



September 27, 2019

The Honorable Andrew Cuomo  
Governor, State of New York  
State Capitol Executive Chamber  
Albany, NY 12224

**Re: Annual Program Report Fiscal Year 2018-2019**

Dear Governor Cuomo:

I am pleased to provide you with the Empire State Development Corporation's (ESD) Annual Program Report, which reports the status of proposals for assistance from its financial assistance programs. For consistency with applicable legislation, this year's report covers activity during the period from April 1, 2018, through March 31, 2019.

The report is organized by section. The sections are: (I) Proposals for Assistance: Approved; (II) Proposals for Assistance: Evaluated – Not Yet Approved; and (III) Proposals for Assistance: Evaluated – No Further Action Recommended. The following ESD discretionary programs are included:

- Buffalo Regional Innovation Cluster
- Community Development Financial Institution Program
- Downtown Revitalization Initiative
- Entrepreneurial Assistance Program
- Economic Development Initiatives Fund (Global NY)
- Economic Transformation Program
- Empire State Economic Development Fund
- Federal Department of Defense Program
- JOBS Now Program
- Market New York Program
- Metropolitan Economic Revitalization Fund
- New NY Broadband Program
- New York Works Economic Development Fund
- New York Power Authority Craft Beverage Marketing Program
- New York State Life Science Initiative Fund
- Regional Council Capital Fund
- Restore New York Communities Initiative
- Transformative Investment Program
- Upstate Regional Blueprint Fund
- Upstate Revitalization Initiative
- Urban and Community Development Program

I look forward to working with you to foster your economic vision for New York State.

Very Truly Yours,

A handwritten signature in black ink, appearing to read 'E. Gertler', with a stylized flourish at the end.

Eric J. Gertler  
President & CEO Designate, Empire State Development

Cc: Chairperson of the Senate Committee on Finance  
Chairperson of the Senate Committee on Commerce, Economic Development and Small Business  
Chairperson of the Senate Committee on Corporations, Authorities and Commissions  
Chairperson of the Assembly Committee on Ways and Means  
Chairperson of the Assembly Committee on Commerce, Industry, and Economic Development  
Chairperson of the Assembly Committee on Corporations, Authorities and Commissions  
Chairperson of the Assembly Committee on Small Business

As required by Section 30 of the Urban Development Corporation Act 174/68, this report contains the status of economic development programs administered by the Urban Development Corporation, doing business as Empire State Development (“ESD”). This report covers the fiscal year from April 1, 2018, through March 31, 2019. Projects contained in this report are comprised of Proposals for Assistance: Approved; Proposals for Assistance: Evaluated Not Yet Approved; and Proposals for Assistance: Evaluated No Further Action Recommended. During this fiscal period, ESD Board approved 426 projects totaling \$1,702,371,499 in funding commitments.

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- New York State Map: Location and Amount of Commitments
- Economic Development Programs: Appropriation Categories

### **Section 1: Proposals for Assistance – Approved**

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- Project Detail by Region

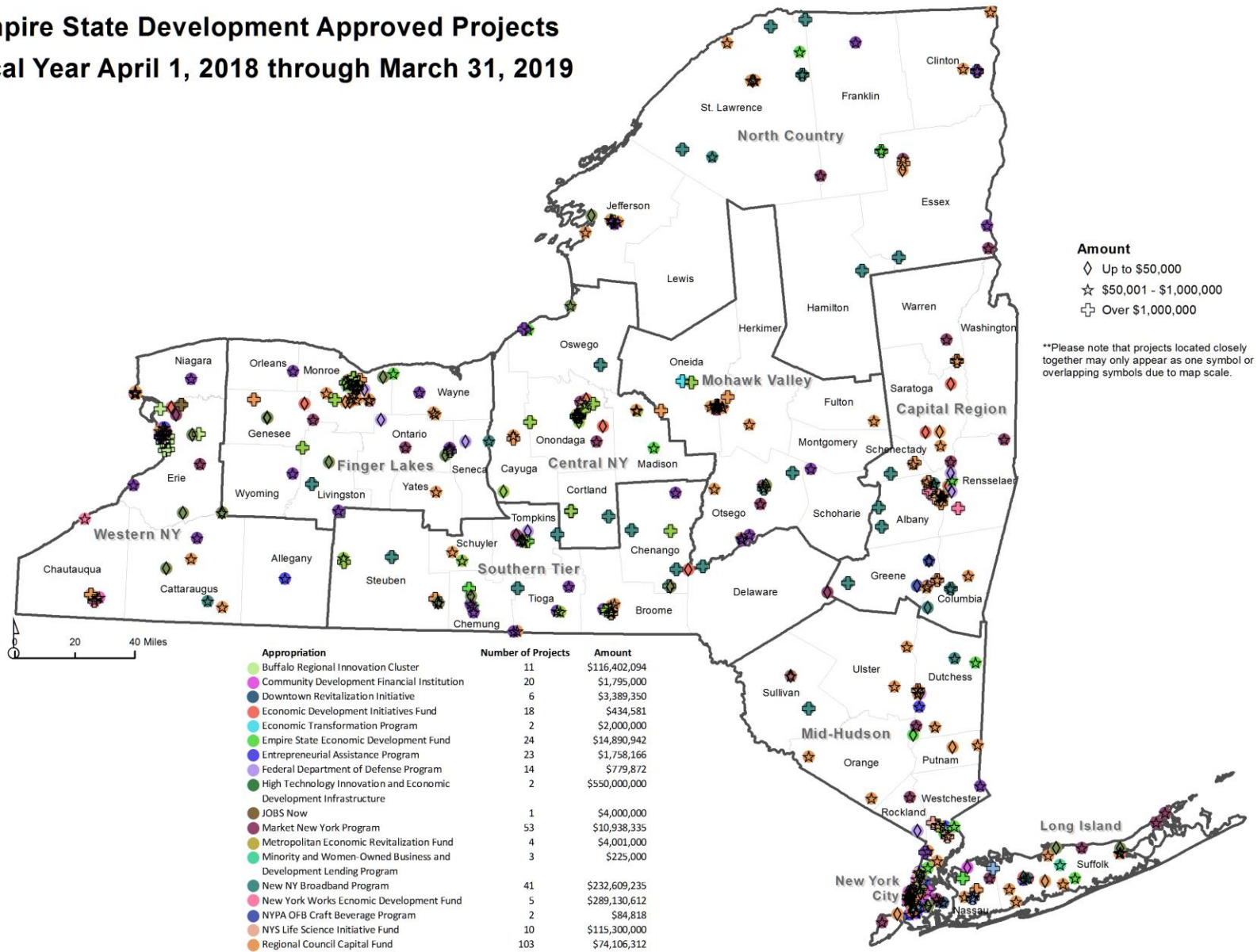
### **Section 2: Proposals for Assistance Evaluated – Not Yet Approved**

- Summary by Program and Region
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- Summary by Program and Region

# Empire State Development Approved Projects Fiscal Year April 1, 2018 through March 31, 2019



**Amount**  
 ◇ Up to \$50,000  
 ☆ \$50,001 - \$1,000,000  
 ⊕ Over \$1,000,000

\*\*Please note that projects located closely together may only appear as one symbol or overlapping symbols due to map scale.

Appropriation	Number of Projects	Amount
Buffalo Regional Innovation Cluster	11	\$116,402,094
Community Development Financial Institution	20	\$1,795,000
Downtown Revitalization Initiative	6	\$3,389,350
Economic Development Initiatives Fund	18	\$434,581
Economic Transformation Program	2	\$2,000,000
Empire State Economic Development Fund	24	\$14,890,942
Entrepreneurial Assistance Program	23	\$1,758,166
Federal Department of Defense Program	14	\$779,872
High Technology Innovation and Economic Development Infrastructure	2	\$550,000,000
JOBS Now	1	\$4,000,000
Market New York Program	53	\$10,938,335
Metropolitan Economic Revitalization Fund	4	\$4,001,000
Minority and Women-Owned Business and Development Lending Program	3	\$225,000
New NY Broadband Program	41	\$232,609,235
New York Works Economic Development Fund	5	\$289,130,612
NYPA OFB Craft Beverage Program	2	\$84,818
NYS Life Science Initiative Fund	10	\$115,300,000
Regional Council Capital Fund	103	\$74,106,312
Restore New York Communities Initiative	30	\$29,021,448
Transformative Investment Program	2	\$55,000,000
Upstate Regional Blueprint Fund	1	\$320,000
Upstate Revitalization Initiative	29	\$195,479,152
Urban and Community Development Program	22	\$705,582
<b>Total</b>	<b>426</b>	<b>\$1,702,371,499</b>

## ECONOMIC DEVELOPMENT PROGRAMS: APPROPRIATION CATEGORIES

Projects in this report are funded from the following appropriation categories (“Programs”):

- **Buffalo Regional Innovation Cluster (“BRIC”)** – an appropriation to create thousands of jobs and to spur at least \$5 billion in new investment and economic activity in Buffalo.
- **Community Development Financial Institution Program (“CDFI”)** – an appropriation that provides micro-loans to businesses that do not qualify for bank loans as well as one-on-one counseling and business development assistance to facilitate credit-readiness.
- **Downtown Revitalization Initiative (“DRI”)** – a \$100 million, appropriation to transform 10 downtown neighborhoods per year into vibrant communities. Each community is nominated by their respective Regional Economic Development Council, and is awarded \$10 million to develop a downtown strategic investment plan and implement key catalytic projects that advance the community’s vision for revitalization.
- **Economic Development Initiatives (“Global NY Fund”)** – a \$2 million appropriation to provide assistance to companies and not-for-profit organizations to increase the export of New York’s products and services to foreign markets. Grants are made to help New York State’s private sector identify and develop export markets and increase their sales, thereby creating and retaining jobs.
- **Economic Development Purposes Fund (“EDPF”)** – an appropriation established in the FY 2012 budget that made \$62.36 million of funding available for economic development across New York State for business investment, infrastructure investment, and economic growth investment initiatives.
- **Economic Transformation Program (“ETP”)** – a \$50 million appropriation created as part of the FY 2012 budget and a \$32 million appropriation in the FY 2018 budget to assist communities affected by closures of the state’s correctional and juvenile justice facilities.
- **Empire State Economic Development Fund (“EDF”)** – created in 1996 and generally funded annually, this appropriation provides assistance for projects that promote the economic health of New York State by facilitating the creation or retention of jobs or the increase of business activity.
- **Entrepreneurial Assistance Program (“EAP”)** – two annual appropriations that establish and maintain Entrepreneurial Assistance Program Centers in communities to provide instruction, training, technical assistance, and support services to individuals who have recently started their own business or are interested in starting a business.
- **Federal Department of Defense Office of Economic Adjustment** - Up to \$700,000 was made available in the FY 2018 budget through the United States Department of Defense Office of Economic Adjustment to fund projects that assist established and early stage defense industry companies with diversification and strengthen the resilience of the New York State defense companies to fluctuations in defense spending.
- **High Technology Innovation and Economic Development Infrastructure Program (“High Tech EDI”)** – A \$300 million appropriation in FY 2019 that supports initiatives that foster research and development of innovative technologies and leverage private investment in advanced science and technology, economic development initiatives, infrastructure, manufacturing, and other economic development initiatives.

## ECONOMIC DEVELOPMENT PROGRAMS: APPROPRIATION CATEGORIES CONTINUED

- **JOBS Now Program (“JN”)** – this appropriation, created in 1998, promotes the state’s economic health and the increase of economic activity by encouraging the expansion of current businesses and attraction of new businesses, primarily targeting companies that create at least 300 new permanent, full-time private sector jobs, with 25% of funds allowed for the creation of 100-299 jobs.
- **Market NY (“Market NY”)** – an appropriation initiated during Round 3 of the Regional Council Consolidated Funding Application process, to support regionally themed, New York focused projects to help to bolster tourism growth by promoting tourism destinations, attractions, and special events.
- **Metropolitan Economic Revitalization Fund (“MERF”)** – a \$25 million fund created in 1996 to revive economically distressed neighborhoods in the Port District of New York by offering capital loans that will result in retention or creation of a significant number of private-sector jobs.
- **Minority and Women-owned Business Development and Lending Program (“MWBDL”)** – an appropriation that provides financial assistance to minority- and women-owned business enterprises via loan funding.
- **New York Broadband Program (“New NY Broadband”)** – a \$500 million appropriation to support broadband deployment to Unserved and Underserved areas of the State, at Internet speeds of at least 100 megabits per second (Mbps) (download) in most places, and 25 Mbps (download) in the most remote parts of the State.
- **New York State Life Science Initiative Fund** – a \$320 million appropriation in the FY 2018 budget to spur the growth of a new, world-class life science research cluster in New York, as well as expand the state’s ability to commercialize this research and grow the economy.
- **NYPA Craft Beverage Marketing Program (“NYPA Craft Bev”)** – an appropriation established in FY 2015, under Section 19 of Part I of Chapter 55 of the State Law, allowing the Power Authority of the State of New York to make a contribution to ESD in furtherance of ESD’s statewide economic development initiatives.
- **New York Works Economic Development Fund (“NY Works”)** – an appropriation to support economic development.
- **Regional Council Capital Fund (“RCCF”)** – an appropriation established under the Governor’s Regional Economic Development Council Initiative to support capital-based economic development initiatives intended to create or retain jobs, prevent or reduce unemployment and underemployment and to increase business activity in a community or region.
- **Restore New York Communities Initiative (“Restore NY”)** – a \$300 million appropriation, originally awarded for Rounds 1-3 between FY 2007- FY 2009, that aids municipalities in revitalizing vacant, abandoned or deteriorating commercial and residential properties which may be in need of demolition, rehabilitation or reconstruction. In the FY 2016-2017, an additional \$8 million was appropriated for Round V.

