



August 8, 2019

Senator Andrea Stewart-Cousins
Temporary President and Majority Leader
907 Legislative Office Building
Albany, New York 12247

Speaker Carl Heastie
Assembly Speaker
932 Legislative Office Building
Albany, New York 12248

Re: Quarterly Status Report: 1st Quarter of Fiscal Year 2019-2020

Dear Majority Leader Stewart-Cousins, and Speaker Heastie:

I am pleased to provide you with the Quarterly Status Report for the Urban Development Corporation, doing business as the Empire State Development Corporation ("ESD"). This report is for the 1st Quarter of Fiscal Year 2019-2020, from April 1st through June 30th.

As required by statute, this report contains the status of ESD's economic development programs, presented in four sections:

Section 1	Summary of Commitments and Disbursements by Appropriation;
Section 2	Geographic Distribution of Approved Projects;
Section 3	Job Creation and Retention Resulting From Approved Projects;
Section 4	Impact of Approved Projects on Distressed Urban and Rural Communities, Small- and Medium-sized Businesses, and Strategic Industries.

I look forward to continuing to work together in support of ESD's economic development efforts.

Very truly yours,

Howard A. Zemsky
President & CEO, Empire State Development
Commissioner, NYS Department of Economic Development

cc: Assemblywoman Helene E. Weinstein, Chair of Assembly Ways and Means Committee
Senator Liz Krueger, Chair of Senate Finance Committee

Enclosure: 1st Quarter Report



As required by statute, this report contains the status of economic development programs administered by the Urban Development Corporation, doing business as the Empire State Development (“ESD”). Funding for the projects listed herein was approved by ESD during the 1st Quarter of Fiscal Year 2019-2020 (April 1 through June 30). During this period, ESD approved 112 awards to 104 companies or organizations for 108 projects totaling \$194,040,238 in funding commitments. These projects will retain 3,299 jobs, create 1,108 jobs and leverage \$380,820,312 in private or other public sector investment.

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ECONOMIC DEVELOPMENT PROGRAMS: APPROPRIATION CATEGORIES

Projects in the report are funded from the appropriation (and reappropriation) categories listed below, including the Fiscal Year(s) (“FY”) of appropriations.

- **Buffalo Regional Innovation Cluster** – an appropriation to support economic development programs in the City of Buffalo and surrounding areas.
- **Community Development Financial Institution Program** – an annual appropriation that provides micro-loans to businesses that do not qualify for bank loans as well as one-on-one counseling and business development assistance to facilitate credit-readiness.
- **Downstate Revitalization Fund** – a \$35 million appropriation enacted in FY 2008-09 to promote economic development by facilitating the creation and retention of jobs or other economic activity in downstate New York.
- **Downtown Revitalization Initiative** - In its third year, the program will invest \$100 million into 10 additional downtown neighborhoods across the state. Participating communities are nominated by the state’s ten Regional Economic Development Councils (REDCs) based on the downtown’s potential for transformation, and each community is awarded \$10 million to develop a downtown strategic investment plan and implement key catalytic projects that advance the community’s vision for revitalization.
- **Economic Development Purposes Fund** – an appropriation that made \$31.18 million of funding available for business investment, job creation, promotion of tourism, domestic and international trade missions, and economic growth investment initiatives.
- **Economic Development Initiatives Program** – \$2 million of this annual appropriation is used to provide assistance to companies and not-for-profit organizations to increase the export of New York’s products and services to foreign markets. Grants are made to help New York State’s private sector identify and develop export markets and increase their sales, thereby creating and retaining jobs. In addition, this appropriation is used for various marketing and tourism initiatives.
- **Economic Transformation Program** – a \$50 million appropriation created as part of the 2011-12 FY budget to assist communities affected by closures of the state’s correctional and juvenile justice facilities.
- **Empire State Economic Development Fund** – created in 1996, and generally funded annually, this appropriation provides assistance for projects that promote the economic health of New York State by facilitating the creation or retention of jobs or the increase of business activity.
- **Entrepreneurial Assistance Program** – two annual appropriations that establish and maintain Entrepreneurial Assistance Program Centers in local communities to provide instruction, training, technical assistance, and support services to individuals who have recently started their own business or are interested in starting a business
- **Federal Department of Defense Office of Economic Adjustment** - Up to \$700,000 was made available in the 2017-2018 FY budget through the United States Department of Defense Office of Economic Adjustment to fund projects that assist established and early stage defense industry companies with diversification and strengthen the resilience of the New York State defense companies to fluctuations in defense spending.

