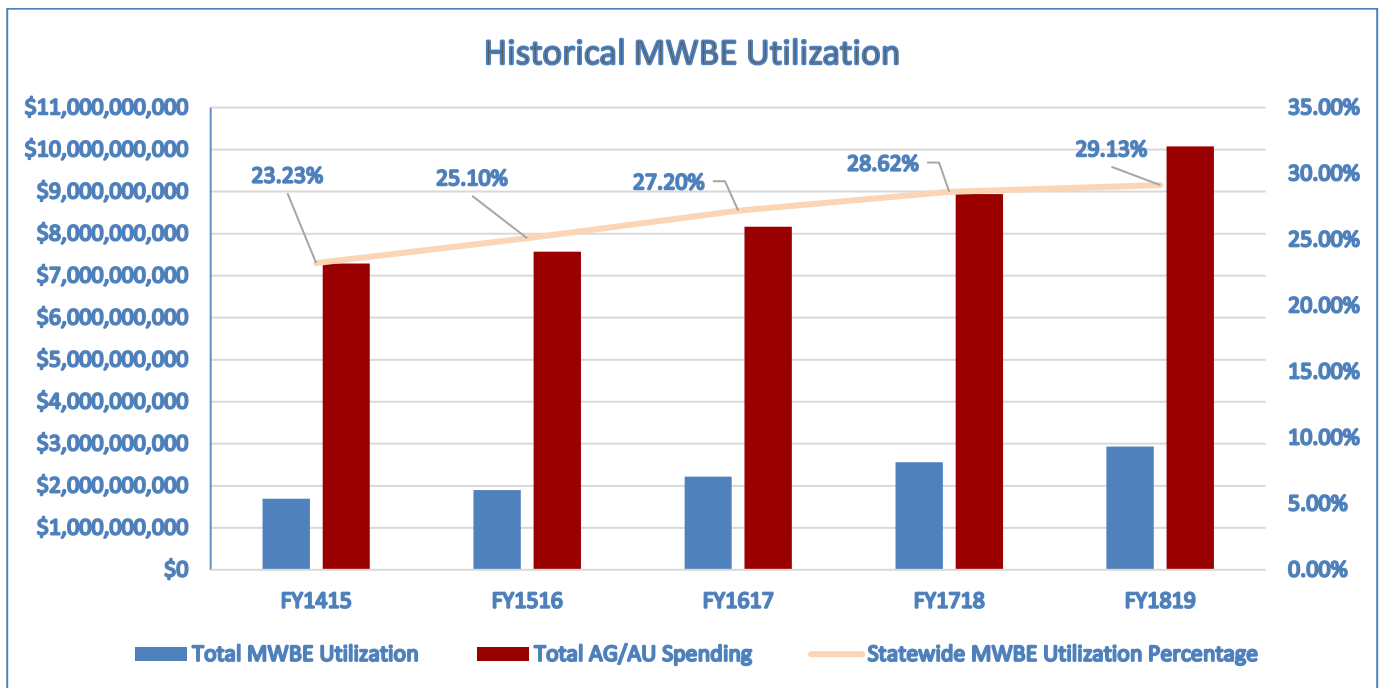
Number of Waivers Requested:	1,303
Number of Waivers Granted:	1,219 <sup>4</sup>
Number of Contract Violations:	35 <sup>5</sup>
Monetary Sanctions/Damages from Violations:	\$1,592,552.15

<sup>4</sup> Waivers were granted due to a lack of MWBE firms available to meet goals

<sup>5</sup> Due to contractor failure to meet good faith standard

## Historical MWBE Utilization

	FY1415	FY1516	FY1617	FY1718	FY1819
<b>Total MWBE Utilization</b>	\$1,692,805,272	\$1,900,872,162	\$2,220,523,755	\$2,558,668,960	\$2,934,820,016
<b>Total AG/AU Spending</b>	\$7,288,060,070	\$7,571,887,887	\$8,165,019,743	\$8,938,652,919	\$10,074,988,548
<b>Statewide MWBE Utilization Percentage</b>	23.23%	25.10%	27.20%	28.62%	29.13%