## ECONOMIC DEVELOPMENT PROGRAMS: APPROPRIATION CATEGORIES CONTINUED

- **Transformative Investment Program (“TIP”)** - a \$400 million appropriation to support capital costs of regionally significant economic development initiatives that create or retain private sector jobs. Funding is made available for project costs, services, expenses, loans and grants that catalyze private investment, grow commercial and residential tax bases and enhance the environment and quality of life for New York State residents.
- **Upstate Revitalization Initiative (“URI”)** – a program aimed at systematically revitalizing the economy of upstate New York. Modeled after the success of the Buffalo Billion Initiative, the URI is an opportunity for the communities of upstate New York to address the economic challenges of their regions and work together in bringing jobs back upstate.
- **Urban and Community Development Program (“UCDP”)** – an appropriation that promotes economic development by encouraging economic opportunities in targeted communities and urban areas.

## SECTION 1: SUMMARY OF APPROVED ASSISTANCE FISCAL YEAR APRIL 1, 2018 – MARCH 31, 2019

### Summary of Approved Assistance

Projects are categorized by funding program and detail the total amount of assistance approved by the ESD Board during the Fiscal Year April 1, 2018 – March 31, 2019. If a recipient received more than one award or an award from more than one Program, each award is counted separately.

Appropriation	Number of Projects	Amount
Buffalo Regional Innovation Cluster	11	\$116,402,094
Community Development Financial Institution	20	\$1,795,000
Downtown Revitalization Initiative	6	\$3,389,350
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JOBS Now	1	\$4,000,000
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New NY Broadband Program	41	\$233,477,767
New York Works Economic Development Fund	5	\$288,262,080
NYPA OFB Craft Beverage Program	2	\$84,818
NYS Life Science Initiative Fund	10	\$115,300,000
Regional Council Capital Fund	103	\$74,106,312
Restore New York Communities Initiative	30	\$29,021,448
Transformative Investment Program	2	\$55,000,000
Upstate Regional Blueprint Fund	1	\$320,000
Upstate Revitalization Initiative	29	\$195,479,152
Urban and Community Development Program	22	\$705,582
<b>Total</b>	<b>426</b>	<b>\$1,702,371,499</b>



**Section 1: Proposals for Assistance –Approved 4/1/2018 – 3/31/2019**  
**A. Summary by Program and Region (Page 1 of 5)**

<b>Region</b>	<b># of Projects</b>	<b>Approved Assistance</b>
<b>BUFFALO REGIONAL INNOVATION CLUSTER (BRIC)</b>		
Western New York	11	\$ 116,402,094
<b>TOTAL BRIC</b>	<b>11</b>	<b>\$ 116,402,094</b>
<b>COMMUNITY DEVELOPMENT FINANCIAL INSTITUTION (CDFI)</b>		
Capital	2	190,000
Long Island	1	\$ 45,000
Mid-Hudson	1	\$ 100,000
New York City	12	\$ 1,055,000
Southern Tier	1	\$ 150,000
Western New York	3	\$ 255,000
<b>TOTAL CDFI</b>	<b>20</b>	<b>\$ 1,795,000</b>
<b>DOWNTOWN REVITALIZATION INITIATIVE (DRI)</b>		
Capital	1	\$ 1,100,000
Finger Lakes	2	\$ 1,725,000
New York City	3	\$ 564,350
<b>TOTAL DRI</b>	<b>6</b>	<b>\$ 3,389,350</b>
<b>ECONOMIC DEVELOPMENT INITIATIVES FUND (GLOBAL NY)</b>		
Capital	3	\$ 61,064
Central	3	\$ 72,831
Finger Lakes	3	\$ 63,889
Long Island	1	\$ 25,000
New York City	6	\$ 164,946
Southern Tier	1	\$ 30,000
Western New York	1	\$ 16,851
<b>TOTAL GLOBAL NY</b>	<b>18</b>	<b>\$ 434,581</b>
<b>ECONOMIC TRANSFORMATION PROGRAM (ETP)</b>		
Mohawk Valley	1	\$ 1,500,000
Southern Tier	1	\$ 500,000
<b>TOTAL ETP</b>	<b>2</b>	<b>\$ 2,000,000</b>
<b>EMPIRE STATE ECONOMIC DEVELOPMENT FUND (EDF)</b>		
Capital	2	\$ 160,000
Central	3	\$ 992,442
Finger Lakes	2	\$ 537,500
Long Island	2	\$ 2,675,000
Mid-Hudson	6	\$ 1,721,000
New York City	3	\$ 1,275,000
North Country	3	\$ 1,895,000
Southern Tier	3	\$ 5,635,000
<b>TOTAL EDF</b>	<b>24</b>	<b>\$ 14,890,942</b>

**Section 1: Proposals for Assistance –Approved 4/1/2018 – 3/31/2019**  
**A. Summary by Program and Region (page 2 of 5)**

<b>Region</b>	<b># of Projects</b>	<b>Approved Assistance</b>
<b>ENTREPRENEURIAL ASSISTANCE PROGRAM (EAP)</b>		
Capital	1	\$ 76,442
Central	1	\$ 76,442
Finger Lakes	2	\$ 152,884
Long Island	2	\$ 152,884
Mid Hudson	2	\$ 152,884
New York City	11	\$ 840,862
North Country	1	\$ 76,442
Southern Tier	1	\$ 76,442
Western New York	2	\$ 152,884
<b>TOTAL EAP</b>	<b>23</b>	<b>\$ 1,758,166</b>
<b>FEDERAL DEPARTMENT OF DEFENSE PROGRAM (DOD)</b>		
Capital	3	\$ 90,605
Finger Lakes	4	\$ 152,667
Mid-Hudson	2	\$ 100,000
Mohawk Valley	2	\$ 353,600
New York City	1	\$ 13,000
North Country	1	\$ 50,000
Southern Tier	1	\$ 20,000
<b>TOTAL DOD</b>	<b>14</b>	<b>\$ 779,872</b>
<b>HIGH TECHNOLOGY INNOVATION AND ECONOMIC DEVELOPMENT INFRASTRUCTURE (HIGH TECH EDI)</b>		
Capital	2	\$ 550,000,000
<b>TOTAL HIGH TECH EDI</b>	<b>2</b>	<b>\$ 550,000,000</b>
<b>JOBS NOW (JN)</b>		
Western New York	1	\$ 4,000,000
<b>TOTAL JN</b>	<b>1</b>	<b>\$ 4,000,000</b>
<b>MARKET NEW YORK PROGRAM (MARKET NY)</b>		
Capital	5	\$ 798,164
Central	4	\$ 1,163,000
Finger Lakes	5	\$ 870,315
Long Island	7	\$ 1,202,625
Mid-Hudson	3	\$ 875,063
Mohawk Valley	7	\$ 1,169,905
New York City	5	\$ 927,988
North Country	3	\$ 622,400
Southern Tier	7	\$ 860,375
Western New York	7	\$ 2,448,500
<b>TOTAL MARKET NY</b>	<b>53</b>	<b>\$ 10,938,335</b>

**Section 1: Proposals for Assistance –Approved 4/1/2018– 3/31/2019**  
**A. Summary by Program and Region (Page 3 of 5)**

<b>Region</b>	<b># of Projects</b>	<b>Approved Assistance</b>
<b>METROPOLITAN ECONOMIC REVITALIZATION FUND (MERF)</b>		
Mid Hudson	1	\$ 1,001,000
New York City	3	\$ 3,000,000
<b>TOTAL MERF</b>	<b>4</b>	<b>\$ 4,001,000</b>
<b>MINORITY AND WOMEN-OWNED BUSINESS AND DEVELOPMENT LENDING PROGRAM (MWBDL)</b>		
Long Island	2	\$ 150,000
New York City	1	\$ 75,000
<b>TOTAL MWBDL</b>	<b>3</b>	<b>\$ 225,000</b>
<b>NEW NY BROADBAND PROGRAM (NEW NY BROADBAND)</b>		
Capital	8	\$ 21,614,026
Central	4	\$ 27,691,930
Finger Lakes	1	\$ 11,261,414
Long Island	1	\$ 116,708
Mid-Hudson	2	\$ 7,639,851
Mohawk Valley	4	\$ 8,187,126
North Country	11	\$ 113,196,092
Southern Tier	7	\$ 39,377,216
Western New York	3	\$ 4,393,404
<b>TOTAL NEW NY BROADBAND</b>	<b>41</b>	<b>\$ 233,477,767</b>
<b>NEW YORK WORKS ECONOMIC DEVELOPMENT FUND (NY WORKS)</b>		
Capital	2	\$ 286,887,080
Western New York	2	\$ 1,375,000
<b>TOTAL NY WORKS</b>	<b>4</b>	<b>\$ 288,262,080</b>
<b>NYPA CRAFT BEVERAGE PROGRAM (NYPA CRAFT BEVERAGE)</b>		
Mid-Hudson	1	\$ 46,818
Capital	1	\$ 38,000
<b>TOTAL NYPA CRAFT BEVERAGE</b>	<b>2</b>	<b>\$ 84,818</b>
<b>NEW YORK LIFE SCIENCE INITIATIVE FUND (NY LIFE SCIENCE)</b>		
Capital	1	\$ 11,200,000
Finger Lakes	1	\$ 1,000,000
Mid-Hudson	3	\$ 29,500,000
New York City	5	\$ 73,600,000
<b>TOTAL NY LIFE SCIENCE</b>	<b>10</b>	<b>\$ 115,300,000</b>

**Section 1: Proposals for Assistance –Approved 4/1/2018 – 3/31/2019**  
**A. Summary by Program and Region (Page 4 of 5)**

<b>Region</b>	<b># of Projects</b>	<b>Approved Assistance</b>
<b>REGIONAL COUNCIL CAPITAL FUND (RCCF)</b>		
Capital	14	\$ 16,969,276
Central	7	\$ 4,143,792
Finger Lakes	14	\$ 11,399,000
Long Island	9	\$ 6,268,644
Mid-Hudson	12	\$ 7,715,000
Mohawk Valley	13	\$ 7,346,100
New York City	4	\$ 3,395,000
North Country	12	\$ 4,218,500
Southern Tier	8	\$ 5,938,000
Western New York	10	\$ 6,713,000
<b>TOTAL RCCF</b>	<b>103</b>	<b>\$ 74,106,312</b>
<b>RESTORE NEW YORK COMMUNITIES INITIATIVE (RESTORE NY)</b>		
Central	2	\$ 4,500,000
Finger Lakes	6	\$ 7,551,888
Mid-Hudson	2	\$ 3,000,000
Mohawk Valley	2	\$ 839,000
North Country	4	\$ 2,042,000
Southern Tier	10	\$ 6,240,863
Western New York	4	\$ 4,847,697
<b>TOTAL RESTORE NY</b>	<b>30</b>	<b>\$ 29,021,448</b>
<b>TRANSFORMATIVE INVESTMENT PROGRAM (TIP)</b>		
Long Island	2	\$ 55,000,000
<b>TOTAL TIP</b>	<b>2</b>	<b>\$ 55,000,000</b>
<b>UPSTATE REGIONAL BLUEPRINT FUND (URB)</b>		
Mid-Hudson	1	\$ 320,000
<b>TOTAL URB</b>	<b>1</b>	<b>\$ 320,000</b>
<b>UPSTATE REVITALIZATION INITIATIVE (URI)</b>		
Central	12	\$ 95,929,152
Finger Lakes	9	\$ 58,900,000
Mohawk Valley	1	\$ 2,000,000
Southern Tier	7	\$ 38,650,000
<b>TOTAL URI</b>	<b>29</b>	<b>\$ 195,479,152</b>

**Section 1: Proposals for Assistance –Approved 4/1/2018 – 3/31/2019**  
**A. Summary by Program and Region (Page 5 of 5)**

<b>Region</b>	<b># of Projects</b>	<b>Approved Assistance</b>
<b>URBAN AND COMMUNITY DEVELOPMENT PROGRAM (UCDP)</b>		
Central	1	\$ 100,000
Finger Lakes	6	\$ 101,424
Long Island	2	\$ 38,188
Mohawk Valley	1	\$ 49,500
New York City	4	\$ 195,000
North Country	1	\$ 50,000
Southern Tier	4	\$ 81,720
Western New York	3	\$ 89,750
<b>TOTAL UCDP</b>	<b>22</b>	<b>\$ 705,582</b>
<b>Total</b>	<b>426</b>	<b>\$1,702,371,499</b>