- **High Technology Innovation and Economic Development Infrastructure Program** – The FY 2018-2019 budget includes \$300 million, and the FY 2019-2020 budget includes \$325 million for initiatives that foster research and development of innovative technologies and leverage private investment in advanced science and technology, economic development initiatives, infrastructure, manufacturing, and other economic development initiatives that leverage private investment in regional projects that create or retain jobs.
- **JOBS Now Program** – this appropriation, created in 1998, promotes the state’s economic health and the increase of economic activity by encouraging the expansion of current businesses and attraction of new businesses, primarily targeting companies that create at least 300 new permanent, full-time private sector jobs, with 25% of funds allowed for the creation of 100-299 jobs.
- **Metropolitan Economic Revitalization Fund** – a \$25 million fund created in 1996 to revive economically distressed neighborhoods in the Port District of New York by offering capital loans that will result in retention or creation of a significant number of private-sector jobs.
- **Market NY Program** – an annual appropriation awarded through the Regional Council Consolidating Funding Application process, to support regionally themed, New York focused projects to help to bolster tourism growth by promoting tourism destinations, attractions, and special events.
- **Minority and Women-owned Business Development and Lending Program** – an annual appropriation that provides financial assistance to minority- and women-owned business enterprises via loan funding.
- **New NY Broadband Program** – a \$500 million appropriation to support broadband deployment to Unserved and Underserved areas of the State, at Internet speeds of at least 100 megabits per second (Mbps) (download) in most places, and 25 Mbps (download) in the most remote parts of the State.
- **New York Power Authority (“NYPA”) Craft Beverage Marketing Program** – Funding established in FY 2014-2015, under Section 19 of Part I of Chapter 55 of the State Law, allowing the Power Authority of the State of New York to make a contribution of up to \$90 million to the State Treasury, a portion of which was directed to the credit of ESD in furtherance of ESD’s Statewide economic development initiatives. The program will also support marketing initiatives that target craft beverage businesses located within the Central New York region to increase the profile, awareness and sales of Central New York produced wine, beer, spirits, and hard cider.
- **New York Works Economic Development Fund** – an annual appropriation to support economic development.
- **New York Life Science Initiative Fund** – A \$320 million appropriation in the FY 2017-2018 budget to spur the growth of a new, world-class life science research cluster in New York, as well as expand the state’s ability to commercialize this research and grow the economy.
- **Regional Council Capital Fund** – an annual appropriation established under the Governor’s Regional Economic Development Council Initiative to support capital-based economic development initiatives intended to create or retain jobs, prevent or reduce unemployment and underemployment and to increase business activity in a community or region.

ECONOMIC DEVELOPMENT PROGRAMS: APPROPRIATION CATEGORIES CONTINUED

- **Restore New York Communities Initiative** – a \$300 million appropriation, awarded over three years (FY 2006-07, FY 2007-08, and FY 2008-09), that aids municipalities in revitalizing vacant, abandoned or deteriorating commercial and residential properties which may be in need of demolition, rehabilitation or reconstruction. The FY 2016-17 budget also included a \$25 million Appropriation for the program, while an additional \$15 million was re-allocated from the earlier \$300 million appropriation. In addition, the FY 2017-18 budget included a \$70 million appropriation for the program with a reallocation of \$10 million from the original \$300 million appropriation.
- **Transformative Economic Development Program** - a \$150 million appropriation for projects that catalyze private investment and result in resulting in significant economic development. The program also assists in the creation of temporary and permanent jobs, the growth of the commercial and residential tax base and the enhancement of the environment and quality of life for residents of Nassau or Suffolk County.
- **Upstate Revitalization Initiative** - The New York Upstate Revitalization Initiative (URI) is part of an overall program aimed at systematically revitalizing the economy of Upstate New York. Modeled after the success of the Buffalo Billion Initiative, the URI is an opportunity for the communities of upstate New York to address the economic challenges of their regions and work together in bringing jobs back upstate.
- **Urban and Community Development Program** – an annual appropriation that promotes economic development by encouraging economic and employment opportunities in targeted communities and urban areas.

SECTION 1: CUMULATIVE SUMMARY OF COMMITMENTS AND DISBURSEMENTS

Cumulative Summary of Commitments by Appropriation

Commitments are broken down by appropriation category and detail the total funding commitments approved by ESD during the 1st Quarter of FY 2019-2020.

Appropriation	Commitments
Buffalo Regional Innovation Cluster	\$7,000,000
Downtown Revitalization Initiative	\$1,500,000
Economic Development Initiatives	\$158,680
Economic Development Purposes Fund	\$200,000
Empire State Economic Development Fund	\$130,000
Federal Department of Defense Program	\$481,200
High Technology Innovation and Economic Development Infrastructure Program	\$72,500,000
Jobs Now Program	\$13,315,259
Market New York Program	\$5,447,168
Regional Council Capital Fund	\$12,534,470
Restore New York Communities Initiative	\$5,300,000
Transformative Investment Program	\$30,000,000
Upstate Revitalization Initiative	\$44,806,050
Urban and Community Development Program	\$667,411
TOTAL	\$194,040,238

Cumulative Summary of Commitments by Appropriation: Project List (p.1)

Project Name	Total Commitment
Buffalo Regional Innovation Cluster	
960 Busti Avenue Capital	\$800,000
Alfred Smart Growth	\$2,500,000
PUSH Buffalo Capital and Working Capital	\$1,200,000
Village of Fredonia Smart Growth Capital	\$2,500,000
Subtotal	\$7,000,000
Downtown Revitalization Initiative	
Franklin Street Lighting DRI	\$1,500,000
Subtotal	\$1,500,000
Economic Development Initiatives	
Applied Biophysics Working Capital	\$15,275
CVD Working Capital	\$22,352
Ithos Global Working Capital 2019	\$20,930
Jack Reiss Working Capital	\$25,000
LightsUp! Working Capital	\$15,185
Molecular Glasses Working Capital	\$13,172
North Park Working Capital	\$22,432
VITS Working Capital	\$24,334
Subtotal	\$158,680
Economic Development Purposes Fund	
Hilton Double Tree Hotel Capital II	\$200,000
Subtotal	\$200,000
Empire State Economic Development Fund	
Batavia Brewing Company Capital	\$100,000
Gun Hill Brewing Capital	\$30,000
Subtotal	\$130,000
Federal Department of Defense Program	
Blackbox B3 DOD Working Capital	\$50,000
DiLab R3 MTAG Working Capital	\$50,000
DiLAB-Bonbouton Working Capital	\$50,000
Greno Industries DOD Working Capital	\$31,200
MCD DOD Working Capital	\$50,000
Pvilion Stage II DOD Working Capital	\$50,000
RIT LSI Working Capital	\$100,000
RPI- Pvilion DOD Working Capital	\$50,000
Xallent Stage II DOD Working Capital	\$50,000
Subtotal	\$481,200