## Fiscal Year 2019-2020 MWBE Utilization by NYS Agency/Authority<sup>6</sup>

Agency Name	Agency Expenditure	MBE Utilization	WBE Utilization	MWBE Utilization	MWBE %
Adirondack Park Agency	51,875.00	12,670.59	25,638.55	38,309.14	73.85%
Agriculture and Markets	5,633,012.00	397,486.35	1,618,139.28	2,015,625.63	35.78%
Agriculture and New York State Horse Breeding Development	182,983.00	4,028.02	62,917.08	66,945.10	36.59%
Albany County Airport Authority	44,207,671.00	942,693.12	5,561,808.44	6,504,501.56	14.71%
Albany Port District Commission	360,603.00	4,532.23	108,702.01	113,234.24	31.40%
Battery Park City Authority	18,484,909.00	2,893,506.78	3,429,187.26	6,322,694.04	34.20%
Bridge Authority	7,476,951.00	904,876.72	1,168,070.78	2,072,947.50	27.72%
Buffalo Fiscal Stability Authority	3,566.00	267.67	686.92	954.59	26.77%
Capital District Transportation Authority	9,754,448.00	2,763,122.40	1,296,810.97	4,059,933.37	41.62%
Cayuga County Water & Sewer Authority	9,235.00	0.00	0.00	0.00	0.00%
City University Construction Fund	76,048,248.00	13,708,162.26	6,980,900.81	20,689,063.07	27.21%
City University of New York	69,980,057.00	8,383,850.05	13,928,402.26	22,312,252.31	31.88%
CNY Regional Transportation	5,929,518.00	256,956.11	2,251,148.57	2,508,104.68	42.30%
Council on the Arts	208,533.00	43,684.47	3,998.84	47,683.31	22.87%
Department of Civil Service	729,000.00	73,426.01	92,073.05	165,499.06	22.70%
Department of Corrections and Community Supervision	47,335,066.98	7,318,452.08	7,730,778.84	15,049,230.92	31.79%
Department of Economic Development	3,199,091.42	9,550.36	717,182.36	726,732.72	22.72%
Department of Environmental Conservation	112,166,638.16	1,804,497.85	20,925,687.91	22,730,185.76	20.26%
Department of Financial Services	2,720,631.00	886,259.17	652,396.32	1,538,655.49	56.56%
Department of Health	538,882,867.00	55,963,307.05	128,697,101.99	184,660,409.04	34.27%
Department of Labor	3,434,259.00	973,729.73	920,038.15	1,893,767.88	55.14%
Department of Motor Vehicles	15,297,149.00	3,849,811.87	1,673,713.88	5,523,525.75	36.11%
Department of Public Service	1,337,394.00	191,984.66	264,965.69	456,950.35	34.17%
Department of State	15,724,418.00	2,830,906.41	4,834,393.08	7,665,299.49	48.75%
Department of Taxation and Finance	4,394,434.00	1,621,758.33	1,155,171.50	2,776,929.83	63.19%
Development Authority of North Country	11,356,178.00	943,240.80	3,380,631.28	4,323,872.08	38.08%
Division of Alcoholic Beverage Control	79,698.26	25,033.89	10,627.70	35,661.59	44.75%
Division of Budget	803,954.00	92,693.87	194,458.11	287,151.98	35.72%
Division of Criminal Justice Services	1,167,306.00	252,945.30	550,347.90	803,293.20	68.82%
Division of Housing & Community Renewal	130,086,468.00	13,211,736.90	20,420,643.50	33,632,380.40	25.85%
Division of Human Rights	579,002.00	192,487.31	31,375.36	223,862.67	38.66%
Division of Military and Naval Affairs	1,672,704.00	305,429.31	277,363.21	582,792.52	34.84%
Division of State Police	4,130,938.00	579,137.08	1,998,957.51	2,578,094.59	62.41%
Dormitory Authority of the State of New York	570,474,578.25	93,171,616.61	91,027,923.03	184,199,539.64	32.29%
Education Department	87,354,661.00	6,130,764.00	20,403,226.80	26,533,990.80	30.38%

