



**DIVISION OF MINORITY AND WOMEN'S
BUSINESS DEVELOPMENT**

Annual Report Fiscal Year 2018-2019

The Division of Minority and Women’s Business Development

Executive Law Article 15-A (§§ 310-317) governs requirements for the participation of minority and women-owned business enterprises (“MWBEs”) in New York State contracting. The objective of Article 15-A is to promote equality of economic opportunities for MWBEs and to eliminate barriers to their participation in state contracting.

The Division of Minority and Women’s Business Development (“DMWBD” or the “Division”) oversees the statewide MWBE program operated by “state agencies” (which includes, *inter alia*, state agencies and public authorities) as defined by Article 15-A. The Division is charged with three primary functions: (1) to encourage and assist state agencies that are engaged in contracting activities to award a fair share of state contracts to MWBEs; (2) to review applications by businesses seeking certification as a MWBE and to maintain a directory of certified MWBEs; and (3) to promote the business development of MWBEs through education and outreach to agencies and MWBEs.

MWBE Certification

Total Number of MWBE Certified Firms¹: 8,496 firms
Number of Firms Certified/Renewed in Fiscal Year 2018-19: 1,741 firms

NYS MWBE Certified Firms by Ethnicity

Ethnicity	Number of Certified Firms
Asian	1,377
Black	2,033
Hispanic	1,187
Native American	79
Non-Minority Women	3,820
Total	8,496

¹ These numbers are accurate as of March 31, 2019

MWBE Utilization²

	Fiscal Year 2018-19
Statewide MWBE Utilization percentage	29.13%
Total agency and authority spending	\$10,074,988,548.91
Total MWBE Utilization	\$2,934,820,016.82
Total Minority Business Enterprise (MBE) Utilization	\$1,377,973,968.92
Total Women Business Enterprise (WBE) Utilization	\$1,556,846,047.90

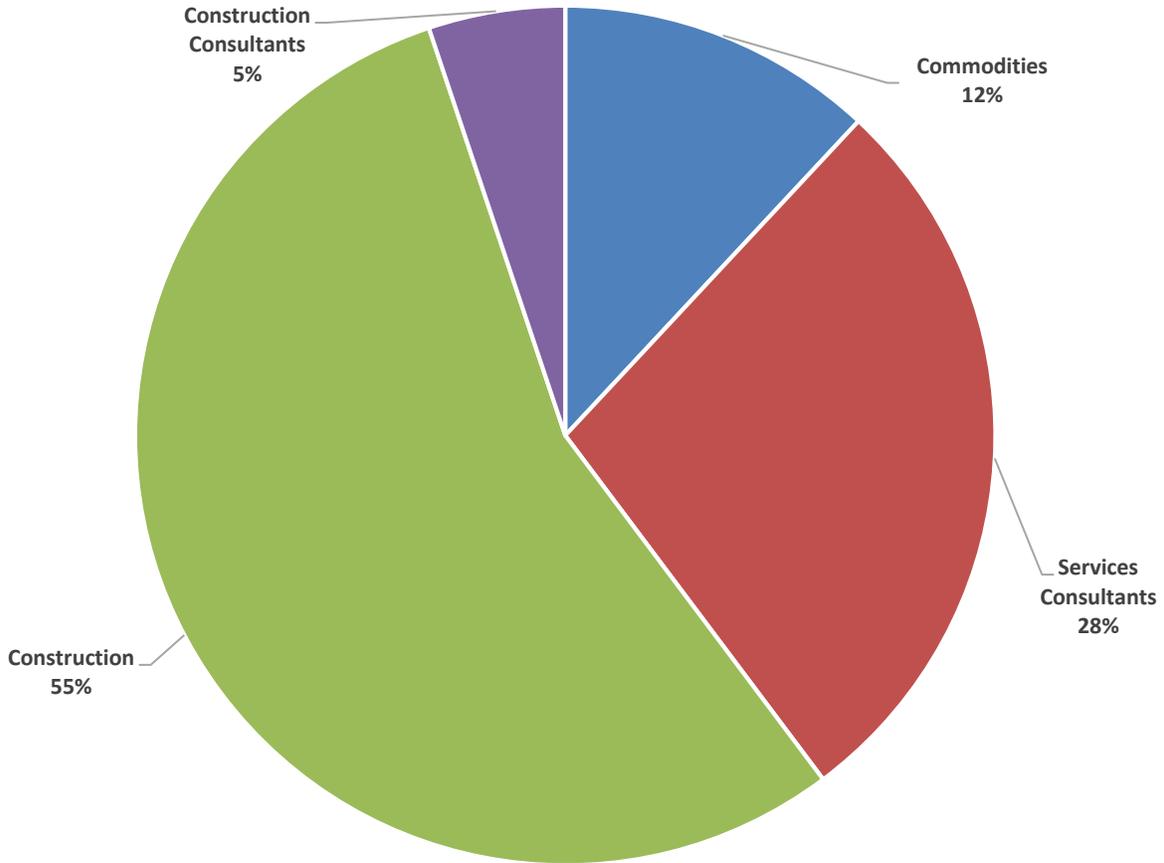
Breakdown of Utilization of MWBEs by Industry³