Cumulative Summary of Commitments by Appropriation: Project List (p.2)

Project Name	Total Commitment
High Technology Innovation and Economic Development Infrastructure Program	
RF-NY CREATES Operating Support Working Capital	\$72,500,000
Subtotal	\$72,500,000
Jobs Now Program	
Broadridge Financial Solutions	\$13,315,259
Subtotal	\$13,315,259
Market New York Program	
2019 Empire State Winter Games Working Capital	\$65,000
27th New York State Blues Festival	\$50,000
Adirondack Sky Center Working Capital	\$79,500
Albany Symphony Orchestra Working Capital	\$250,000
AMNH-Glider Center Capital	\$1,000,000
Bronx Music Hall - This is Bronx Music Working Capital	\$152,131
Buffalo Wing Trail Working Capital	\$50,000
CNY Arts 2019 Working Capital	\$150,000
Defying Labels: Lyndhurst Marketing Plan	\$87,000
Destination Expanded Basilica Hudson Working Capital	\$58,500
Discover Long Island International Marketing Initiative Working Capital	\$300,000
Dove Art Trail Legacy Connector Project Working Capital	\$103,862
East End Tourism Alliance - Seasons of Reasons Working Capital	\$140,625
Fenimore Museum Rock & Roll Icons Exhibition Working Capital	\$135,225
Fort Ticonderoga Marketing Working Capital	\$300,000
From Rivers to Rainbows - WorldPride 2019 Working Capital	\$100,200
National Audubon Society Capital	\$130,000
National Baseball Hall of Fame "Top Nine Artifacts" Working Capital	\$300,000
New York Kitchen Campus Development, Working Cap Project	\$205,000
Red Shed Brewery - Brew Experience NY Working Capital	\$187,000
Saratoga Performing Arts Center Working Capital	\$195,000
The Farmer's Museum Hopsego Event 2019 Working Capital	\$110,625
The Universal Hip Hop Museum / BronxPoint Working Capital	\$75,000
Tough Mudder Long Island 2018 Capital	\$160,000
Visit Rochester Family Market Campaign Working Capital	\$150,000
Ward Melville Heritage Organization Working Capital	\$75,000
Winship Media's Long Island Wine Country Working Capital	\$150,000
World Pride 2019 and Stonewall 50 Working Capital	\$687,500
Subtotal	\$5,447,168

Cumulative Summary of Commitments by Appropriation: Project List (p.3)

Project Name	Total Commitment
Regional Council Capital Fund	
167 Genesee Street Capital	\$400,000
Aquarium of Niagara Jellyfish Exhibit Capital	\$68,000
Century Linen & Uniform Capital	\$1,200,000
Chautauqua Water District Capital	\$1,000,000
City of Buffalo - Broadway Market Capital	\$74,000
Cold Spring Harbor Capital	\$170,000
Compassion Coalition Capital (2 Commitments)	\$600,000
Contento's Recycling Capital	\$145,000
Crescent Commons Main Street	\$750,000
Doyle Hardware Building Capital	\$1,000,000
Efco Products Capital 2018	\$200,000
JBF Stainless Capital	\$680,000
Messinger Hall Capital	\$450,000
National Pipe & Plastic Capital	\$800,000
Ontario County IDA Capital	\$100,000
RIT MAGIC Capital	\$1,500,000
Rochester Insulated Glass Expansion Capital	\$100,000
Seneca County Sewer Capital I (2 Commitments)	\$1,600,000
Shinnecock Dock Revitalization	\$100,000
Smith Center for the Arts Opera House Capital	\$100,000
St. John Fisher -Skalny Science Center Capital	\$372,470
TCGplayer Facility and Equipment	\$550,000
The Little Theatre Film Society Capital II	\$225,000
Tin Horn Capital	\$150,000
Velan Studios Capital	\$200,000
Subtotal	\$12,534,470
Restore New York Communities Initiative	
City of Amsterdam - Amsterdam Wrestling Hall Building RESTORE IV	\$500,000
City of Ithaca - Downtown West Revitalization RESTORE V	\$1,000,000
City of Lackawanna – Lincoln School Demolition RESTORE IV	\$500,000
City of Lockport – Downtown Lockport Key RESTORE V	\$500,000
City of Schenectady - Downtown Revitalization RESTORE V	\$1,800,000
Main Street Corridor Rehab RESTORE V	\$1,000,000
Subtotal	\$5,300,000
Transformative Investment Program	
Feinstein Institute of Medical Research: Center for Bioelectronic Medicine Capital	\$30,000,000
Subtotal	\$30,000,000

Cumulative Summary of Commitments by Appropriation: Project List (p.4)