Agency Name	Agency Expenditure	MBE Utilization	WBE Utilization	MWBE Utilization	MWBE %
Empire Center at the Egg	15,622.00	0.00	3,236.51	3,236.51	20.72%
Empire State Development	1,786,360,672.07	261,412,324.77	268,475,578.84	529,887,903.61	29.66%
Energy Research & Development Authority, NYS	24,367,006.00	4,317,432.22	5,387,684.09	9,705,116.31	39.83%
Environmental Facilities Corporation	5,822,841.00	665,041.51	1,172,100.45	1,837,141.96	31.55%
Erie County Fiscal Stability Authority	11,822.00	72.39	3,760.62	3,833.01	32.42%
Erie County Medical Center	55,871,579.00	9,765,217.58	7,244,444.95	17,009,662.53	30.44%
Executive Chamber	120,290.00	20,493.23	24,185.03	44,678.26	37.14%
Gaming Commission	149,246,566.12	15,047,854.16	27,147,416.86	42,195,271.02	28.27%
Governor's Office of Employee Relations	38,760.00	32,831.72	5,353.25	38,184.97	98.52%
Higher Education Services Corporation	729,181.00	53,971.59	400,507.99	454,479.58	62.33%
Homeland Security	11,014,922.00	2,195,040.69	2,271,590.50	4,466,631.19	40.55%
Housing Finance Agency	361,184,133.00	73,948,252.86	62,277,939.65	136,226,192.51	37.72%
Hudson River Black River Regulating District	297,350.00	32,589.56	141,932.52	174,522.08	58.69%
Hudson River Park Trust	22,681,320.00	5,421,818.38	5,692,511.55	11,114,329.93	49.00%
Insurance Fund	63,605,153.00	17,781,593.10	13,728,460.80	31,510,053.90	49.54%
Jacob K. Javits Convention Center	19,267,558.76	3,982,603.39	1,459,056.29	5,441,659.68	28.24%
Joint Commission on Public Ethics	24,187.00	9,495.23	9,392.17	18,887.40	78.09%
Justice Center for the Protection of People with Special Needs	1,621,824.00	425,866.76	904,414.54	1,330,281.30	82.02%
Livingston County Water & Sewer Authority	2,164,307.00	82,550.80	220,581.59	303,132.39	14.01%
Long Island Power Authority	118,301,703.00	34,876,834.96	35,619,935.33	70,496,770.29	59.59%
Metropolitan Transportation Authority	2,831,704,188.72	423,464,203.97	349,122,266.35	772,586,470.32	27.28%
Nassau County Interim Finance Authority	1,550.00	648.62	0.00	648.62	41.85%
Nassau Health Care Corporation	32,769,992.00	19,709.00	4,834,890.38	4,854,599.38	14.81%
Natural Heritage Trust	1,956,813.00	22,692.96	499,930.05	522,623.01	26.71%
New York Power Authority	527,327,958.00	58,218,291.00	70,588,308.00	128,806,599.00	24.43%
New York State Department of Transportation	354,561,841.21	21,318,662.85	51,872,961.92	73,191,624.77	20.64%
New York State Thoroughbred Breeding and Development Fund	120,870.00	580.37	63,530.20	64,110.57	53.04%
New York State Thruway Authority	345,745,019.00	28,768,931.98	32,893,652.67	61,662,584.65	17.83%
Niagara Falls Water Board	5,498,436.00	148,335.00	661,810.79	810,145.79	14.73%
Niagara Frontier Transportation Authority	30,678,518.00	5,483,974.60	3,720,689.76	9,204,664.36	30.00%
Office for People With Developmental Disabilities	47,003,395.00	4,757,255.14	13,050,123.52	17,807,378.66	37.89%
Office for the Prevention of Domestic Violence	26,127.00	3,634.32	11,127.08	14,761.40	56.50%
Office for Victim Services	213,845.00	18,663.63	179,524.82	198,188.45	92.68%
Office of Addiction Services and Supports	6,490,940.00	1,386,423.85	2,850,481.33	4,236,905.18	65.27%
Office of Aging	1,654,613.42	277,478.26	354,189.01	631,667.27	38.18%
Office of Children & Family Services	22,860,611.37	4,257,068.52	4,347,397.13	8,604,465.65	37.64%