**Section 1: Proposals for Assistance – Approved 4/1/2018 – 3/31/2019**  
**B. Project Detail by Region (Page 1 of 8)**

Project #	Project Name	Program	Approved Assistance
<b>CAPITAL REGION</b>			
125,955	Adeline Graham Center Capital	RCCF	\$ 330,000
130,207	Adventure Travel Trade Association 2019 ELEVATE Conference Working Capital	MARKET NY	\$ 166,410
127,494	Albany Distilling Capital	EDF	\$ 60,000
125,168	American Dance Institute Capital	RCCF	\$ 500,000
131,652	Blasch Export Growth Working Capital	GLOBAL NY	\$ 25,000
125,997	Brown's Brewing Company "Destination BEER" Working Capital	MARKET NY	\$ 70,759
131,211	Central Catskills Initiative Broadband Capital	NEW NY BROADBAND	\$ 4,877,133
132,318	CLFCR CDFI 20	CDFI	\$ 95,000
124,792	Commercial Services Printing Capital	RCCF	\$ 317,000
131,208	Connecting the Capital District Phase 3 (Unserved) Broadband Capital 9-7467	NEW NY BROADBAND	\$ 2,710,497
132,783	Cosmo Optics Working Capital Stage I	DOD	\$ 20,000
131,922	EAP-Capital Chamber Foundation Entrepreneurial Assistance Program Center	EAP	\$ 76,442
132,320	EGF CDFI 20	CDFI	\$ 95,000
130,233	Erie Canalway 200 Marketing Campaign Working Capital	MARKET NY	\$ 124,575
126,228	Glens Falls Garage/Mixed Use Capital	RCCF	\$ 3,000,000
125,331	Greene County Chamber of Commerce Craft Beverage Working Capital	NYPA CRAFT BEVERAGE	\$ 38,000
132,386	GymRat CHALLENGE Sporting Event Working Capital	MARKET NY	\$ 211,420
124,699	Hawthorne Valley Farm Capital	RCCF	\$ 440,220
132,180	Health Research, Inc. Surveillance Network	NY LIFE SCIENCE	\$ 11,200,000
124,810	Hudson River Place Capital	EDF	\$ 100,000
132,122	Hughes Additional Service Areas Broadband Capital	NEW NY BROADBAND	\$ 305,865
129,689	HVCC Building Systems Technology Programs	RCCF	\$ 20,056
131,811	Jay Moulding DOD Project	DOD	\$ 50,000
126,693	JW Danforth Expansion Capital	RCCF	\$ 575,000
131,449	LASNYY Center for Legal Services Capital	RCCF	\$ 300,000
132,126	Lithoz America- Working Capital	DOD	\$ 20,605
125,327	Mohawk Harbor Capital	RCCF	\$ 5,000,000
131,944	NYS-Applied Materials META Center Capital	HIGH TECH EDI	\$250,000,000
131,943	NYS-IBM AI Hardware Center Capital	HIGH TECH EDI	\$300,000,000
131,199	Phase 3 CAF GTel Out of Territory Broadband Capital	NEW NY BROADBAND	\$ 854,652
131,204	Phase 3 Unserved GTel Out of Territory Broadband Capital	NEW NY BROADBAND	\$ 28,109
131,215	Phase III CAF Broadband Capital	NEW NY BROADBAND	\$ 824,126
131,210	Phase III Unserved Broadband Capital	NEW NY BROADBAND	\$ 3,649,999
126,836	Polyset Working Capital	GLOBAL NY	\$ 24,660
128,336	Port of Albany Big Lift Capital	RCCF	\$ 4,000,000
131,358	Regeneron Pharmaceuticals Capital	NY WORKS	\$ 70,000,000
130,150	River House Renovation Capital	RCCF	\$ 225,000
131,195	Round 3 MIDTEL Unserved Broadband Capital	NEW NY BROADBAND	\$ 2,318,108
130,203	Skywalk Marketing Campaign Working Capital	MARKET NY	\$ 225,000
132,261	Specialty Silicone Products Working Capital	GLOBAL NY	\$ 11,404
124,089	SUNY Adirondack - Culinary Arts Center Capital	DRI	\$ 1,100,000
123,569	The Park Theater Capital	RCCF	\$ 600,000
125,716	The Wick Hotel Capital	RCCF	\$ 1,500,000
123,656	Times Union Garage Egress Capital	RCCF	\$ 162,000
131,196	Verizon Capital and Mohawk Valley Unserved Broadband Capital	NEW NY BROADBAND	\$ 6,045,537
<b>TOTAL CAPITAL</b>		<b>46 Projects</b>	<b>\$ 672,297,577</b>
<b>CENTRAL REGION</b>			
126,509	321 South Salina Street Capital	RCCF	\$ 320,000
131,648	Air Innovations Working Capital	GLOBAL NY	\$ 24,405
125,209	Alliance for Economic Inclusion Fund Capital and Working Capital	URI	\$ 30,000,000
128,505	Aurora Shoe Company Capital	URI	\$ 50,000
131,533	Cafe Kubal Capital	RCCF	\$ 110,000

**Section 1: Proposals for Assistance – Approved 4/1/2018 – 3/31/2019**  
**B. Project Detail by Region (Page 2 of 8)**

Project #	Project Name	Program	Approved Assistance
<b>CENTRAL REGION Continued</b>			
131,842	CenterState CEO GENIUSNY III-V URI Capital and Working Capital	URI	\$ 15,000,000
130,218	CenterState CEO International Trails Symposium Working Capital	MARKET NY	\$ 150,000
129,537	City of Auburn- Smart Grid Implementation Capital	RCCF	\$ 1,200,000
124,639	City of Oswego - Oswego Harbor Revitalization Restore II	RESTORE NY	\$ 2,500,000
130,052	City of Syracuse – Urban Gateway RESTORE IV	RestoreNY Comm 16-17	\$ 2,000,000
130,267	CNY Arts Lifestyle Marketing Working Capital	MARKET NY	\$ 150,000
130,016	CNY Biotechnology Accelerator Buildout Capital	RCCF	\$ 1,352,792
131,268	Cortland-Chenango FTTH Broadband Capital	NEW NY BROADBAND	\$ 12,391,484
131,323	Dupli Envelope and Graphics Capital	RCCF	\$ 175,000
125,504	E. John Gavras Center Capital	RCCF	\$ 176,000
131,934	EAP-Southside Innovation Center Entrepreneurial Assistance Program Center	EAP	\$ 76,442
127,771	Giovanni Food Consolidation and Upgrade	URI	\$ 1,085,000
131,967	Greater Syracuse Soundstage	URI	\$ 1,700,000
131,250	Growing Upstate Food Hub Capital	RCCF	\$ 810,000
131,201	Haefele TV Phase 3 CAF Broadband Capital	NEW NY BROADBAND	\$ 1,308,193
130,224	Hill Country Farm Brewery Working Capital	MARKET NY	\$ 53,000
131,833	Hollowick Working Capital	GLOBAL NY	\$ 25,000
131,539	Hughes Central NY Broadband Capital	NEW NY BROADBAND	\$ 599,288
131,322	Innovation Group of CNY Arts Working Capital	URI	\$ 4,880,000
128,247	McNeil & Co. Insurance Office Campus	URI	\$ 1,100,000
131,656	Murphy & Nolan Export Growth Working Capital Global NY	GLOBAL NY	\$ 23,426
131,502	National Veterans Resource Complex Capital I	URI	\$ 14,500,000
125,717	National Veterans Resource Complex Capital II	URI	\$ 14,500,000
131,521	NYSBB CAF-5 Broadband Capital	NEW NY BROADBAND	\$ 13,392,965
124,071	Queensboro Farms Products Capital	EDF	\$ 133,000
127,898	Selkirk Point Redevelopment Planning & Design Capital	UCDP	\$ 100,000
126,522	SUNY AG & Tech at Morrisville Working Capital	EDF	\$ 318,442
132,121	Super DIRT Week 2018	EDF	\$ 541,000
128,534	Syracuse 727 LLC (formerly Varsity BLVD South Crouse Avenue) Capital	URI	\$ 800,000
124,708	Syracuse Jet Facility Capital	MARKET NY	\$ 810,000
128,710	Tony Baird Electronics Capital	URI	\$ 14,152
124,267	Welch Allyn Expansion and Consolidation	URI	\$ 12,300,000
<b>TOTAL CENTRAL</b>		<b>37 Projects</b>	<b>\$ 134,669,589</b>
<b>FINGER LAKES REGION</b>			
124,265	Ambrell Capital	EDF	\$ 350,000
128,367	American Packaging	URI	\$ 14,700,000
131,272	ATI Phase 3 Area 1 Broadband Capital	NEW NY BROADBAND	\$ 11,261,414
131,489	AIM Photonics Capital URI	NY WORKS	\$ 216,887,080
126,989	Batavia BID Feasibility Study Working Capital	UCDP	\$ 12,500
130,244	Campground Owners of NY Marketing Working Capital III	MARKET NY	\$ 101,250
129,699	City of Batavia Health Campus Working Capital	UCDP	\$ 25,000
129,417	City of Geneva Working Capital	UCDP	\$ 20,000
126,699	Danisco DuPont Capital	URI	\$ 1,500,000
125,132	Datto Capital	URI	\$ 2,100,000
129,767	Downtown Perry RESTORE IV	RESTORE NY	\$ 500,000
131,926	EAP-IBERO Waverly Entrepreneurial Assistance Program Center 18-19	EAP	\$ 76,442
131,935	EAP-Urban League of Rochester Entrepreneurial Assistance Program Center	EAP	\$ 76,442
131,829	Electronics and Innovation Working Capital	GLOBAL NY	\$ 13,889
130,617	Excell Technology Ventures URI	URI	\$ 25,000,000
132,464	Genesee Country Village & Museum Agritourism Marketing	MARKET NY	\$ 71,115
127,569	Geneva Enterprise Development Center RESTORE IV	RESTORE NY	\$ 500,000

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**B. Project Detail by Region (Page 3 of 8)**

Project #	Project Name	Program	Approved Assistance
<b>FINGER LAKES REGION Continued</b>			
132,366	Greater Rochester Chamber Finger Lakes Study Working Capital	URI	\$ 100,000
132,108	Hickey Freeman Retention/Expansion Working Capital	URI	\$ 4,000,000
125,659	Lake Tunnel Solar Village Capital	DRI	\$ 1,250,000
125,817	Liberty Pumps Working Capital- Global NY 17-18	GLOBAL NY	\$ 25,000
131,527	LiveTiles Capital	URI	\$ 3,500,000
126,047	Livingston County Public Market Strategic Plan	UCDP	\$ 15,000
128,729	MCC Applied Technology Center Capital	RCCF	\$ 57,000
127,245	Monroe Community Sports Capital	RCCF	\$ 566,000
131,804	Mosaic - AMUSA Working Capital	DOD	\$ 49,777
125,805	Nazareth College Music Performance Center Capital	RCCF	\$ 1,000,000
130,219	New York Wine and Grape Foundation Working Capital	MARKET NY	\$ 93,750
123,579	New York Wine Industry Association	RCCF	\$ 300,000
131,312	NY Wine Culinary Center Programmatic Working Capital	MARKET NY	\$ 221,700
132,188	NYFIRST University of Rochester Medical School Capital	NY LIFE SCIENCE	\$ 1,000,000
130,220	NYS International Marketing Initiative Working Capital	MARKET NY	\$ 382,500
127,175	Optimax Systems Capital	EDF	\$ 187,500
131,566	RIT Food Waste Capital	RCCF	\$ 50,000
124,813	Roberts Wesleyan Technology Infrastructure Capital	RCCF	\$ 145,000
131,752	Rochester Center City RESTORE V	RESTORE NY	\$ 5,000,000
126,860	Rochester General Hospital LPN Training School Capital	RCCF	\$ 1,100,000
124,451	Rochester Institute of Technology CUE Capital	RCCF	\$ 2,600,000
132,224	SCCC DOD Working Capital	DOD	\$ 50,000
126,758	Semiconductor Components Industries Capital	URI	\$ 6,000,000
127,480	Seneca Park Zoo Master Plan Improvements Capital Phase 1a	RCCF	\$ 1,500,000
131,528	Sensor Films Working Capital	DOD	\$ 32,890
129,135	Special Touch Bakery Capital	RCCF	\$ 481,000
123,766	The College at Brockport Capital	RCCF	\$ 700,000
128,835	Town of Alabama Water Line STAMP Capital	RCCF	\$ 1,500,000
128,562	Town of Marion – Main Street Marion RESTORE IV	RESTORE NY	\$ 266,400
131,374	Twisted Rail Brewery Capital	DRI	\$ 475,000
132,796	Unistel DOD Working Capital	DOD	\$ 20,000
129,674	Village of Arcade Working Capital	UCDP	\$ 8,000
131,447	Village of Dansville - Dansville Depot RESTORE IV	RESTORE NY	\$ 285,488
131,751	Village of Holley – Holley Gardens RESTORE V	RESTORE NY	\$ 1,000,000
131,655	Visual DX Language Translation Working Capital Global NY	GLOBAL NY	\$ 25,000
125,725	Wayne County Chapter NYSARC Capital	RCCF	\$ 400,000
127,087	Wayne-Finger Lakes BOCES Capital	RCCF	\$ 1,000,000
124,702	Webster Community Coalition Working Capital	UCDP	\$ 20,924
129,489	WNY Enterprise Cheese Capital	URI	\$ 2,000,000
<b>TOTAL FINGER LAKES</b>		<b>55 Projects</b>	<b>\$ 310,603,061</b>
<b>LONG ISLAND REGION</b>			
128,189	Adelphi University Health Science Lab Capital	RCCF	\$ 75,532
128,756	Ametek Hughes-Treitler Capital	RCCF	\$ 160,000
124,116	Avery Biomedical Devices Working Capital- Global NY 16-17	GLOBAL NY	\$ 25,000
132,806	Cold Spring Neuroscience Research Complex Capital	TIP	\$ 30,000,000
130,215	Craft Beverage Promotion Weekends Working Capital	MARKET NY	\$ 187,000
131,924	EAP-Hofstra University Entrepreneurial Assistance Program Center 18-19	EAP	\$ 76,442
131,933	EAP-Suffolk County Community College Entrepreneurial Assistance Program Center	EAP	\$ 76,442
131,503	Family Community Life Center Study	UCDP	\$ 13,188
130,239	Global Tourism Marketing Partnerships Working Capital	MARKET NY	\$ 300,000
132,832	Hofstra University Certification Assistance Program	MWBDL	\$ 75,000
129,555	Hofstra University School of Engineering and Applied Science Capital	TIP	\$ 25,000,000
129,292	Industrial Metals International Capital	RCCF	\$ 25,000



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**B. Project Detail by Region (Page 4 of 8)**