Project Name	Total Commitment
Upstate Revitalization Initiative	
900 East Fayette Group Capital	\$250,000
Crescent Commons Main Street	\$250,000
Geneesee County STAMP Phase II Infrastructure Design Permitting	\$8,000,000
Kodak Alaris Capital	\$1,256,050
Liberty Park Mixed Use Development Capital and Working Capital	\$11,500,000
Revitalize Rochester Fund	\$10,000,000
Rochester Data Science Consortium Working Capital	\$7,500,000
TCGplayer Facility and Equipment	\$50,000
Utica Harbor Bulkhead Replacement	\$6,000,000
Subtotal	\$44,806,050
Urban and Community Development Program	
Arkell Museum Strategic Planning Capital	\$40,437
City of Rochester Commercial Corridor Study Working Capital	\$50,000
Columbia County Feasibility Study Working Capital	\$45,824
Jamestown Renaissance Corporation Working Capital	\$87,500
Mid-Hudson Pattern for Progress Working Capital II	\$80,000
Otsego IDA Oneonta Airport Feasibility Study Working Capital	\$20,000
Otsego IDA Route 205 Feasibility Study Working Capital	\$30,000
Southern Tier Central Regional Planning and Development Board Working Capital	\$76,250
Town of Eden Working Capital	\$2,400
Town of Lewiston Artpark Master Plan Capital	\$100,000
Town of Wawarsing Planning and Feasibility Study Working Capital	\$20,000
Village of Phoenix Marina Capital	\$40,000
Village of Victor Downtown Revitalization Plan Working Capital	\$25,000
West Shore BID Stormwater Mitigation Capital	\$50,000
Subtotal	\$667,411
Total	\$194,040,238

SECTION 1: CUMULATIVE SUMMARY OF COMMITMENTS AND DISBURSEMENTS

Cumulative Summary of Disbursements by Appropriation

Disbursements are broken down by appropriation category and detail the total funding disbursements made during the 1st Quarter of FY 2019-2020 for funding commitments previously approved by ESD.

Appropriation	Disbursements
Buffalo Regional Innovation Cluster	\$36,949,598
Community Development Financial Institution	\$997,500
Downstate Revitalization Fund	\$1,257,796
Downtown Revitalization Initiative	\$3,035,609
Economic Development Initiatives Fund	\$8,446,589
Economic Development Purposes Fund	\$254,033
Economic Transformation Program	\$1,694,910
Empire State Economic Development Fund	\$5,788,289
Entrepreneurial Assistance Program	\$156,305
Federal Department of Defense EMBED AIM	\$49,777
High Technology Innovation and Economic Development Infrastructure Program	\$46,280,000
Market New York Program	\$1,430,636
Metropolitan Economic Revitalization Fund	\$333,333
Minority and Women-owned Business and Development Lending Program	\$37,497
New NY Broadband Program	\$29,662,287
New York Works Economic Development Fund	\$3,271,014
NYPA Craft Beverage Marketing Program	\$24,990
New York State Life Science Initiative Fund	\$1,575
Regional Council Capital Fund	\$24,992,069
Restore New York Communities Initiative	\$6,711,660
Upstate Regional Blueprint Fund	\$320,000
Upstate Revitalization Initiative	\$37,717,092
Urban and Community Development Program	\$646,500
TOTAL	\$210,059,060

Cumulative Summary of Disbursements by Appropriation: Project List (p. 1)

Project Name	Commitment	Disbursement During Q1 2019-2020
Buffalo Regional Innovation Cluster		
Anderson Properties, Niagara Falls	\$27,005,000	\$244,222
Buffalo Harbor State Park Capital (Phase I)	\$10,000,000	\$10,000,000
Buffalo Investment Development Strategy	\$2,250,000	\$227,324
Buffalo Investment Development Strategy	\$2,250,000	\$169,077
Buffalo Mattress Factory Capital	\$500,000	\$500,000
Cataract Commons	\$1,300,000	\$406
CCNF Operations Capital and Working Capital	\$2,800,000	\$680,845
Darwin Martin House Buffalo Billions 16-17	\$5,000,000	\$979,019
Edison Welding Institute Working Capital 2017	\$15,000,000	\$5,000,000
Edison Welding Institute Working Capital Phase III	\$5,300,000	\$492,000
Graycliff Estate Buffalo Billions 16-17	\$3,700,000	\$664,373
Northland Corridor Redevelopment/Phase II/Buffalo Manufacturing Works Capital	\$15,000,000	\$6,750,000
UB Innovation Hub	\$32,000,000	\$10,583,333
WNY Workforce Training Center EDG Vendor Contract	\$5,000,000	\$659,000
Subtotal	\$127,105,000	\$36,949,598
Community Development Financial Institution		
AEDC CDFI 19	\$65,000	\$32,500
Alternatives-Cooperative CDFI 19	\$170,000	\$85,000
BCNA CDFI 20	\$100,000	\$50,000
BOC CDFI 19	\$100,000	\$50,000
BOC CDFI 20	\$100,000	\$50,000
Brooklyn Cooperative CDFI 19	\$80,000	\$40,000
CAMBA CDFI 19	\$60,000	\$60,000
CAMBA CDFI 20	\$55,000	\$27,500
CCNY CDFI 20	\$100,000	\$50,000
CLFCR CDFI 19	\$90,000	\$45,000
CLFCR CDFI 20	\$95,000	\$47,500
CODI CDFI 19	\$60,000	\$30,000
CODI CDFI 20	\$70,000	\$35,000
EGF CDFI 20	\$95,000	\$47,500
HEF CDFI 20	\$85,000	\$42,500
La Fuerza CDFI 19	\$35,000	\$17,500
La Fuerza CDFI 20	\$45,000	\$22,500
LESPFCU CDFI 19	\$75,000	\$37,500
NTFCU CDFI 20	\$60,000	\$30,000
Pathstone CDFI 20	\$85,000	\$42,500
Renaissance CDFI 20	\$100,000	\$50,000
Spring Bank CDFI 19	\$45,000	\$22,500
WHIDC CDFI 19	\$85,000	\$42,500
WHIDC CDFI 20	\$80,000	\$40,000
Subtotal	\$1,935,000	\$997,500
Downstate Revitalization Fund		
TAYSTEE CREATE LLC CAPITAL	\$10,000,000	\$1,257,796
Subtotal	\$10,000,000	\$1,257,796
Downtown Revitalization Initiative		
City of Elmira Downtown Revitalization	\$4,000,000	\$1,303,293
Lake Tunnel Solar Village Capital	\$1,250,000	\$625,000
AECOM USA DRI 3	\$300,000	\$152,831
Bergmann Associates DRI 3	\$600,000	\$334,666
HR&A Advisors DRI 3	\$600,000	\$261,881
LaBella Associates DRI 3	\$300,000	\$22,508
LaBella Associates DRI 3	\$300,000	\$19,097
LaBella Associates DRI 3	\$300,000	\$55,803
LaBella Associates DRI 3	\$300,000	\$31,701
Stantec Consulting Services DRI 3	\$300,000	\$78,254
VHB Engineering, Surveying and Landscape Architecture DRI 3	\$300,000	\$150,576
Subtotal	\$8,550,000	\$3,035,609