Agency Name	Agency Expenditure	MBE Utilization	WBE Utilization	MWBE Utilization	MWBE %
Office of General Services	514,469,842.07	78,922,146.42	106,679,668.64	185,601,815.06	36.08%
Office of Information Technology Services	172,647,711.00	23,556,090.03	33,570,587.76	57,126,677.79	33.09%
Office of Mental Health	89,192,236.00	24,738,856.80	7,644,216.65	32,383,073.45	36.31%
Office of Temporary and Disability Assistance	102,338,337.00	12,291,345.67	18,357,370.66	30,648,716.33	29.95%
Office of the Inspector General	340,303.00	93,205.73	36,823.97	130,029.70	38.21%
Office of the Medicaid Inspector General	796,711.00	102,175.10	381,034.48	483,209.58	60.65%
Office of the Welfare Inspector General	1,033.00	318.73	330.66	649.39	62.86%
Ogdensburg Bridge and Port Authority	81,655.00	8,751.32	72,904.75	81,656.07	100.00%
Olympic Regional Development Authority	38,094,949.00	3,954,919.58	2,800,671.76	6,755,591.34	17.73%
Parks, Recreation & Historic Preservation	178,544,531.00	16,602,533.50	27,940,799.70	44,543,333.20	24.95%
Port of Oswego Authority	50,536.00	3,467.42	47,067.90	50,535.32	100.00%
Public Employment Relations Board	24,419.00	1,044.35	2,138.09	3,182.44	13.03%
Regional Transit Service	2,920,812.00	652,891.08	341,846.67	994,737.75	34.06%
Roosevelt Island Operating Corporation	14,530,434.00	1,781,645.66	2,482,708.30	4,264,353.96	29.35%
Roswell Park Cancer Institute	25,606,070.62	3,679,713.74	4,478,802.28	8,158,516.02	31.86%
Schenectady Metroplex Development Authority	3,121,764.00	8,675.00	1,272,232.98	1,280,907.98	41.03%
State Board of Elections	2,045,277.14	797,060.68	305,561.98	1,102,622.66	53.91%
State Commission on Correction	9,645.00	8,644.36	0.00	8,644.36	89.63%
State University Construction Fund	347,867,787.08	38,693,355.19	50,684,383.04	89,377,738.23	25.69%
State University of New York Central	472,392,511.60	65,454,231.79	85,664,487.16	151,118,718.95	31.99%
Syracuse Regional Airport Authority	1,879,542.00	392,105.96	233,395.92	625,501.88	33.28%
United Nations Development Corporation	16,258,599.00	3,598,465.60	848,474.26	4,446,939.86	27.35%
Upper Mohawk Valley Water Authority	715,384.00	0.00	176,577.81	176,577.81	24.68%
Veterans Services	204,917.58	23,542.18	85,513.34	109,055.52	53.22%
Westchester County Health Care Corporation	34,801,037.00	6,769,537.77	4,205,006.93	10,974,544.70	31.54%
Workers Compensation Board	6,456,397.00	1,487,686.73	1,948,455.35	3,436,142.08	53.22%

<sup>6</sup> Utilization Reporting – Summary of Individual Agency Goals, Utilization, and Expenditures