	MBE	WBE	Total Utilization	Total Spend
Commodities	152,458,019.70	198,008,141.23	350,466,160.93	787,125,208.33
Service Consultants	329,627,669.20	486,940,131.89	816,567,801.09	2,352,614,293.68
Construction	821,074,217.09	795,899,304.90	1,616,973,521.99	6,263,090,693.78
Construction Consultants	74,814,062.93	75,998,469.88	150,812,532.81	672,158,353.12
TOTALS	\$1,377,973,968.92	\$1,556,846,047.90	\$2,934,820,016.82	\$10,074,988,548.91

² Utilization Reporting - Summary of Individual Agency Goals, Utilization, and Expenditures

³ Utilization Reporting – Agency Utilization Summary

Fiscal Year FY1819 Utilization by Industry



Waiver and Violation Summary Fiscal Year 2018-19

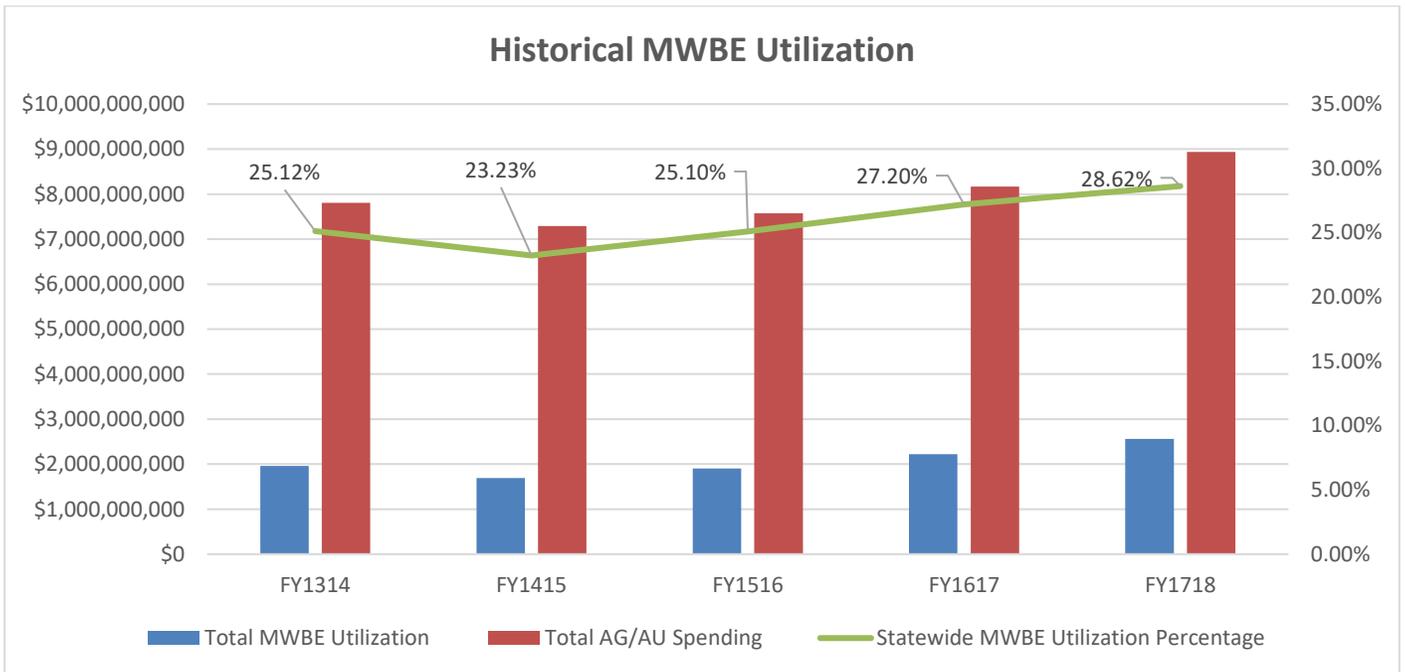
Number of Waivers Requested:	1,381
Number of Waivers Granted:	1,355 ⁴
Number of Contract Violations:	30 ⁵
Monetary Sanctions/Damages from Violations:	\$482,435.78