Cumulative Summary of Disbursements by Appropriation: Project List (p. 2)

Project Name	Commitment	Disbursement During Q1 2019-2020
Economic Development Initiatives Fund		
Blasch Export Growth Working Capital	\$25,000	\$25,000
Business Attraction & Retention 17-18	\$34,000,000	\$3,111,249
Business Attraction & Retention 19-20	\$6,000,000	\$3,405
Classic Rug Working Capital	\$24,785	\$24,785
Industrial Metals International Working Capital	\$24,990	\$11,900
Tourism 17-18	\$30,500,000	\$5,204,269
Tourism 19-20	\$33,500,000	\$65,981
Subtotal	\$104,074,775	\$8,446,589
Economic Development Purposes Fund		
Long Island Farm Bureau Member Services Working Capital	\$500,000	\$72,783
Long Island Farm Bureau Member Services Working Capital	\$500,000	\$80,000
Southern Tier Brewing Co. Capital	\$135,000	\$101,250
Subtotal	\$1,135,000	\$254,033
Economic Transformation Program		
City of Rome Riverwalk Village Capital	\$1,500,000	\$1,500,000
Middleburgh Meadows Capital	\$500,000	\$150,000
Warwick Valley Office & Tech Park	\$3,000,000	\$44,910
Subtotal	\$5,000,000	\$1,694,910
Empire State Economic Development Fund		
Ambrell Capital	\$350,000	\$262,500
BNYM	\$23,000,000	\$2,500,000
Brewmation Capital	\$50,000	\$50,000
Centrotherm Eco Systems Capital	\$100,000	\$25,000
GE Aviation Working Capital	\$6,350,000	\$635,000
Lighthouse Landing Capital	\$3,500,000	\$1,134,327
Metal Container Capital	\$1,000,000	\$250,000
Steel & O'Brien Capital	\$105,000	\$26,250
Super DIRT Week 2018	\$541,000	\$216,400
TAYSTEE CREATE LLC CAPITAL	\$10,000,000	\$526,312
The Wine Enthusiast Capital	\$650,000	\$162,500
Subtotal	\$45,646,000	\$5,788,289
Entrepreneurial Assistance Program		
EAP-CAMBA Entrepreneurial Assistance Program Center 17-18	\$81,465	\$77,634
EAP-Hot Bread Kitchen EAP Center 16-17	\$80,900	\$40,450
EAP-Urban League of Rochester Entrepreneurial Assistance Program Center 18-19	\$76,442	\$38,221
Subtotal	\$238,807	\$156,305
Federal Department of Defense EMBED AIM		
Mosaic - AMUSA Working Capital	\$49,777	\$49,777
Subtotal	\$49,777	\$49,777
High Technology Innovation and Economic Development Infrastructure Program		
NYS-Applied Materials META Center Capital	\$250,000,000	\$46,280,000
Subtotal	\$250,000,000	\$46,280,000

Cumulative Summary of Disbursements by Appropriation: Project List (p. 3)