Project #	Project Name	Program	Approved Assistance
<b>LONG ISLAND REGION Continued</b>			
132,323	La Fuerza CDFI 20	CDFI	\$ 45,000
130,237	LGBT Network - Pride on the Beach 2018 Working Capital	MARKET NY	\$ 103,125
132,803	LIRR Wyandanch Station Capital	RCCF	\$ 900,000
127,966	Main Street Partners Theater Capital	RCCF	\$ 150,000
132,110	Non-CAF Long Island Region Broadband Capital	NEW NY BROADBAND	\$ 116,708
130,259	North Fork Authentic Working Capital	MARKET NY	\$ 150,000
130,245	North Fork Trolley Working Capital	MARKET NY	\$ 112,500
127,423	P & L Development Capital	RCCF	\$ 4,000,000
127,486	Pall Corporation Capital	EDF	\$ 2,000,000
125,835	Peconic Crossing	RCCF	\$ 275,000
128,830	Quality King Capital	EDF	\$ 675,000
128,246	SBU MRI Capital	RCCF	\$ 500,000
131,226	St. Joseph's College Capital	RCCF	\$ 183,112
132,833	Suffolk Community College Certification Assistance Program	MWBDL	\$ 75,000
123,964	Tesla Science Center at Wardenclyffe Working Capital	MARKET NY	\$ 200,000
127,212	Upper Port Jefferson Strategic Planning Working Capital	UCDP	\$ 25,000
130,251	Wine Tourism Marketing Working Capital	MARKET NY	\$ 150,000
<b>TOTAL LONG ISLAND</b>		<b>29 Projects</b>	<b>\$ 65,674,049</b>
<b>MID HUDSON REGION</b>			
130,254	50th Anniversary of Woodstock Special Event Working Capital (Bethel Woods)	MARKET NY	\$ 689,063
128,052	Brewmation Capital	EDF	\$ 50,000
127,281	Catskills Food Hub Capital	URB	\$ 320,000
132,317	CCNY CDFI 20	CDFI	\$ 100,000
131,825	CCNY MERF RLF	MERF	\$ 1,001,000
131,783	City of Yonkers - Cottage Place Gardens Redevelopment RESTORE V	RESTORE NY	\$ 2,500,000
131,291	Cozzini Bros. Capital	EDF	\$ 150,000
131,402	Drink More Good Capital	EDF	\$ 46,000
131,938	EAP-Women's Enterprise Development- Poughkeepsie EAP Center 18-19	EAP	\$ 76,442
131,937	EAP-Women's Enterprise Development -Westchester EAP Center 18-19	EAP	\$ 76,442
130,258	GARNER Arts Center Working Capital	MARKET NY	\$ 120,375
128,283	Green Chimneys School Capital	RCCF	\$ 750,000
124,320	Hudson River Housing Capital	RCCF	\$ 300,000
131,716	Hudson Valley Beverage Co. Capital	RCCF	\$ 565,000
131,556	Hughes Mid-Hudson Broadband Capital	NEW NY BROADBAND	\$ 613,776
131,558	Hughes Network-CAF Broadband Capital	NEW NY BROADBAND	\$ 7,026,075
131,812	Inertia Switch - DOD Working Capital	DOD	\$ 50,000
130,208	Martinelli Custom Publishing Working Capital	MARKET NY	\$ 65,625
132,071	MTEC-MPI DOD Working Capital	DOD	\$ 50,000
132,276	NeuroCuresNY Pilot Demonstration Working Capital	NY LIFE SCIENCE	\$ 5,000,000
131,625	NeuroCuresNY Working Capital Planning Grant	NY LIFE SCIENCE	\$ 500,000
125,648	Putnam County-Wide Working Capital	RCCF	\$ 50,000
131,824	Regeneron Lyme Disease Research Working Capital	NY LIFE SCIENCE	\$ 24,000,000
131,670	Shawangunk Wine Trail Magazine Working Capital	NYPA CRAFT BEVERAGE	\$ 46,818
127,535	SoYo Exalta Capital	RCCF	\$ 1,000,000
128,135	Sprague Operating Resources Capital	RCCF	\$ 500,000
124,132	Star Brands North America Capital	EDF	\$ 275,000
127,702	Steel Plant Studios Capital RC5	RCCF	\$ 1,500,000
124,015	Steel Plant Studios Capital RC6	RCCF	\$ 1,000,000
126,803	Stoneleaf Construction Capital	EDF	\$ 200,000
124,192	The Arc of Ulster-Greene Capital	RCCF	\$ 200,000
126,681	The Research Foundation of SUNY New Paltz Capital	RCCF	\$ 1,000,000
125,765	Tuxedo Sloatsburg Corridor Revitalization Capital	RCCF	\$ 750,000
125,288	Wappingers Falls Goring Hall Reconstruction RESTORE IV	RESTORE NY	\$ 500,000
128,293	White Plains Aviation Partners Capital	EDF	\$ 1,000,000
129,479	Xin Shi Ji Corporation Capital	RCCF	\$ 100,000
<b>TOTAL MID HUDSON</b>		<b>36 Projects</b>	<b>\$ 52,171,616</b>

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**B. Project Detail by Region (Page 5 of 8)**

Project #	Project Name	Program	Approved Assistance
<b>MOHAWK VALLEY REGION</b>			
131,632	649 Bleecker Street Capital	RCCF	\$ 200,000
131,315	Brewery Ommegang Hospitality Center Capital	MARKET NY	\$ 375,000
131,314	Brewery Ommegang Working Capital	MARKET NY	\$ 150,000
131,782	City of Oneonta – Stevens Hardware Building RESTORE NY V	RESTORE NY	\$ 750,000
127,840	City of Rome Riverwalk Village Capital	ETP	\$ 1,500,000
132,227	DOD Cybersecurity Assistance	DOD	\$ 303,600
124,130	Edmeston Central School District Capital	RCCF	\$ 50,100
131,642	Environmental Composites Prototype Development-Working Capital	DOD	\$ 50,000
128,393	Griffiss Institute-Commercialization Academy-Working Capital	URI	\$ 2,000,000
127,113	Hartwick College Capital	RCCF	\$ 68,000
129,074	Masonic Medical Research Laboratory Capital	RCCF	\$ 550,000
131,992	Metal Solutions Capital	RCCF	\$ 220,000
132,269	Mohawk Networks Phase 2 New NY Broadband Capital Part 1	NEW NY BROADBAND	\$ 1,432,409
132,867	MVRRCR Capital	RCCF	\$ 1,748,000
131,499	Nathan Littauer Hospital & Nursing Home Capital	RCCF	\$ 370,000
130,217	National Baseball Hall of Fame 2018 Working Capital	MARKET NY	\$ 250,000
131,542	Non-CAF Mohawk Valley Region Broadband Capital	NEW NY BROADBAND	\$ 1,015,401
127,694	ONX3 Capital	RCCF	\$ 1,900,000
131,209	Otsego Rural Broadband Phase 3 Broadband Capital	NEW NY BROADBAND	\$ 1,440,693
131,219	Otsego Rural Broadband Phase 3 CAF Broadband Capital	NEW NY BROADBAND	\$ 4,298,623
127,941	Peter Maurin House Capital	RCCF	\$ 100,000
126,866	RDR Industries Capital	RCCF	\$ 60,000
124,633	RESTORE IV Village of Sharon Springs - Comfort House	RESTORE NY	\$ 89,000
129,151	Sewer Separation Capital	RCCF	\$ 1,300,000
131,299	Silk Splendor: Kimonos from the Kubota Collection Working Capital	MARKET NY	\$ 76,905
130,230	The Farmers Museum Working Capital	MARKET NY	\$ 92,000
131,555	Utica College Construction Management Program Capital	RCCF	\$ 700,000
130,247	Utica Zoo Primate Building Capital	MARKET NY	\$ 153,400
130,256	Utica Zoo Working Capital	MARKET NY	\$ 72,600
126,951	Village of Cooperstown Doubleday Field Working Capital	UCDP	\$ 49,500
125,669	Vincent Holdings- 98 Genesee Street Capital	RCCF	\$ 80,000
<b>TOTAL MOHAWK VALLEY</b>		<b>31 Projects</b>	<b>\$ 21,445,231</b>
<b>NEW YORK CITY REGION</b>			
132,310	Accion-Utica CDFI 20	CDFI	\$ 150,000
132,313	BCNA CDFI 20	CDFI	\$ 100,000
132,314	BOC CDFI 20	CDFI	\$ 100,000
132,315	Brooklyn Cooperative CDFI 20	CDFI	\$ 90,000
132,316	CAMBA CDFI 20	CDFI	\$ 55,000
132,321	GRAMEEN CDFI 20	CDFI	\$ 100,000
132,322	HEF CDFI 20	CDFI	\$ 85,000
132,324	NTFCU CDFI 20	CDFI	\$ 60,000
132,326	Renaissance CDFI 20	CDFI	\$ 100,000
132,327	TruFund CDFI 20	CDFI	\$ 95,000
132,329	WHIDC CDFI 20	CDFI	\$ 80,000
132,311	WVF CDFI 20	CDFI	\$ 40,000
132,091	Queens EDC Prime Skills Program Working Capital	DRI	\$ 230,000
131,864	Standard Practice Working Capital	DRI	\$ 250,000
131,379	ZenFi Jamaica Duct Downtown Revitalization Capital	DRI	\$ 84,350
131,917	EAP-Bedford Stuyvesant Restoration Entrepreneurial Assistance Program Center 18-19	EAP	\$ 76,442
131,920	EAP-Business Training Institute Entrepreneurial Assistance Program Center 18-19	EAP	\$ 76,442

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**B. Project Detail by Region (Page 6 of 8)**

Project #	Project Name	Program	Approved Assistance
<b>NEW YORK CITY Region Continued</b>			
131,921	EAP-CAMBA Entrepreneurial Assistance Program Center 18-19	EAP	\$ 76,442
131,923	EAP-Chinatown Manpower Entrepreneurial Assistance Program Center 18-19	EAP	\$ 76,442
131,928	EAP-Local Development Corporation of ENY Entrepreneurial Assistance Program Center 18-19	EAP	\$ 76,442
131,919	EAP-Queens (Cornon/ Jamaica) Entrepreneurial Assistance Program Center 18-19	EAP	\$ 76,442
131,929	EAP-Queens EDC Entrepreneurial Assistance Program Center 18-19	EAP	\$ 76,442
131,930	EAP-Renaissance EDC Entrepreneurial Assistance Program Center 18-19	EAP	\$ 76,442
131,931	EAP-SoBRO Entrepreneurial Assistance Program Center 18-19	EAP	\$ 76,442
131,932	EAP-SoBRO Venture Entrepreneurial Assistance Program Center 18-19	EAP	\$ 76,442
131,936	EAP-Washington Heights- Inwood Entrepreneurial Assistance Program Center 18-19	EAP	\$ 76,442
132,031	Ben-Amun Working Capital	GLOBAL NY	\$ 17,413
131,958	Diamond Dealers Club Working Capital	GLOBAL NY	\$ 50,000
131,649	Emmelle Export Growth Working Capital	GLOBAL NY	\$ 16,152
125,869	Brooklyn Chamber Working Capital- Global NY	GLOBAL NY	\$ 45,996
131,831	Classic Rug Working Capital	GLOBAL NY	\$ 24,785
132,030	Sussman Automatic Working Capital	GLOBAL NY	\$ 10,600
132,195	NYU Study of Economic Benefits of Cannabis Working Capital	EDF	\$ 300,000
125,004	The New York Botanical Garden Capital	EDF	\$ 100,000
125,724	HNTB Capital	EDF	\$ 875,000
132,774	Pvillion DOD Working Capital	DOD	\$ 13,000
131,877	Staten Island Tourism Proposal Working Capital	MARKET NY	\$ 224,738
131,298	Queens Tourism Council Working Capital	MARKET NY	\$ 112,500
130,202	Seaport Museum Anniversary Celebration Marketing Working Capital	MARKET NY	\$ 69,500
130,270	Tenement Museum Working Capital	MARKET NY	\$ 146,250
130,242	WorldPride NYC 2019 - Stonewall 50th Anniversary Working Capital	MARKET NY	\$ 375,000
131,828	BOC MERF RLF	MERF	\$ 1,000,000
131,826	EGF MERF RLF	MERF	\$ 1,000,000
131,827	TruFund MERF RLF	MERF	\$ 1,000,000
132,831	Business Outreach Center- Certification Assistance Program	MWBDL	\$ 75,000
131,317	Empire Discovery Institute Working Capital	NY LIFE SCIENCE	\$ 35,400,000
131,302	ILUM Health Solutions Working Capital	NY LIFE SCIENCE	\$ 11,200,000
132,189	NYFIRST Columbia University Medical School Capital	NY LIFE SCIENCE	\$ 1,000,000
132,192	NYFIRST Icahn Medical School at Mount Sinai Capital	NY LIFE SCIENCE	\$ 1,000,000
132,027	SOSV NY- Life Science Accelerator	NY LIFE SCIENCE	\$ 25,000,000
126,579	Brooklyn Navy Yard- Urban Technology- New Lab Capital	RCCF	\$ 750,000
131,474	Galvanize Capital	RCCF	\$ 600,000
126,490	New York BioLabs Capital	RCCF	\$ 2,000,000
127,471	Staten Island Museum Capital	RCCF	\$ 45,000
130,015	Arthur Ave Market Study Capital I	UCDP	\$ 25,000
126,098	Arthur Avenue Market Study Capital II	UCDP	\$ 50,000
126,290	LESBID - GreenHub Study	UCDP	\$ 20,000
127,561	NYC ITAC Manufacturing Survey Working Capital	UCDP	\$ 100,000
<b>TOTAL NEW YORK CITY</b>		<b>58 Projects</b>	<b>\$ 85,106,146</b>
<b>NORTH COUNTRY REGION</b>			
127,040	Adirondack Medical Center Facility Capital	RCCF	\$ 2,000,000
131,966	Adirondack North Country Sports Council Working Capital	EDF	\$ 1,400,000
124,565	Agbotic Capital	RCCF	\$ 700,000
130,328	Arconic Capital	RCCF	\$ 90,000
132,112	Capital District Broadband Capital	NEW NY BROADBAND	\$ 1,015,332
128,015	Champlain Plastics Improvements Capital	RCCF	\$ 110,000
129,261	City of Plattsburgh – Highway Oil Co. Building RESTORE IV	RESTORE NY	\$ 54,000
132,225	Clarkson University - Phoebus DOD Working Capital	DOD	\$ 50,000
132,111	Clinton County Broadband Capital	NEW NY BROADBAND	\$ 1,225,722
130,302	Current Applications M&E Capital	RCCF	\$ 19,000
131,916	EAP-Adirondack EDC Entrepreneurial Assistance Program Center 18-19	EAP	\$ 76,442