⁴ Waivers were granted due to a lack of MWBE firms available to meet goals

⁵ Due to contractor failure to meet good faith standard

Historical MWBE Utilization

	FY1314	FY1415	FY1516	FY1617	FY1718
Total MWBE Utilization	\$1,960,095,714	\$1,692,805,272	\$1,900,872,162	\$2,220,523,755	\$2,558,668,960
Total AG/AU Spending	\$7,803,308,805	\$7,288,060,070	\$7,571,887,887	\$8,165,019,743	\$8,938,652,919
Statewide MWBE Utilization Percentage	25.12%	23.23%	25.10%	27.20%	28.62%



Fiscal Year 2018-19 MWBE Utilization by NYS Agency/Authority⁶

Agency Name	Agency Expenditure	MBE Utilization	WBE Utilization	MWBE Utilization	MWBE %
Adirondack Park Agency	106,934.00	8,122.40	55,405.44	63,527.84	59.41%
Agriculture and Markets	3,621,290.00	112,957.35	1,264,319.55	1,377,276.90	38.03%
Agriculture and New York State Horsebreeding Development	98,825.00	3,811.12	51,628.82	55,439.94	56.10%
Albany County Airport Authority	10,083,761.00	282,029.68	1,036,980.15	1,319,009.83	13.08%
Albany Port District Commission	823,833.00	6,461.47	330,014.47	336,475.94	40.84%
Alcoholism and Substance Abuse Services	6,744,550.34	655,334.89	3,659,068.40	4,314,403.29	63.97%
Battery Park City Authority	9,766,462.00	2,488,394.51	1,335,341.41	3,823,735.92	39.15%
Bridge Authority	10,944,105.00	806,533.86	1,941,753.44	2,748,287.30	25.11%
Buffalo Fiscal Stability Authority	3,498.00	831.54	710.78	1,542.32	44.09%
Capital District Transportation Authority	9,161,139.00	2,462,258.59	801,520.46	3,263,779.05	35.63%
Cayuga County Water & Sewer Authority	16,462.00	0.00	182.00	182.00	1.11%
City University Construction Fund	88,283,332.00	14,340,556.00	12,651,341.46	26,991,897.46	30.57%
City University of New York	53,127,449.00	5,682,118.21	9,656,594.07	15,338,712.28	28.87%
CNY Regional Transportation	4,521,349.00	77,465.80	1,656,211.28	1,733,677.08	38.34%
Council on the Arts	189,867.00	75,783.01	609.21	76,392.22	40.23%
Department of Civil Service	689,876.00	74,630.56	96,464.00	171,094.56	24.80%
Department of Corrections and Community Supervision	45,873,198.66	7,507,481.51	6,221,358.48	13,728,839.99	29.93%
Department of Economic Development	6,477,951.00	51,849.34	1,078,626.83	1,130,476.17	17.45%
Department of Environmental Conservation	108,772,736.20	3,044,368.43	20,314,125.04	23,358,493.47	21.47%
Department of Financial Services	3,238,763.00	582,508.73	777,510.75	1,360,019.48	41.99%
Department of Health	510,273,054.00	38,841,479.93	131,374,385.11	170,215,865.04	33.36%
Department of Labor	3,146,720.00	1,255,780.93	554,984.00	1,810,764.93	57.54%
Department of Motor Vehicles	14,205,516.00	3,799,577.38	1,353,351.03	5,152,928.41	36.27%
Department of Public Service	685,275.00	137,695.27	86,749.01	224,444.28	32.75%
Department of State	10,668,346.00	2,564,554.92	4,443,958.31	7,008,513.23	65.69%
Department of Taxation and Finance	3,024,765.00	1,236,298.63	678,105.87	1,914,404.50	63.29%
Development Authority of North Country	8,066,293.00	878,042.92	1,946,582.86	2,824,625.78	35.02%
Division of Alcoholic Beverage Control	100,487.47	22,618.96	6,856.62	29,475.58	29.33%
Division of Budget	853,133.00	40,382.17	234,460.93	274,843.10	32.22%
Division of Criminal Justice Services	1,401,046.00	374,512.58	523,445.81	897,958.39	64.09%
Division of Housing & Community Renewal	113,984,151.00	15,869,458.76	13,562,540.06	29,431,998.82	25.82%
Division of Human Rights	305,019.00	93,049.03	34,832.14	127,881.17	41.93%
Division of Military and Naval Affairs	2,306,046.00	391,534.32	430,858.38	822,392.70	35.66%