Project Name	Commitment	Disbursement During Q1 2019-2020
Market New York Program		
Binghamton Culinary Tourism Alliance Working Capital	\$58,800	\$19,371
Buffalo Niagara Craft Beer Marketing Initiative Working Capital	\$50,000	\$50,000
Campground Owners of New York Working Capital	\$144,194	\$144,194
Coming Museum of Glass Working Capital	\$246,625	\$246,625
Craft Beverage Promotion Weekends Working Capital	\$187,000	\$67,663
Erie Canal Water Trail Working Capital	\$182,936	\$47,944
Erie Canalway 200 Marketing Campaign Working Capital	\$124,575	\$40,133
GARNER Arts Center Working Capital	\$120,375	\$5,850
GARNER Arts Center Working Capital	\$120,375	\$8,535
North Fork Trolley Working Capital	\$112,500	\$25,135
NY Wine Culinary Center Programmatic Working Capital	\$221,700	\$172,158
Rochester Fringe Festival 2017 Working Capital	\$300,500	\$300,500
Shared Mobility Working Capital	\$125,000	\$27,075
Simpleview Website Working Capital	\$75,000	\$39,273
Skywalk Marketing Campaign Working Capital	\$225,000	\$91,377
Thomas Cole Exhibit Working Capital	\$165,000	\$86,623
Wine, Water and Wonders Working Capital III	\$400,000	\$58,181
Subtotal	\$2,859,580	\$1,430,636
Metropolitan Economic Revitalization Fund		
BOC MERF RLF	\$1,000,000	\$333,333
Subtotal	\$1,000,000	\$333,333
Minority and Women-owned Business Development and Lending Program		
Hofstra University Certification Assistance Program Working Capital	\$75,000	\$37,497
Subtotal	\$75,000	\$37,497
New NY Broadband Program		
2016 New NY Broadband Program Round 2 MIDTEL	\$6,831,856	\$999,875
2017 DCBI Phase 3 MTC Segment Broadband Capital	\$2,411,126	\$780,187
Armstrong Telecommunications Broadband Capital	\$3,430,189	\$243,668
ATC Phase 2 Broadband Capital	\$13,204,315	\$2,435,089
ATI Phase 2 Area 1 Broadband Capital	\$15,770,106	\$1,806,172
ATI Phase 2 Area 2 Broadband Capital	\$12,236,307	\$44,336
ATI Phase 2 Area 3 Broadband Capital	\$6,560,242	\$26,414
Central Catskills Initiative Broadband Capital	\$4,877,133	\$693,129
Central Region Cayuga Unserved Broadband Capital	\$11,310,921	\$2,320,522
Champlain Unserved New NY Broadband Capital	\$1,362,901	\$225,210
Chazy and Westport NY Broadband Unserved Capital	\$2,363,839	\$22,204
Chazy and Westport Telephone Broadband Capital-B	\$457,346	\$8,645
Cortland-Chenango FTTH Broadband Capital	\$12,391,484	\$363,942
DCBI Phase IV CAF Broadband Capital	\$3,861,077	\$771,777
DCBI Phase IV Non-Caf Broadband Capital	\$5,329,453	\$1,552,555
Deposit Telephone Underserved Broadband Capital	\$1,895,390	\$1,110,581
Deposit Telephone Unserved Broadband Capital	\$4,989,570	\$2,556,767
DFT Local Service Corp. Northern Chautauqua NY BB Grant Phase 2 BB Capital	\$4,274,536	\$587,074
Empire Access Underserved Broadband Capital	\$1,598,480	\$28,569
Empire Access Unserved Broadband Capital	\$1,797,894	\$131,938
Empire Telephone Corp Phase 2 Finger Lakes Unserved Broadband Capital	\$805,633	\$352,623
Empire Telephone Corp Phase 2 Southern Tier Underserved Broadband Capital	\$271,956	\$100,163
Empire Telephone Corp Phase 2 Southern Tier Unserved Broadband Capital	\$2,159,302	\$1,030,333
Gtel Broadband Capital	\$2,512,562	\$140,980
Gtel Teleconnections Broadband Capital	\$4,730,944	\$1,045,677
Gtel Teleconnections Broadband Capital- B	\$528,273	\$31,569
Haefele TV Broadband Capital	\$271,568	\$244,411
Hancock Telephone Company Broadband Capital	\$4,915,920	\$310,858
Mid Hudson Phase 2 Broadband Capital	\$849,818	\$65,856
Mid-Hudson Unserved Broadband Capital	\$950,184	\$58,968
MIDTEL Unserved Broadband Capital	\$5,562,548	\$177,385
MTC 2017 Central Catskills Broadband Initiative Capital	\$1,472,125	\$383,857
Newport Telephone Unserved Broadband Capital	\$9,348,940	\$1,392,654
Nicholville Primary Broadband Capital	\$2,974,750	\$992,006
Oneida County Rural Telephone Company	\$3,285,885	\$333,144
Otsego Rural Broadband Capital II	\$1,304,477	\$988,067
Otsego Rural Broadband Phase 3 Broadband Capital	\$1,440,693	\$572,275
Otsego Rural Broadband Phase 3 CAF Broadband Capital	\$4,298,623	\$818,845
Pattersonville Telephone Company Broadband Capital	\$1,188,748	\$211,873
Phase III CAF Broadband Capital	\$824,126	\$62,477
Phase III Unserved Broadband Capital	\$3,649,999	\$242,081
Round 3 MIDTEL Unserved Broadband Capital	\$2,318,108	\$176,320
Slic Network Solutions Broadband Capital B	\$308,760	\$277,884
State Telephone Underserved Broadband Capital	\$5,805,600	\$1,196,535
State Telephone Unserved Broadband Capital	\$2,914,960	\$507,817
Tompkins FTTH Broadband Capital	\$1,243,190	\$111,390
Township Tel NY BB Phase II Capital	\$3,030,492	\$1,127,584
Subtotal	\$185,922,349	\$29,662,287

Cumulative Summary of Disbursements by Appropriation: Project List (p. 4)