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**B. Project Detail by Region (Page 7 of 8)**

Project #	Project Name	Program	Approved Assistance
<b>NORTH COUNTRY REGION Continued</b>			
131,212	Edwards Tel NY BB Phase III Broadband Capital	NEW NY BROADBAND	\$ 918,387
127,629	For & Ever Wild Beverage Improvements Capital	RCCF	\$ 4,500
131,270	Frontier Phase 3 North Country Unserved Broadband Capital	NEW NY BROADBAND	\$ 2,651,214
130,222	International Children's Games Working Capital	MARKET NY	\$ 250,000
128,544	Jefferson County IDA Infrastructure Feasibility	UCDP	\$ 50,000
129,977	Jefford's Steel Capital	RCCF	\$ 300,000
131,471	LC Drives Capital RC6	RCCF	\$ 500,000
131,297	New York State Winter Tourism Promo Working Capital	MARKET NY	\$ 264,000
131,197	Nicholville Broadband Capital	NEW NY BROADBAND	\$ 1,370,200
131,548	Non-CAF North Country Region Broadband Capital	NEW NY BROADBAND	\$ 1,849,365
129,103	North American Tapes	RCCF	\$ 195,000
126,310	North Country Children's Museum Capital	RCCF	\$ 120,000
131,216	North Country East Broadband Capital	NEW NY BROADBAND	\$ 18,492,929
131,214	NYSBB CAF-1 Broadband Capital	NEW NY BROADBAND	\$ 49,532,881
127,240	Slic Network Solutions Broadband Capital B	NEW NY BROADBAND	\$ 308,760
130,216	Star Trek Original Series 2018 Weekend Special Events Working Capital	MARKET NY	\$ 108,400
130,060	Stature Electric Capital	EDF	\$ 275,000
131,440	Town of Crown Point - War Canoe Spirits RESTORE IV	RESTORE NY	\$ 500,000
124,276	Town of Watertown - Carolyn Pontiac Property RESTORE IV	RESTORE NY	\$ 500,000
127,246	Twinstare 2015 Capital	RCCF	\$ 120,000
129,371	Upstate Niagara Improvements Capital	EDF	\$ 220,000
131,218	Verizon North County Unserved Broadband Capital	NEW NY BROADBAND	\$ 29,691,952
131,742	Village of Malone – River Building Renaissance RESTORE V	RESTORE NY	\$ 988,000
131,213	Warren County Broadband Capital	NEW NY BROADBAND	\$ 6,139,350
126,032	Watertown Industrial Center Improvements Capital	RCCF	\$ 60,000
<b>TOTAL NORTH COUNTRY</b>		<b>36 Projects</b>	<b>\$ 122,150,434</b>
<b>SOUTHERN TIER REGION</b>			
131,718	ACHIEVE Capital	RCCF	\$ 111,000
132,312	Alternatives/ Cooperative CDFI 20	CDFI	\$ 150,000
128,472	Amtrak Acela Capital	URI	\$ 30,000,000
131,522	Ansco Camera Capital	URI	\$ 2,000,000
131,271	ATI Phase 3 Area 3 CAF Broadband Capital	NEW NY BROADBAND	\$ 19,092,478
131,464	Binghamton Precast & Supply Capital	RCCF	\$ 350,000
127,098	Blodgett Site RESTORE IV	RESTORE NY	\$ 480,150
131,224	Cayuga Nature Center Capital	MARKET NY	\$ 37,500
131,494	City of Corning Strategic Study	UCDP	\$ 24,000
124,321	City of Elmira - Lake Street RESTORE IV	RESTORE NY	\$ 500,000
125,719	City of Middletown - Middletown Community Campus RESTORE IV	RESTORE NY	\$ 500,000
128,884	Cornell Seed Breeding Working Capital	EDF	\$ 2,000,000
125,456	Cornell University Ithaca Incubator	RCCF	\$ 1,000,000
130,253	Corning Museum of Glass Working Capital	MARKET NY	\$ 246,625
131,203	DCBI Phase IV CAF Broadband Capital	NEW NY BROADBAND	\$ 3,861,077
131,207	DCBI Phase IV Non-Caf Broadband Capital	NEW NY BROADBAND	\$ 5,329,453
131,918	EAP-Binghamton LDC Entrepreneurial Assistance Program Center 18-19	EAP	\$ 76,442
131,269	Frontier Phase 3 Southern Tier Unserved Broadband Capital 9-77332	NEW NY BROADBAND	\$ 7,070,959
125,864	Gravity Ciders Capital	GLOBAL NY	\$ 30,000
131,200	Haefele TV Phase 3 Unserved Broadband Capital	NEW NY BROADBAND	\$ 1,619,345
125,179	Johnson City – iDistrict Revitalization Initiative RESTORE IV	RESTORE NY	\$ 60,000
130,205	LUMA Projection Arts Festival Working Capital	MARKET NY	\$ 71,250
130,898	Midwestern Pet Foods Capital	RCCF	\$ 152,000
131,549	Non-CAF Southern Tier Region Broadband Capital	NEW NY BROADBAND	\$ 1,160,714
131,765	Owego Main Street Rehab RESTORE NY V	RESTORE NY	\$ 875,000
130,897	Schuyler County Partnership ETP Capital	ETP	\$ 500,000
130,902	Schuyler County Partnership URI Capital	URI	\$ 1,000,000
125,502	Schuyler County Seneca - Capital	RCCF	\$ 550,000
128,014	SCOPED Capital	RCCF	\$ 250,000

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Project #	Project Name	Program	Approved Assistance
<b>SOUTHERN TIER REGION Continued</b>			
127,522	SEPAC Capital I	EDF	\$ 635,000
130,240	Spiedie Fest Balloon Rally 2018 Working Capital	MARKET NY	\$ 150,000
130,249	Spillian's Catskill Trout Tales Working Capital	MARKET NY	\$ 50,000
129,110	SUNY Binghamton University Capital	RCCF	\$ 925,000
128,120	The Inn at Corning Capital	RCCF	\$ 2,600,000
128,842	Tioga County IDA- Weitsman Shredding Capital	URI	\$ 1,000,000
131,311	Tompkins County Visitor Center Capital	MARKET NY	\$ 290,000
131,310	Tompkins County Visitor Center Working Capital	MARKET NY	\$ 15,000
131,198	Tompkins FTTH Broadband Capital	NEW NY BROADBAND	\$ 1,243,190
124,636	Town of Ithaca Working Capital	UCDP	\$ 30,000
131,768	Town of Sherburne – Sherburne Inn Restore V	RESTORE NY	\$ 1,000,000
131,498	Transitair Capital	URI	\$ 300,000
131,423	Unison Revitalization	URI	\$ 4,230,000
124,219	Village of Afton Working Capital	UCDP	\$ 16,470
131,770	Village of Elmira Heights - Pierce Landing RESTORE NY V	RESTORE NY	\$ 875,000
131,459	Village of Horseheads Working Capital	UCDP	\$ 11,250
131,445	Village of Newark Valley - Ladder Factory Demolition RESTORE IV	RESTORE NY	\$ 450,713
123,622	Village of Owego - Gateway Capital RESTORE IV	RESTORE NY	\$ 500,000
131,769	Village of Waverly - 358 Broad Street RESTORE V	RESTORE NY	\$ 1,000,000
131,482	Wayfair Zenker Drive Capital	EDF	\$ 3,000,000
126,626	Werdenberg Building Capital	URI	\$ 120,000
132,250	Xallent Working Capital	DOD	\$ 20,000
<b>TOTAL SOUTHERN TIER</b>		<b>51 Projects</b>	<b>\$ 97,559,616</b>
<b>WESTERN NEW YORK REGION</b>			
124,578	43 North Phase II Buffalo Billions 16-17	BRIC	\$ 25,000,000
127,618	7th Niagara Street Redevelopment Capital	RCCF	\$ 750,000
128,234	Alix Rice Peace Park (Skatepark) Capital	MARKET NY	\$ 5,000
124,910	Aquarium of Niagara Penguin Exhibit Capital I	MARKET NY	\$ 100,000
131,869	Aquarium of Niagara Penguin Exhibit Capital I	RCCF	\$ 400,000
128,466	Bethlehem Steel Redevelopment Phase II Capital	BRIC	\$ 10,000,000
130,225	Buffalo Niagara Craft Beer Marketing Initiative Working Capital	MARKET NY	\$ 50,000
130,234	Buffalo Society of Natural Sciences Working Capital	MARKET NY	\$ 85,500
126,602	Cattaraugus County Site Development Working Capital	UCDP	\$ 34,750
131,868	Central Terminal Restoration	BRIC	\$ 5,000,000
127,709	Chautauqua Harbor Destination Hotel	MARKET NY	\$ 1,908,000
131,874	Chautauqua Harbor Hotel - Chautauqua Harbor Hotel LLC	RCCF	\$ 1,908,000
131,692	City of Buffalo - Northland Corridor RESTORE V	RESTORE NY	\$ 3,998,549
132,357	City of Jamestown NY Works EDF	NY WORKS	\$ 1,000,000
124,961	City of Lackawanna Smart Growth Buffalo Billions 17-18	BRIC	\$ 2,500,000
124,129	City of Lockport- Tuscarora Club RESTORE IV	RESTORE NY	\$ 500,000
124,785	City of Niagara Falls - Highland Avenue Business Park Capital	RCCF	\$ 500,000
132,319	CODI CDFI 20	CDFI	\$ 70,000
131,915	EAP-ACCORD Entrepreneurial Assistance Program Center 18-19	EAP	\$ 76,442
131,927	EAP-IBERO/ MEDAILLE College at Buffalo Entrepreneurial Assistance Program Center 18-19	EAP	\$ 76,442
124,837	Ellicottville Brewing Company Capital REC6	RCCF	\$ 600,000
130,213	Explore More Children's Museum Marketing Campaign Working Capital	MARKET NY	\$ 75,000
128,421	Government Employees Insurance Companies (GEICO) Capital	JOBS NOW	\$ 4,000,000
130,010	Jacobs School of Medicine Simulation Innovation Center Capital RC6	RCCF	\$ 1,000,000
132,099	Jefferson Avenue Apartments	BRIC	\$ 1,902,094
132,400	Lancaster Smart Growth	BRIC	\$ 2,500,000
126,886	Massachusetts Avenue Project	RCCF	\$ 385,000
131,957	Motivair Working Capital	GLOBAL NY	\$ 16,851
131,536	National Comedy Center Electronic Video Screens Capital	NY WORKS	\$ 375,000

**Section 1: Proposals for Assistance – Approved 4/1/2018 – 3/31/2019**  
**B. Project Detail by Region (Page 9 of 9)**

Project #	Project Name	Program	Approved Assistance
<b>WESTERN NEW YORK REGION Continued</b>			
127,866	NFTA-Metro Rail Extension Environmental Reviews Capital	BRIC	\$ 5,000,000
130,229	Niagara Falls Working Capital	MARKET NY	\$ 225,000
131,545	Non-CAF Western NY Region Broadband Capital	NEW NY BROADBAND	\$ 827,979
132,325	Pathstone CDFI 20	CDFI	\$ 85,000
129,731	Roswell Park Cancer Institute Capital	RCCF	\$ 250,000
132,399	Tonawanda Smart Growth	BRIC	\$ 2,500,000
126,292	Town of Ashford – Recreate Ashford Business RESTORE IV	RESTORE NY	\$ 159,729
124,945	Town of Evans - Point Breeze Demolition – RESTORE IV	RESTORE NY	\$ 189,419
131,658	UB Innovation Hub	BRIC	\$ 32,000,000
127,669	Universal Stainless & Alloy Products Capital	NY WORKS	\$ 868,532
129,613	University at Buffalo Jacobs School of Medicine and Biomedical Sciences Capital	BRIC	\$ 20,000,000
126,374	Village of Arcade Capital	RCCF	\$ 500,000
128,305	Village of Lancaster West Main Street Working Capital	UCDP	\$ 40,000
126,515	Village of Springville Revitalization Study Working Capital	UCDP	\$ 15,000
132,328	WEDI CDFI 20	CDFI	\$ 100,000
128,977	William O. Smith Recreation Center Complex Improvements Capital	RCCF	\$ 420,000
131,519	Windstream NY BB P3 Broadband Capital	NEW NY BROADBAND	\$ 2,696,893
130,892	Workforce Development Challenge Working Capital	BRIC	\$ 10,000,000
<b>TOTAL WESTERN NEW YORK</b>		<b>47 Projects</b>	<b>\$ 140,694,180</b>
<b>TOTAL</b>		<b>426 Projects</b>	<b>\$ 1,702,371,499</b>

**SECTION 2: SUMMARY OF ASSISTANCE  
EVALUATED NOT YET APPROVED  
FISCAL YEAR APRIL 1, 2018 – MARCH 31, 2019**

**Summary of Assistance Evaluated Not Yet Approved**

Projects are broken down by Program and detail the total amount of assistance for projects that were evaluated but were not yet approved by ESD at the end of Fiscal Year April 1, 2018 – March 31, 2019. Per statute, in order to promote the development of proposed projects, references to specific participant names have been excluded. Instead, project numbers are included in order to identify the progress of specific proposals. If a recipient received more than one award or an award from more than one Program, each award is counted separately.