Agency Name	Agency Expenditure	MBE Utilization	WBE Utilization	MWBE Utilization	MWBE %
Division of State Police	4,848,683.00	829,678.58	2,408,212.34	3,237,890.92	66.78%
Dormitory Authority of the State of New York	545,023,423.79	100,455,658.41	79,321,731.44	179,777,389.85	32.99%
Education Department	87,034,103.00	4,908,654.41	23,701,608.98	28,610,263.39	32.87%
Empire Center at the Egg	29,041.00	4,151.11	3,564.03	7,715.14	26.57%
Empire State Development	1,294,619,267.00	188,325,356.80	189,737,001.91	378,062,358.71	29.20%
Energy Research & Development Authority, NYS	19,651,908.00	3,976,878.84	3,097,678.13	7,074,556.97	36.00%
Environmental Facilities Corporation	9,657,595.00	1,142,252.63	1,462,128.68	2,604,381.31	26.97%
Erie County Fiscal Stability Authority	19,505.00	0.00	4,583.80	4,583.80	23.50%
Erie County Medical Center	27,412,615.74	2,876,922.23	6,251,512.52	9,128,434.75	33.30%
Executive Chamber	181,002.00	25,945.74	41,764.01	67,709.75	37.41%
Gaming Commission	157,101,579.28	15,207,868.59	29,786,103.13	44,993,971.72	28.64%
Governor's Office of Employee Relations	66,903.00	48,058.45	13,997.27	62,055.72	92.75%
Higher Education Services Corporation	456,088.00	39,348.57	245,361.88	284,710.45	62.42%
Homeland Security	14,502,656.00	1,808,172.22	4,887,812.21	6,695,984.43	46.17%
Housing Finance Agency	421,021,800.00	95,405,607.03	50,767,318.77	146,172,925.80	34.72%
Hudson River Black River Regulating District	310,204.00	28,447.53	27,194.39	55,641.92	17.94%
Hudson River Park Trust	25,778,736.00	2,945,632.31	7,254,241.35	10,199,873.66	39.57%
Insurance Fund	79,639,317.00	6,248,664.69	22,484,249.44	28,732,914.13	36.08%
Jacob K. Javits Convention Center	16,884,176.67	1,607,117.01	2,631,252.62	4,238,369.63	25.10%
Joint Commission on Public Ethics	35,908.00	24,081.17	5,090.65	29,171.82	81.24%
Justice Center for the Protection of People with Special Needs	1,751,128.00	429,837.33	941,107.35	1,370,944.68	78.29%
Livingston County Water & Sewer Authority	5,682,610.00	129,647.02	912,745.98	1,042,393.00	18.34%
Long Island Power Authority	114,683,904.00	21,366,946.82	31,244,123.54	52,611,070.36	45.87%
Metropolitan Transportation Authority	2,829,025,850.39	400,848,603.36	334,758,273.99	735,606,877.35	26.00%
Nassau County Interim Finance Authority	1,703.00	847.75	0.00	847.75	49.78%
Nassau Health Care Corporation	32,845,670.00	0.00	4,552,155.00	4,552,155.00	13.86%
Natural Heritage Trust	2,194,394.00	10,561.31	669,382.81	679,944.12	30.99%
New York Power Authority	387,907,324.00	58,648,829.00	30,332,495.00	88,981,324.00	22.94%
New York State Department of Transportation	399,159,229.52	28,522,974.36	42,597,709.33	71,120,683.69	17.82%
New York State Thoroughbred Breeding and Development Fund	134,473.00	853.55	46,494.78	47,348.33	35.21%
Niagara Falls Water Board	7,055,029.00	0.00	676,604.94	676,604.94	9.59%
Niagara Frontier Transportation Authority	20,989,177.00	1,838,648.77	4,181,567.97	6,020,216.74	28.68%
Office for the Prevention of Domestic Violence	31,527.00	3,411.07	19,974.00	23,385.07	74.17%
Office for Victim Services	65,684.00	25,146.27	12,485.73	37,632.00	57.29%
Office of Aging	1,240,687.70	355,929.85	348,237.78	704,167.63	56.76%