Project Name	Commitment	Disbursement During Q1 2019-2020
New York Works Economic Development Fund		
Lake Ontario Business Flood Recovery Grant Program	\$5,000,000	\$50,000
Lake Ontario Business Flood Recovery Grant Program	\$5,000,000	\$19,628
ALCOA Massena Capital	\$23,000,000	\$2,080,986
Lake Ontario Business Flood Recovery Grant Program (Physical Losses)	\$6,875,000	\$120,400
City of Jamestown NY Works EDF	\$1,000,000	\$1,000,000
Subtotal	\$40,875,000	\$3,271,014
NYPA Craft Beverage Program		
Springut Group Craft Beverage Marketing Working Capital	\$24,990	\$24,990
Subtotal	\$24,990	\$24,990
New York State Life Science Initiative Fund		
Life Sciences Counsel	\$49,500	\$1,575
Subtotal	\$49,500	\$1,575
Regional Council Capital Fund		
Ametek Hughes-Treitler Capital	\$160,000	\$160,000
ASP Partners Phase II Capital	\$120,000	\$20,000
Beau Vine Vineyards - Vineyard expansion	\$370,000	\$92,500
Boyce Technologies	\$2,635,000	\$1,000,000
Broadband Extension Project	\$5,000,000	\$244,987
Broadband Extension Project	\$5,000,000	\$268,050
CNY Biotechnology Accelerator M&E Capital	\$491,080	\$491,081
Commercial Services Printing Capital	\$317,000	\$313,181
Cornell University Capital	\$500,000	\$500,000
Finger Lakes Business Accelerator at Sibley Capital	\$8,000,000	\$2,330,398
Fort Ticonderoga Visitor Center Feasibility Capital	\$57,000	\$8,550
GMDC Ozone Park Industrial Center Capital	\$2,100,000	\$700,000
Griffiss Institute Capital	\$1,100,000	\$73,229
Griffiss Institute Capital	\$1,100,000	\$220,573
Griffiss Institute Capital	\$1,100,000	\$182,229
Harbor Point	\$5,000,000	\$1,028,029
Harbor Point	\$5,000,000	\$38,913
Harrison Place Business Incubator Capital RC6	\$300,000	\$81,898
Hawthorne Valley Farm Capital	\$440,220	\$440,220
HCIDA Revolving Loan Fund Capital	\$50,000	\$25,000
Hotel Saranac Capital	\$5,000,000	\$1,150,000
Hyde Park Infrastructure Capital	\$1,250,000	\$457,054
Jefford's Steel Capital	\$300,000	\$150,000
Monroe Community Sports Capital	\$566,000	\$566,000
New York Wine Industry Association	\$300,000	\$300,000
North Country Redevelopment Fund	\$5,000,000	\$1,000,000
Omniafiltra Capital	\$70,000	\$70,000
ONX3 Capital	\$1,900,000	\$1,900,000
Rising Development Yonkers Capital	\$2,000,000	\$150,000
Sibley Building Phase II Capital	\$3,500,000	\$3,500,000
Smith Science Center Capital	\$1,000,000	\$1,000,000
TAYSTEE CREATE LLC CAPITAL	\$10,000,000	\$1,784,108
TAYSTEE CREATE LLC CAPITAL	\$10,000,000	\$1,514,716
Tioga Hardwoods	\$300,000	\$112,500
University of Rochester Goergen Institute Capital	\$2,500,000	\$1,500,000
University of Rochester Goergen Institute Capital	\$2,500,000	\$1,000,000
Watkins Glen International Capital	\$2,000,000	\$564,855
Zehr's Flowers and Landscaping Capital	\$54,000	\$54,000
Subtotal	\$87,080,300	\$24,992,069

Cumulative Summary of Disbursements by Appropriation: Project List (p. 5)

Project Name	Commitment	Disbursement During Q1 2019-2020
Restore New York Communities Initiative		
Blodgett Site RESTORE IV	\$480,150	\$80,150
City of Syracuse – Urban Gateway RESTORE IV	\$2,000,000	\$488,012
Elmira - RESTORE II - Water Street	\$2,300,000	\$749,394
Heuvelton - RESTORE III - Pickens Hall	\$490,000	\$49,000
RESTORE Amsterdam--Chalmer's Building	\$825,000	\$825,000
Seneca Falls - RESTORE III - Knitting Mill Rehabilitation	\$2,500,000	\$2,079,006
Town of Crown Point - War Canoe Spirits RESTORE IV	\$500,000	\$271,061
Town of Grand Island - Grand Island Hotel RESTORE III	\$1,000,000	\$1,000,000
Town of Watertown - Carolyn Pontiac Property RESTORE IV	\$500,000	\$500,000
Village of Lancaster - BOCES Foundation Capital RESTORE IV	\$160,388	\$160,388
Village of Malone – River Building Renaissance RESTORE V	\$988,000	\$459,430
Yonkers - RESTORE III - Philipse Manor Historic District Rehabilitation	\$3,505,000	\$13,452
Yonkers - RESTORE III - Philipse Manor Historic District Rehabilitation	\$3,505,000	\$36,767
Subtotal	\$18,753,538	\$6,711,660
Upstate Regional Blueprint Fund		
Catskills Food Hub Capital	\$320,000	\$320,000
Subtotal	\$320,000	\$320,000
Upstate Revitalization Initiative		
Adult Mentoring Pilot Working Capital	\$1,500,000	\$525,000
AIM Photonics Capital URI	\$216,887,080	\$16,500,000
Bergmann Associates URI Greater Binghamton Fund	\$435,600	\$10,350
Excell Technology Ventures URI	\$25,000,000	\$2,000,000
FlexTech Alliance Capital	\$20,000,000	\$559,116
FlexTech Alliance Capital	\$20,000,000	\$338,481
Griffiss Institute-Commercialization Academy-Working Capital	\$2,000,000	\$200,000
LiveTiles Capital	\$3,500,000	\$1,000,000
Northeast UAS Airspace Integration Research Alliance Capital	\$30,000,000	\$738,224
Saab Defense and Security USA, LLC Expansion	\$30,000,000	\$1,000,000
Sustainable Manufacturing Innovation Alliance REMADE Working Capital	\$10,000,000	\$1,083,138
The Dietz at Leavenworth Park Capital	\$900,000	\$900,000
Tioga County IDA- Weitsman Shredding Capital	\$1,000,000	\$465,000
Unmanned Secure Autonomous Flight Environment (U-SAFE) Capital	\$5,100,000	\$2,550,000
Unmanned Secure Autonomous Flight Environment (U-SAFE) Capital	\$5,100,000	\$1,530,000
UR Goergen Institute for Data Science Capital	\$12,500,000	\$8,317,783
Subtotal	\$383,922,680	\$37,717,092
Urban and Community Development Fund		
Military Base Consulting	\$993,465	\$32,500
Military Base Consulting	\$993,465	\$32,500
Military Base Consulting	\$993,465	\$32,500
Albany Medical Center Capital	\$500,000	\$500,000
City of Corning Strategic Study	\$24,000	\$24,000
City of Batavia Health Campus Working Capital	\$25,000	\$25,000
Subtotal	\$3,529,395	\$646,500
Total	\$1,278,146,691	\$210,059,060