<b>Appropriation</b>	<b>Number of Projects</b>	<b>Amount</b>
Buffalo Regional Innovation Cluster	7	\$ 8,869,836
Downstate Revitalization Initiative	23	\$ 21,619,002
Economic Development Initiatives	8	\$ 167,702
Empire State Economic Development Fund	7	\$ 1,860,000
Federal Department of Defense Program	3	\$ 200,000
Market New York program	55	\$ 14,007,725
Metropolitan Economic Revitalization Fund	1	\$ 3,100,000
New NY Broadband Program	2	\$ 2,105,614
New York Works Economic Development Fund	1	\$ 500,000
NYPA Craft Beverage Program	1	\$ 24,000
NYS Life Science Initiative Fund	1	\$ 6,000,000
Regional Council Capital Fund	256	\$ 189,773,472
Restore New York Communities Initiative	19	\$ 25,031,000
Upstate Revitalization Initiative	32	\$ 84,829,510
Urban and Community Development Program	27	\$ 1,260,500
<b>Total</b>	<b>443 Projects</b>	<b>\$ 359,348,361</b>

**Section 2: Proposals for Assistance Evaluated Not Yet Approved**

**4/1/2018 – 3/31/2019**

**A. Project Detail by Region (Page 1 of 3)**

<b>Region</b>	<b>Number of Projects</b>	<b>Proposed Assistance</b>
<b>BUFFALO REGIONAL INNOVATION CLUSTER (BRIC)</b>		
Western New York	7	\$8,869,836
<b>TOTAL BRIC</b>	<b>7</b>	<b>\$8,869,836</b>
<b>DOWNTOWN REVITALIZATION INITIATIVE (DRI)</b>		
Central	10	\$7,974,752
Finger Lakes	2	\$4,725,000
Long Island	1	\$1,000,000
Mohawk Valley	2	\$2,400,000
New York City	1	\$595,000
North Country	2	\$1,543,500
Southern Tier	3	\$2,250,000
Western New York	2	\$1,130,750
<b>TOTAL DRI</b>	<b>23 Projects</b>	<b>\$21,619,002</b>
<b>ECONOMIC DEVELOPMENT INITIATIVES FUND (GLOBAL NY)</b>		
Capital	2	\$36,205
Finger Lakes	1	\$13,172
Long Island	2	\$46,559
Mid-Hudson	1	\$24,334
New York City	1	\$25,000
Western New York	1	\$22,432
<b>TOTAL GLOBAL NY</b>	<b>8 Projects</b>	<b>\$167,702</b>
<b>EMPIRE STATE ECONOMIC DEVELOPMENT FUND (EDF)</b>		
Finger Lakes	2	\$425,000
New York City	1	\$400,000
Southern Tier	1	\$375,000
Western New York	3	\$660,000
<b>TOTAL EDF</b>	<b>7 Projects</b>	<b>\$1,860,000</b>
<b>FEDERAL DEPARTMENT OF DEFENSE PROGRAM (DOD)</b>		
Capital	1	\$50,000
Finger Lakes	1	\$100,000
Mid-Hudson	1	\$50,000
<b>TOTAL DOD</b>	<b>3 Projects</b>	<b>\$200,000</b>
<b>MARKET NEW YORK PROGRAM (MARKET NY)</b>		
Capital	6	\$2,071,528
Central	4	\$600,000
Finger Lakes	6	\$1,820,000
Long Island	5	\$919,865
Mid-Hudson	9	\$2,393,612
Mohawk Valley	5	\$1,138,777
New York City	10	\$3,374,631
North Country	4	\$944,500
Southern Tier	2	\$208,800
Western New York	4	\$536,012
<b>TOTAL MARKET NY</b>	<b>55 Projects</b>	<b>\$14,007,725</b>



**Section 2: Proposals for Assistance Evaluated Not Yet Approved**  
**4/1/2018 – 3/31/2019**  
**A. Project Detail by Region (Page 2 of 3)**

Region	Number of Projects	Proposed Assistance
<b>METROPOLITAN ECONOMIC REVITALIZATION FUND (MERF)</b>		
New York City	1	\$3,100,000
<b>TOTAL MERF</b>	<b>1 Project</b>	<b>\$3,100,000</b>
<b>NEW NY BROADBAND PROGRAM (NEW NY BROADBAND)</b>		
Capital	1	\$948,152
Finger Lakes	1	\$1,157,462
<b>TOTAL NEW NY BROADBAND</b>	<b>2 Projects</b>	<b>\$2,105,614</b>
<b>NEW YORK WORKS ECONOMIC DEVELOPMENT FUND (NY WORKS)</b>		
Western New York	1	\$500,000
<b>TOTAL NY WORKS</b>	<b>1 Project</b>	<b>\$500,000</b>
<b>NYPA CRAFT BEVERAGE PROGRAM</b>		
Capital	1	\$24,000
<b>TOTAL NYPA CRAFT BEVERAGE</b>	<b>1 Project</b>	<b>\$24,000</b>
<b>NEW YORK LIFE SCIENCE INITIATIVE FUND (NY LIFE SCIENCE)</b>		
Mohawk Valley	1	\$6,000,000
<b>TOTAL NY LIFE SCIENCE</b>	<b>1 Project</b>	<b>\$6,000,000</b>
<b>REGIONAL COUNCIL CAPITAL FUND (RCCF)</b>		
Capital	17	\$18,319,481
Central	21	\$13,881,000
Finger Lakes	26	\$14,459,070
Long Island	34	\$16,958,000
Mid-Hudson	37	\$33,031,445
Mohawk Valley	51	\$34,618,000
New York City	22	\$24,158,676
North Country	21	\$17,215,000
Southern Tier	17	\$14,662,300
Western New York	10	\$2,470,500
<b>TOTAL RCCF</b>	<b>256 Projects</b>	<b>\$189,773,472</b>
<b>RESTORE NEW YORK COMMUNITIES INITIATIVE (RESTORE NY)</b>		
Capital	10	\$11,031,000
Central	1	\$700,000
Finger Lakes	1	\$1,000,000
Mohawk Valley	4	\$10,250,000
North Country	1	\$990,000
Southern Tier	2	\$1,060,000
<b>TOTAL RESTORE NY</b>	<b>19 Projects</b>	<b>\$25,031,000</b>

**Section 2: Proposals for Assistance Evaluated Not Yet Approved**  
**4/1/2018 – 3/31/2019**  
**A. Project Detail by Region (Page 3 of 3)**

<b>Region</b>	<b>Number of Projects</b>	<b>Proposed Assistance</b>
<b>UPSTATE REVITALIZATION INITIATIVE (URI)</b>		
Capital	1	\$2,000,000
Central	2	\$500,000
Finger Lakes	10	\$27,370,000
Mid-Hudson	1	\$8,000,000
Mohawk Valley	3	\$31,000,000
Southern Tier	15	\$15,959,510
<b>TOTAL URI</b>	<b>32 Projects</b>	<b>\$84,829,510</b>
<b>URBAN AND COMMUNITY DEVELOPMENT PROGRAM (UCDP)</b>		
Capital	1	\$50,000
Central	2	\$150,000
Finger Lakes	4	\$112,500
Long Island	6	\$190,000
Mid-Hudson	5	\$222,500
Mohawk Valley	2	\$100,000
New York City	2	\$200,000
North Country	1	\$35,000
Southern Tier	3	\$100,500
Western New York	1	\$100,000
<b>TOTAL UCDP</b>	<b>27 Projects</b>	<b>\$1,260,500</b>
<b>Total</b>	<b>443 Projects</b>	<b>\$359,348,361</b>

**Section 2: Proposals for Assistance – Evaluated Not Yet Approved 4/1/2018 – 3/31/2019**  
**B. Project Detail by Region (Page 1 of 11)**

<b>Project Number</b>	<b>Program</b>	<b>Proposed Assistance</b>	<b>Date Project Initiated</b>
<b>CAPITAL REGION</b>			
131,832	GLOBAL NY	\$ 15,275	5/4/2018
132,259	GLOBAL NY	\$ 20,930	9/26/2018
132,223	DOD	\$ 50,000	1/16/2019
132,382	MARKET NY	\$ 58,500	1/24/2019
132,383	MARKET NY	\$ 250,000	1/22/2019
132,385	MARKET NY	\$ 152,168	3/13/2019
132,395	MARKET NY	\$ 195,000	1/22/2019
132,709	MARKET NY	\$ 420,860	3/28/2019
132,710	MARKET NY	\$ 995,000	3/14/2019
131,546	NEW NY BROADBAND	\$ 948,152	8/6/2018
131,671	NYPA CRAFT BEV	\$ 24,000	4/19/2018
132,185	RCCF	\$ 250,000	8/29/2018
132,363	RCCF	\$ 3,000,000	12/10/2018
123,685	RCCF	\$ 800,000	12/20/2018
128,937	RCCF	\$ 500,000	5/14/2018
129,276	RCCF	\$ 454,481	7/24/2018
125,312	RCCF	\$ 250,000	4/16/2018
125,703	RCCF	\$ 3,000,000	7/16/2018
126,804	RCCF	\$ 1,000,000	11/26/2018
130,140	RCCF	\$ 130,000	6/28/2018
130,141	RCCF	\$ 1,790,000	7/5/2018
130,144	RCCF	\$ 100,000	9/21/2018
130,145	RCCF	\$ 120,000	4/30/2018
130,154	RCCF	\$ 700,000	9/5/2018
130,157	RCCF	\$ 2,900,000	5/14/2018
130,161	RCCF	\$ 2,900,000	2/11/2019
130,164	RCCF	\$ 75,000	9/27/2018
130,166	RCCF	\$ 350,000	5/17/2018
132,272	UCDP	\$ 50,000	12/28/2018
132,363	URI	\$ 2,000,000	12/10/2018
131,723	RESTORE NY	\$ 750,000	4/24/2018
131,724	RESTORE NY	\$ 1,800,000	4/24/2018
131,725	RESTORE NY	\$ 1,800,000	4/24/2018
131,726	RESTORE NY	\$ 1,800,000	4/24/2018
131,727	RESTORE NY	\$ 1,000,000	4/24/2018
131,728	RESTORE NY	\$ 1,000,000	4/24/2018
131,729	RESTORE NY	\$ 700,000	4/24/2018
131,730	RESTORE NY	\$ 750,000	4/24/2018
131,731	RESTORE NY	\$ 631,000	4/24/2018
131,732	RESTORE NY	\$ 800,000	4/24/2018
<b>TOTAL CAPITAL REGION</b>	<b>40 Projects</b>	<b>\$ 34,530,366</b>	

**Section 2: Proposals for Assistance – Evaluated Not Yet Approved 4/1/2018 – 3/31/2019**  
**B. Project Detail by Region (Page 2 of 11)**

Project Number	Program	Proposed Assistance	Date Project Initiated
<b>CENTRAL REGION</b>			
131,819	DRI	\$ 1,000,000	5/18/2018
129,657	DRI	\$ 2,000,000	4/17/2018
131,650	DRI	\$ 500,000	7/30/2018
131,818	DRI	\$ 2,000,000	11/30/2018
132,187	DRI	\$ 270,752	8/28/2018
132,200	DRI	\$ 484,000	9/20/2018
132,203	DRI	\$ 700,000	10/31/2018
132,243	DRI	\$ 500,000	11/16/2018
132,372	DRI	\$ 300,000	12/18/2018
132,789	DRI	\$ 220,000	2/4/2019
127,351	MARKET NY	\$ 250,000	9/5/2018
132,396	MARKET NY	\$ 150,000	3/8/2019
132,397	MARKET NY	\$ 150,000	2/21/2019
132,398	MARKET NY	\$ 50,000	2/1/2019
132,035	RCCF	\$ 100,000	6/27/2018
132,083	RCCF	\$ 250,000	8/9/2018
132,159	RCCF	\$ 1,300,000	9/5/2018
132,266	RCCF	\$ 75,000	10/30/2018
132,273	RCCF	\$ 600,000	11/16/2018
124,362	RCCF	\$ 500,000	4/3/2018
125,888	RCCF	\$ 1,570,000	6/20/2018
126,997	RCCF	\$ 280,000	5/7/2018
129,647	RCCF	\$ 240,000	12/18/2018
131,324	RCCF	\$ 1,500,000	4/13/2018
131,330	RCCF	\$ 965,000	4/12/2018
131,338	RCCF	\$ 655,000	7/16/2018
131,350	RCCF	\$ 1,050,000	11/16/2018
132,410	RCCF	\$ 1,000,000	2/8/2019
132,412	RCCF	\$ 731,000	2/4/2019
132,599	RCCF	\$ 505,000	3/12/2019
132,607	RCCF	\$ 1,200,000	3/15/2019
132,611	RCCF	\$ 320,000	3/12/2019
132,613	RCCF	\$ 100,000	3/15/2019
132,614	RCCF	\$ 800,000	2/4/2019
132,616	RCCF	\$ 140,000	3/27/2019
131,354	UCDP	\$ 50,000	5/4/2018
132,610	UCDP	\$ 100,000	3/15/2019
128,259	URI	\$ 400,000	7/30/2018
131,901	URI	\$ 100,000	9/5/2018
131,776	RESTORE NY	\$ 700,000	4/19/2018
<b>TOTAL CENTRAL REGION</b>	<b>40 Projects</b>	<b>\$ 23,805,752</b>	

**Section 2: Proposals for Assistance – Evaluated Not Yet Approved 4/1/2018 – 3/31/2019**  
**B. Project Detail by Region (Page 3 of 11)**