Agency Name	Agency Expenditure	MBE Utilization	WBE Utilization	MWBE Utilization	MWBE %
Office of Children & Family Services	33,384,964.85	7,724,443.87	4,652,677.04	12,377,120.91	37.07%
Office of General Services	557,761,090.72	89,042,931.52	134,241,929.19	223,284,860.71	40.03%
Office of Information Technology Services	197,515,898.00	32,575,940.90	40,374,231.01	72,950,171.91	36.93%
Office of Mental Health	72,900,285.00	13,770,104.32	7,599,699.48	21,369,803.80	29.31%
Office for People With Developmental Disabilities	52,055,533.00	8,917,617.77	10,116,817.34	19,034,435.11	36.57%
Office of Temporary and Disability Assistance	102,805,794.00	17,560,170.19	17,756,596.46	35,316,766.65	34.35%
Office of the Inspector General	144,807.00	67,938.28	18,642.38	86,580.66	59.79%
Office of the Medicaid Inspector General	1,119,380.00	279,827.04	521,014.75	800,841.79	71.54%
Office of the Welfare Inspector General	1,788.00	737.14	0.00	737.14	41.23%
Ogdensburg Bridge and Port Authority	5,862,839.00	6,324.28	312,807.81	319,132.09	5.44%
Olympic Regional Development Authority	3,112,843.00	16,843.67	964,338.08	981,181.75	31.52%
Parks, Recreation & Historic Preservation	167,951,458.00	12,805,912.96	27,721,875.84	40,527,788.80	24.13%
Port of Oswego Authority	158,718.00	3,138.04	57,352.18	60,490.22	38.11%
Public Employment Relations Board	53,807.00	3,076.03	9,048.10	12,124.13	22.53%
Rochester Genesee Regional Transportation Authority	3,897,734.00	547,348.24	579,570.80	1,126,919.04	28.91%
Roosevelt Island Operating Corporation	18,672,318.00	3,273,410.04	2,061,899.23	5,335,309.27	28.57%
Roswell Park Cancer Institute	30,349,333.46	3,898,856.43	9,144,592.38	13,043,448.81	42.98%
Schenectady Metroplex Development Authority	5,263,010.00	770,592.08	1,621,134.14	2,391,726.22	45.44%
State Board of Elections	1,423,108.98	478,211.23	208,733.46	686,944.69	48.27%
State Commission on Correction	6,002.00	4,292.91	0.00	4,292.91	71.52%
State University Construction Fund	356,551,963.23	43,011,531.47	62,094,407.47	105,105,938.94	29.48%
State University of New York Central	516,332,781.27	62,524,751.48	103,736,317.07	166,261,068.55	32.20%
Syracuse Regional Airport Authority	2,406,678.00	250,071.44	594,007.60	844,079.04	35.07%
Thruway Authority/Canal Corp	283,499,117.00	20,734,988.46	24,696,027.46	45,431,015.92	16.03%
United Nations Development Corporation	17,276,020.00	3,644,380.99	1,763,021.98	5,407,402.97	31.30%
Upper Mohawk Valley Water Authority	414,667.00	0.00	132,119.68	132,119.68	31.86%
Veterans Affairs	147,701.64	19,242.57	64,912.21	84,154.78	56.98%
Westchester County Health Care Corporation	96,066,505.00	12,594,019.73	8,236,343.37	20,830,363.10	21.68%
Workers Compensation Board	9,244,269.00	1,588,050.26	3,979,289.47	5,567,339.73	60.22%

⁶ Utilization Reporting – Summary of Individual Agency Goals, Utilization, and Expenditures