Project Number	Program	Proposed Assistance	Date Project Initiated
<b>FINGER LAKES REGION</b>			
126,468	DRI	\$ 650,000	9/27/2018
132,370	DRI	\$ 4,075,000	2/13/2019
132,773	GLOBAL NY	\$ 13,172	12/23/2018
131,636	EDF	\$ 75,000	7/16/2018
132,154	EDF	\$ 350,000	12/31/2018
132,801	DOD	\$ 100,000	3/5/2019
130,212	MARKET NY	\$ 1,000,000	5/11/2018
131,313	MARKET NY	\$ 158,000	4/16/2018
132,462	MARKET NY	\$ 205,000	2/19/2019
132,463	MARKET NY	\$ 107,000	3/12/2019
132,465	MARKET NY	\$ 150,000	2/13/2019
132,711	MARKET NY	\$ 200,000	3/19/2019
131,541	NEW NY BROADBAND	\$ 1,157,462	8/6/2018
131,587	RCCF	\$ 200,000	8/13/2018
131,910	RCCF	\$ 100,000	9/14/2018
132,113	RCCF	\$ 1,500,000	12/31/2018
131,577	RCCF	\$ 500,000	4/24/2018
124,057	RCCF	\$ 1,500,000	1/30/2019
125,408	RCCF	\$ 1,800,000	4/16/2018
131,565	RCCF	\$ 110,000	5/10/2018
131,570	RCCF	\$ 150,000	7/18/2018
131,571	RCCF	\$ 180,000	4/16/2018
131,574	RCCF	\$ 400,000	9/24/2018
131,579	RCCF	\$ 250,000	5/14/2018
131,581	RCCF	\$ 1,000,000	6/7/2018
131,582	RCCF	\$ 50,000	5/16/2018
131,589	RCCF	\$ 600,000	1/3/2019
131,590	RCCF	\$ 200,000	4/27/2018
131,599	RCCF	\$ 100,000	4/16/2018
131,604	RCCF	\$ 50,000	4/16/2018
131,609	RCCF	\$ 489,170	5/14/2018
131,612	RCCF	\$ 500,000	5/15/2018
131,802	RCCF	\$ 620,000	7/5/2018
132,540	RCCF	\$ 1,725,000	1/16/2019
132,547	RCCF	\$ 208,900	3/27/2019
132,549	RCCF	\$ 126,000	3/20/2019
132,564	RCCF	\$ 1,200,000	3/7/2019
132,571	RCCF	\$ 500,000	3/6/2019
131,606	RCCF	\$ 400,000	4/16/2018
131,756	RESTORE NY	\$ 1,000,000	4/19/2018
131,576	UCDP	\$ 50,000	6/26/2018
131,578	UCDP	\$ 20,000	12/27/2018
131,588	UCDP	\$ 17,500	12/27/2018
131,592	UCDP	\$ 25,000	7/5/2018
129,000	URI	\$ 4,000,000	8/13/2018
131,840	URI	\$ 700,000	6/4/2018
132,105	URI	\$ 1,500,000	2/13/2019
132,268	URI	\$ 1,000,000	11/13/2018

**Section 2: Proposals for Assistance – Evaluated Not Yet Approved 4/1/2018 – 3/31/2019**  
**B. Project Detail by Region (Page 4 of 11)**

Project Number	Program	Proposed Assistance	Date Project Initiated
<b>FINGER LAKES REGION Continued</b>			
132,330	URI	\$ 10,000,000	2/27/2019
132,335	URI	\$ 500,000	12/3/2018
132,367	URI	\$ 8,000,000	1/11/2019
132,726	URI	\$ 1,500,000	3/28/2019
132,790	URI	\$ 150,000	3/28/2019
132,799	URI	\$ 20,000	3/28/2019
<b>TOTAL FINGER LAKES REGION</b>	<b>54 Projects</b>	<b>\$ 51,182,204</b>	
<b>LONG ISLAND REGION</b>			
132,280	DRI	\$ 1,000,000	1/8/2019
131,830	GLOBAL NY	\$ 22,352	5/4/2018
132,828	GLOBAL NY	\$ 24,207	2/22/2019
132,466	MARKET NY	\$ 254,240	1/31/2019
132,467	MARKET NY	\$ 75,000	3/15/2019
132,619	MARKET NY	\$ 140,625	3/6/2019
132,620	MARKET NY	\$ 300,000	2/28/2019
132,622	MARKET NY	\$ 150,000	1/31/2019
132,765	RCCF	\$ 800,000	1/15/2019
130,316	RCCF	\$ 500,000	4/16/2018
130,330	RCCF	\$ 150,000	4/24/2018
130,338	RCCF	\$ 250,000	4/24/2018
131,276	RCCF	\$ 3,500,000	4/13/2018
131,285	RCCF	\$ 57,000	7/17/2018
131,593	RCCF	\$ 650,000	4/3/2018
131,596	RCCF	\$ 2,000,000	5/1/2018
131,598	RCCF	\$ 550,000	4/16/2018
131,605	RCCF	\$ 26,000	4/16/2018
131,623	RCCF	\$ 2,000,000	4/24/2018
132,423	RCCF	\$ 200,000	1/8/2019
132,424	RCCF	\$ 750,000	1/4/2019
132,425	RCCF	\$ 79,000	1/17/2019
132,427	RCCF	\$ 100,000	1/9/2019
132,429	RCCF	\$ 200,000	1/15/2019
132,567	RCCF	\$ 100,000	3/6/2019
132,568	RCCF	\$ 1,000,000	1/4/2019
132,569	RCCF	\$ 250,000	1/14/2019
132,570	RCCF	\$ 300,000	1/29/2019
132,577	RCCF	\$ 300,000	2/13/2019
132,578	RCCF	\$ 180,000	1/8/2019
132,579	RCCF	\$ 76,000	3/4/2019
132,580	RCCF	\$ 1,000,000	3/19/2019
132,581	RCCF	\$ 100,000	3/28/2019
132,582	RCCF	\$ 30,000	3/28/2019
132,583	RCCF	\$ 200,000	3/21/2019

**Section 2: Proposals for Assistance – Evaluated Not Yet Approved 4/1/2018 – 3/31/2019**  
**B. Project Detail by Region (Page 5 of 11)**

<b>Project Number</b>	<b>Program</b>	<b>Proposed Assistance</b>	<b>Date Project Initiated</b>
<b>LONG ISLAND REGION Continued</b>			
132,585	RCCF	\$ 150,000	1/30/2019
132,586	RCCF	\$ 120,000	1/9/2019
132,588	RCCF	\$ 400,000	3/7/2019
132,589	RCCF	\$ 140,000	1/29/2019
132,593	RCCF	\$ 100,000	2/13/2019
132,595	RCCF	\$ 500,000	1/14/2019
132,597	RCCF	\$ 200,000	2/11/2019
130,337	UCDP	\$ 40,000	4/9/2018
130,342	UCDP	\$ 50,000	4/3/2018
132,587	UCDP	\$ 25,000	2/12/2019
132,591	UCDP	\$ 25,000	2/13/2019
132,592	UCDP	\$ 25,000	2/13/2019
132,594	UCDP	\$ 25,000	2/13/2019
<b>TOTAL LONG ISLAND REGION</b>	<b>48 Projects</b>	<b>\$ 19,114,424</b>	
<b>MID HUDSON REGION</b>			
132,260	GLOBAL NY	\$ 24,334	9/26/2018
132,805	DOD	\$ 50,000	3/10/2019
126,138	MARKET NY	\$ 100,000	9/19/2018
127,295	MARKET NY	\$ 400,000	7/5/2018
130,211	MARKET NY	\$ 150,000	4/10/2018
130,235	MARKET NY	\$ 500,000	5/11/2018
130,269	MARKET NY	\$ 800,000	5/16/2018
132,623	MARKET NY	\$ 87,000	2/4/2019
132,627	MARKET NY	\$ 103,862	2/14/2019
132,628	MARKET NY	\$ 202,500	3/8/2019
132,629	MARKET NY	\$ 50,250	3/21/2019
132,046	RCCF	\$ 300,000	7/27/2018
132,839	RCCF	\$ 225,000	3/25/2019
128,066	RCCF	\$ 3,000,000	9/19/2018
128,180	RCCF	\$ 3,000,000	9/19/2018
126,384	RCCF	\$ 1,000,000	9/19/2018
128,674	RCCF	\$ 300,000	7/9/2018
132,088	RCCF	\$ 2,000,000	12/24/2018
130,167	RCCF	\$ 400,000	4/25/2018
130,168	RCCF	\$ 832,445	4/13/2018
130,185	RCCF	\$ 800,000	5/15/2018
130,273	RCCF	\$ 400,000	4/19/2018
130,278	RCCF	\$ 400,000	5/10/2018
130,279	RCCF	\$ 1,000,000	5/15/2018
131,534	RCCF	\$ 390,000	4/10/2018
131,618	RCCF	\$ 500,000	9/24/2018
131,619	RCCF	\$ 500,000	7/24/2018

**Section 2: Proposals for Assistance – Evaluated Not Yet Approved 4/1/2018 – 3/31/2019**  
**B. Project Detail by Region (Page 6 of 11)**

Project Number	Program	Proposed Assistance	Date Project Initiated
<b>MID HUDSON REGION Continued</b>			
131,620	RCCF	\$ 1,500,000	7/24/2018
131,621	RCCF	\$ 1,500,000	7/9/2018
131,622	RCCF	\$ 550,000	7/30/2018
131,960	RCCF	\$ 2,000,000	6/27/2018
132,144	RCCF	\$ 600,000	1/3/2019
132,631	RCCF	\$ 137,000	3/26/2019
132,632	RCCF	\$ 12,000	3/14/2019
132,634	RCCF	\$ 313,000	3/5/2019
132,636	RCCF	\$ 143,000	3/5/2019
132,651	RCCF	\$ 1,071,000	3/25/2019
132,652	RCCF	\$ 705,000	2/26/2019
132,655	RCCF	\$ 1,000,000	3/14/2019
132,656	RCCF	\$ 600,000	3/18/2019
132,657	RCCF	\$ 832,000	3/11/2019
132,658	RCCF	\$ 400,000	3/13/2019
132,661	RCCF	\$ 1,831,000	2/26/2019
132,663	RCCF	\$ 1,250,000	3/18/2019
132,666	RCCF	\$ 460,000	3/12/2019
132,670	RCCF	\$ 2,250,000	3/8/2019
132,671	RCCF	\$ 800,000	3/19/2019
132,672	RCCF	\$ 30,000	3/19/2019
130,284	UCDP	\$ 40,000	7/16/2018
132,638	UCDP	\$ 40,000	2/26/2019
132,662	UCDP	\$ 25,000	2/26/2019
132,664	UCDP	\$ 77,500	2/26/2019
132,667	UCDP	\$ 40,000	2/26/2019
127,531	URI	\$ 8,000,000	9/19/2018
<b>TOTAL MID-HUDSON REGION</b>	<b>54 Projects</b>	<b>\$ 43,721,891</b>	
<b>MOHAWK VALLEY REGION</b>			
132,161	DRI	\$ 900,000	3/4/2019
132,333	DRI	\$ 1,500,000	1/7/2019
132,630	MARKET NY	\$ 78,327	2/15/2019
132,643	MARKET NY	\$ 187,000	2/11/2019
132,645	MARKET NY	\$ 110,625	2/28/2019
132,646	MARKET NY	\$ 135,225	2/28/2019
132,714	MARKET NY	\$ 627,600	3/19/2019
132,210	NY LIFE SCIENCE	\$ 6,000,000	1/4/2019
131,628	RCCF	\$ 1,976,000	7/16/2018
131,680	RCCF	\$ 1,000,000	5/7/2018
132,114	RCCF	\$ 500,000	9/18/2018
132,206	RCCF	\$ 800,000	10/25/2018
132,795	RCCF	\$ 300,000	2/19/2019
126,000	RCCF	\$ 600,000	11/26/2018
128,114	RCCF	\$ 1,200,000	8/8/2018



**Section 2: Proposals for Assistance – Evaluated Not Yet Approved 4/1/2018 – 3/31/2019**  
**B. Project Detail by Region (Page 7 of 11)**

Project Number	Program	Proposed Assistance	Date Project Initiated
<b>MOHAWK VALLEY REGION Continued</b>			
127,790	RCCF	\$ 1,000,000	7/24/2018
130,310	RCCF	\$ 530,000	5/4/2018
131,543	RCCF	\$ 700,000	4/2/2018
131,547	RCCF	\$ 480,000	4/2/2018
131,552	RCCF	\$ 340,000	4/2/2018
131,553	RCCF	\$ 440,000	4/2/2018
131,554	RCCF	\$ 170,000	4/2/2018
131,560	RCCF	\$ 890,000	4/2/2018
131,627	RCCF	\$ 400,000	4/23/2018
131,629	RCCF	\$ 600,000	4/24/2018
131,630	RCCF	\$ 300,000	4/23/2018
131,631	RCCF	\$ 240,000	4/23/2018
131,637	RCCF	\$ 875,000	4/24/2018
131,638	RCCF	\$ 400,000	4/24/2018
131,644	RCCF	\$ 38,000	4/24/2018
131,659	RCCF	\$ 1,400,000	4/24/2018
131,664	RCCF	\$ 25,000	4/27/2018
131,666	RCCF	\$ 44,000	4/27/2018
131,669	RCCF	\$ 90,000	5/15/2018
131,690	RCCF	\$ 430,000	5/11/2018
131,695	RCCF	\$ 520,000	5/10/2018
131,794	RCCF	\$ 1,750,000	5/21/2018
131,940	RCCF	\$ 70,000	7/18/2018
131,941	RCCF	\$ 1,200,000	7/24/2018
131,969	RCCF	\$ 1,000,000	7/18/2018
132,220	RCCF	\$ 210,000	12/28/2018
132,332	RCCF	\$ 120,000	12/31/2018
132,405	RCCF	\$ 100,000	1/14/2019
132,407	RCCF	\$ 325,000	1/30/2019
132,474	RCCF	\$ 60,000	3/14/2019
132,475	RCCF	\$ 5,000,000	1/18/2019
132,476	RCCF	\$ 100,000	3/6/2019
132,477	RCCF	\$ 2,400,000	2/8/2019
132,479	RCCF	\$ 240,000	2/20/2019
132,480	RCCF	\$ 1,935,000	3/12/2019
132,482	RCCF	\$ 100,000	3/27/2019
132,483	RCCF	\$ 100,000	2/21/2019
132,485	RCCF	\$ 300,000	1/8/2019
132,486	RCCF	\$ 2,000,000	2/25/2019
132,487	RCCF	\$ 120,000	3/27/2019
132,488	RCCF	\$ 30,000	3/12/2019
132,489	RCCF	\$ 200,000	1/18/2019
132,490	RCCF	\$ 750,000	2/8/2019
132,492	RCCF	\$ 220,000	3/14/2019
131,778	RESTORE NY	\$ 2,000,000	4/19/2018

**Section 2: Proposals for Assistance – Evaluated Not Yet Approved 4/1/2018 – 3/31/2019**  
**B. Project Detail by Region (Page 8 of 11)**

Project Number	Program	Proposed Assistance	Date Project Initiated
<b>MOHAWK VALLEY REGION Continued</b>			
131,779	RESTORE NY	\$ 1,000,000	4/19/2018
131,780	RESTORE NY	\$ 750,000	4/19/2018
131,781	RESTORE NY	\$ 6,500,000	4/19/2018
132,761	UCDP	\$ 50,000	3/14/2019
131,557	UCDP	\$ 50,000	4/2/2018
131,988	URI	\$ 3,000,000	5/10/2018
132,084	URI	\$ 6,000,000	9/21/2018
132,166	URI	\$ 22,000,000	12/13/2018
<b>TOTAL MOHAWK VALLEY REGION</b>	<b>68 Projects</b>	<b>\$ 85,506,777</b>	
<b>NEW YORK CITY REGION</b>			
132,275	DRI	\$ 595,000	1/28/2019
131,959	GLOBAL NY	\$ 25,000	5/30/2018
132,080	EDF	\$ 400,000	9/27/2018
130,209	MARKET NY	\$ 250,000	4/9/2018
130,252	MARKET NY	\$ 160,000	4/9/2018
130,263	MARKET NY	\$ 150,000	4/9/2018
131,306	MARKET NY	\$ 500,000	4/26/2018
131,309	MARKET NY	\$ 800,000	4/23/2018
132,647	MARKET NY	\$ 225,000	3/12/2019
132,648	MARKET NY	\$ 152,131	2/25/2019
132,674	MARKET NY	\$ 687,500	2/5/2019
132,675	MARKET NY	\$ 75,000	1/31/2019
132,435	MARKET NY	\$ 375,000	2/7/2019
130,135	MERF	\$ 3,100,000	9/21/2018
125,683	RCCF	\$ 986,792	4/26/2018
127,615	RCCF	\$ 1,000,000	9/14/2018
131,886	RCCF	\$ 50,000	5/25/2018
130,341	RCCF	\$ 200,000	4/2/2018
131,304	RCCF	\$ 1,617,884	6/7/2018
131,594	RCCF	\$ 100,000	4/23/2018
131,694	RCCF	\$ 1,190,000	4/19/2018
132,011	RCCF	\$ 700,000	6/12/2018
132,428	RCCF	\$ 2,250,000	2/25/2019
132,434	RCCF	\$ 800,000	2/4/2019
132,435	RCCF	\$ 725,000	2/7/2019
132,436	RCCF	\$ 150,000	2/21/2019
132,494	RCCF	\$ 2,000,000	2/20/2019
132,496	RCCF	\$ 2,000,000	3/7/2019
132,497	RCCF	\$ 1,370,000	2/12/2019
132,498	RCCF	\$ 700,000	3/7/2019
132,499	RCCF	\$ 3,544,000	2/26/2019
132,507	RCCF	\$ 1,000,000	1/17/2019
132,510	RCCF	\$ 1,000,000	2/25/2019
132,514	RCCF	\$ 600,000	2/22/2019
132,517	RCCF	\$ 175,000	1/9/2019

**Section 2: Proposals for Assistance – Evaluated Not Yet Approved 4/1/2018 – 3/31/2019**  
**B. Project Detail by Region (Page 9 of 11)**

Project Number	Program	Proposed Assistance	Date Project Initiated
<b>NEW YORK CITY REGION Continued</b>			
131,608	UCDP	\$ 100,000	4/30/2018
132,535	UCDP	\$ 100,000	2/12/2019
<b>TOTAL NEW YORK CITY REGION</b>	<b>38 Projects</b>	<b>\$ 31,853,307</b>	
<b>NORTH COUNTRY REGION</b>			
123,898	DRI	\$ 300,000	5/10/2018
132,226	DRI	\$ 1,243,500	9/21/2018
132,677	MARKET NY	\$ 300,000	3/5/2019
132,678	MARKET NY	\$ 79,500	2/5/2019
132,679	MARKET NY	\$ 65,000	3/11/2019
132,718	MARKET NY	\$ 500,000	2/27/2019
132,157	RCCF	\$ 300,000	8/28/2018
132,256	RCCF	\$ 350,000	10/24/2018
132,825	RCCF	\$ 74,000	3/20/2019
124,054	RCCF	\$ 1,275,000	12/31/2018
125,260	RCCF	\$ 135,000	10/29/2018
127,913	RCCF	\$ 1,275,000	10/31/2018
130,271	RCCF	\$ 350,000	5/17/2018
130,283	RCCF	\$ 2,700,000	10/31/2018
130,291	RCCF	\$ 340,000	4/24/2018
130,292	RCCF	\$ 705,000	4/23/2018
130,296	RCCF	\$ 1,121,000	4/16/2018
130,306	RCCF	\$ 665,000	5/1/2018
130,332	RCCF	\$ 70,000	5/7/2018
132,457	RCCF	\$ 50,000	1/29/2019
132,458	RCCF	\$ 415,000	3/7/2019
132,459	RCCF	\$ 940,000	2/4/2019
132,461	RCCF	\$ 445,000	2/12/2019
132,469	RCCF	\$ 3,000,000	2/13/2019
132,470	RCCF	\$ 758,000	1/30/2019
132,471	RCCF	\$ 2,133,000	2/20/2019
132,472	RCCF	\$ 114,000	2/1/2019
131,744	RESTORE NY	\$ 990,000	4/19/2018
132,649	UCDP	\$ 35,000	2/4/2019
<b>TOTAL NORTH COUNTRY REGION</b>	<b>29 Projects</b>	<b>\$ 20,728,000</b>	
<b>SOUTHERN TIER REGION</b>			
132,167	DRI	\$ 500,000	9/5/2018
132,356	DRI	\$ 1,500,000	12/17/2018
132,368	DRI	\$ 250,000	1/28/2019
132,170	EDF	\$ 375,000	10/17/2018
132,680	MARKET NY	\$ 150,000	3/13/2019
132,684	MARKET NY	\$ 58,800	3/27/2019

**Section 2: Proposals for Assistance – Evaluated Not Yet Approved 4/1/2018 – 3/31/2019**  
**B. Project Detail by Region (Page 10 of 12)**

Project Number	Program	Proposed Assistance	Date Project Initiated
<b>SOUTHERN TIER REGION Continued</b>			
131,862	RCCF	\$ 750,000	5/23/2018
131,989	RCCF	\$ 1,250,000	6/20/2018
132,254	RCCF	\$ 750,000	10/22/2018
132,336	RCCF	\$ 250,000	12/3/2018
132,739	RCCF	\$ 500,000	3/8/2019
132,787	RCCF	\$ 150,000	2/13/2019
125,095	RCCF	\$ 800,000	7/27/2018
132,171	RCCF	\$ 2,152,300	8/17/2018
130,616	RCCF	\$ 660,000	4/24/2018
130,889	RCCF	\$ 700,000	5/30/2018
130,896	RCCF	\$ 2,100,000	8/13/2018
131,635	RCCF	\$ 800,000	4/23/2018
131,640	RCCF	\$ 1,500,000	5/1/2018
131,646	RCCF	\$ 90,000	5/1/2018
132,732	RCCF	\$ 810,000	2/13/2019
132,743	RCCF	\$ 400,000	2/11/2019
132,744	RCCF	\$ 1,000,000	2/7/2019
131,762	RESTORE NY	\$ 60,000	4/20/2018
131,766	RESTORE NY	\$ 1,000,000	4/19/2018
131,660	UCDP	\$ 22,000	5/4/2018
131,663	UCDP	\$ 11,500	4/30/2018
131,665	UCDP	\$ 67,000	4/18/2018
125,574	URI	\$ 5,250,000	4/11/2018
132,127	URI	\$ 4,500,000	7/23/2018
132,278	URI	\$ 1,949,000	11/15/2018
132,290	URI	\$ 165,696	11/15/2018
130,004	URI	\$ 500,000	1/28/2019
132,271	URI	\$ 54,830	12/11/2018
132,378	URI	\$ 50,000	12/26/2018
132,380	URI	\$ 492,444	12/26/2018
132,381	URI	\$ 179,090	12/26/2018
132,685	URI	\$ 291,550	1/16/2019
132,737	URI	\$ 538,840	1/16/2019
132,748	URI	\$ 75,000	1/16/2019
132,749	URI	\$ 1,595,700	1/18/2019
132,750	URI	\$ 86,930	1/17/2019
132,763	URI	\$ 230,430	1/18/2019
<b>TOTAL SOUTHERN TIER REGION</b>	<b>43 Projects</b>	<b>\$ 34,616,110</b>	
<b>WESTERN NEW YORK REGION</b>			
124,802	BRIC	\$ 1,500,000	7/17/2018
132,096	BRIC	\$ 2,000,000	11/14/2018
132,097	BRIC	\$ 170,156	11/15/2018
132,098	BRIC	\$ 750,000	11/14/2018
132,100	BRIC	\$ 949,680	12/26/2018

**Section 2: Proposals for Assistance – Evaluated Not Yet Approved 4/1/2018 – 3/31/2019**  
**B. Project Detail by Region (Page 11 of 11)**

<b>Project Number</b>	<b>Program</b>	<b>Proposed Assistance</b>	<b>Date Project Initiated</b>
<b>WESTERN NEW YORK REGION Continued</b>			
132,683	BRIC	\$ 2,500,000	3/7/2019
131,942	BRIC	\$ 1,000,000	5/22/2018
132,246	DRI	\$ 719,500	10/26/2018
132,369	DRI	\$ 411,250	12/19/2018
132,772	GLOBAL NY	\$ 22,432	12/23/2018
131,607	EDF	\$ 240,000	4/23/2018
131,810	EDF	\$ 120,000	7/3/2018
132,215	EDF	\$ 300,000	10/17/2018
130,223	MARKET NY	\$ 160,812	12/27/2018
132,687	MARKET NY	\$ 225,000	3/26/2019
132,688	MARKET NY	\$ 50,000	1/23/2019
132,689	MARKET NY	\$ 100,200	2/25/2019
123,913	NY WORKS	\$ 500,000	4/17/2018
123,808	RCCF	\$ 100,000	4/2/2018
125,650	RCCF	\$ 1,500,000	7/24/2018
127,000	RCCF	\$ 100,000	3/14/2019
127,609	RCCF	\$ 67,000	4/24/2018
127,799	RCCF	\$ 68,000	4/16/2018
132,644	RCCF	\$ 50,000	2/11/2019
132,698	RCCF	\$ 273,000	2/13/2019
132,699	RCCF	\$ 80,000	3/13/2019
132,704	RCCF	\$ 12,500	2/13/2019
132,705	RCCF	\$ 220,000	3/20/2019
132,700	UCDP	\$ 100,000	2/13/2019
<b>TOTAL WESTERN NEW YORK REGION</b>	<b>29 Projects</b>	<b>\$ 14,289,530</b>	
<b>Total</b>	<b>443 Projects</b>	<b>\$ 359,348,361</b>	

**SECTION 3: PROPOSALS FOR ASSISTANCE EVALUATED –  
NO FURTHER ACTION RECOMMENDED  
FISCAL YEAR APRIL 1, 2018 – MARCH 31, 2019**

Projects are broken down by Program and fall into the following two categories: 1) the company or organization accepted ESD’s offer of assistance, but it did not meet requirements for ESD’s approval; or 2) assistance was approved by ESD, but the company or organization did not meet the requirements for the disbursement of funds. There were no projects in the second category during Fiscal Year 2019.

<b>Appropriation</b>	<b># of Projects</b>	<b>Amount</b>
Federal Department of Defense Program	1	\$ 50,000
Regional Council Capital Fund	6	\$ 2,678,000
Upstate Revitalization Initiative	1	\$ 12,000,000
<b>Total</b>	<b>8</b>	<b>\$ 14,728,000</b>

**Section 3: Proposals for Assistance Evaluated – No Further Action Recommended  
Summary by Program and Region**

<b>Region</b>	<b># of Projects</b>	<b>Requested Assistance</b>
<b>FEDERAL DEPARTMENT OF DEFENSE PROGRAM (DOD)</b>		
Western New York	1	\$ 50,000
<b>TOTAL DOD</b>	<b>1</b>	<b>\$ 50,000</b>
<b>REGIONAL COUNCIL CAPITAL FUND (RCCF)</b>		
Long Island	1	\$ 300,000
Mohawk Valley	1	\$ 200,000
New York City	1	\$ 180,000
North Country	2	\$ 1,898,000
Western New York	1	\$ 100,000
<b>TOTAL RCCF</b>	<b>6</b>	<b>\$ 2,678,000</b>
<b>UPSTATE REVITALIZATION INITIATIVE (URI)</b>		
Finger Lakes	1	\$ 12,000,000
<b>TOTAL URI</b>	<b>1</b>	<b>\$ 12,000,000</b>
<b>Total</b>	<b>8</b>	<b>\$ 14,728,000</b>