SECTION 2: GEOGRAPHIC DISTRIBUTION OF APPROVED PROJECTS

Region	Approved Projects	Total Commitments
Capital	13 Projects	\$86,716,729
Central	7 Projects	\$2,235,000
Finger Lakes	21 Projects	\$31,946,692
Long Island	11 Projects	\$44,488,236
Mid-Hudson	7 Projects	\$565,196
Mohawk Valley	14 Projects	\$11,203,287
New York City	9 Projects	\$2,084,816
North Country	3 Projects	\$444,500
Southern Tier	7 Projects	\$4,576,250
Western New York	16 Projects	\$9,704,532
TOTAL	108 Projects	\$194,040,238

Geographic Distribution of Approved Projects: Project List (p. 1)

Project Name	Total Commitment	County
Capital Region		
Albany Symphony Orchestra Working Capital	\$250,000	Albany
Applied Biophysics Working Capital	\$15,275	Rensselaer
City of Schenectady - Downtown Revitalization RESTORE V	\$1,800,000	Schenectady
Columbia County Feasibility Study Working Capital	\$45,824	Columbia
Destination Expanded Basilica Hudson Working Capital	\$58,500	Columbia
Greno Industries DOD Working Capital	\$31,200	Schenectady
Ithos Global Working Capital 2019	\$20,930	Rensselaer
Liberty Park Mixed Use Development Capital and Working Capital	\$11,500,000	Albany
Pvillion Stage II DOD Working Capital	\$50,000	Rensselaer
RF-NY CREATES Operating Support Working Capital	\$72,500,000	Albany
RPI- Pvillion DOD Working Capital	\$50,000	Rensselaer
Saratoga Performing Arts Center Working Capital	\$195,000	Saratoga
Velan Studios Capital	\$200,000	Rensselaer
Subtotal	\$86,716,729	
Central New York Region		
27th New York State Blues Festival	\$50,000	Onondaga
900 East Fayette Group Capital	\$250,000	Onondaga
CNY Arts 2019 Working Capital	\$150,000	Onondaga
Contento's Recycling Capital	\$145,000	Cortland
Crescent Commons Main Street (2 Commitments)	\$1,000,000	Cayuga
TCGplayer Facility and Equipment (2 Commitments)	\$600,000	Onondaga
Village of Phoenix Marina Capital	\$40,000	Onondaga
Subtotal	\$2,235,000	
Finger Lakes Region		
Batavia Brewing Company Capital	\$100,000	Genesee
Blackbox B3 DOD Working Capital	\$50,000	Monroe
City of Rochester Commercial Corridor Study Working Capital	\$50,000	Monroe
Genesee County STAMP Phase II Infrastructure Design Permitting	\$8,000,000	Genesee
Kodak Alaris Capital	\$1,256,050	Monroe
MCD DOD Working Capital	\$50,000	Genesee
Messinger Hall Capital	\$450,000	Monroe
Molecular Glasses Working Capital	\$13,172	Monroe
New York Kitchen Campus Development, Working Cap Project	\$205,000	Ontario
Ontario County IDA Capital	\$100,000	Ontario
Revitalize Rochester Fund	\$10,000,000	Monroe
RIT LSI Working Capital	\$100,000	Monroe
RIT MAGIC Capital	\$1,500,000	Monroe
Rochester Data Science Consortium Working Capital	\$7,500,000	Monroe
Rochester Insulated Glass Expansion Capital	\$100,000	Ontario
Seneca County Sewer Capital (2 Commitments)	\$1,600,000	Seneca
Smith Center for the Arts Opera House Capital	\$100,000	Ontario
St. John Fisher -Skalny Science Center Capital	\$372,470	Monroe
The Little Theatre Film Society Capital II	\$225,000	Monroe
Village of Victor Downtown Revitalization Plan Working Capital	\$25,000	Ontario
Visit Rochester Family Market Campaign Working Capital	\$150,000	Monroe
Subtotal	\$31,946,692	

Geographic Distribution of Approved Projects: Project List (p. 2)

Project Name	Total Commitment	County
Long Island Region		
Tough Mudder Long Island 2018 Capital	\$160,000	Kings
Broadridge Financial Solutions	\$13,315,259	Suffolk
Cold Spring Harbor Capital	\$170,000	Suffolk
CVD Working Capital	\$22,352	Suffolk
Discover Long Island International Marketing Initiative Working Capital	\$300,000	Suffolk
East End Tourism Alliance - Seasons of Reasons Working Capital	\$140,625	Suffolk
Feinstein Institute of Medical Research: Center for Bioelectronic Medicine Capital	\$30,000,000	Nassau
National Audubon Society Capital	\$130,000	Nassau
Shinnecock Dock Revitalization	\$100,000	Suffolk
Winship Media's Long Island Wine Country Working Capital	\$150,000	Suffolk
Subtotal	\$44,488,236	
Mid-Hudson Region		
Defying Labels: Lyndhurst Marketing Plan	\$87,000	Westchester
DiLAB-Bonbouton Working Capital	\$50,000	Putnam
Dove Art Trail Legacy Connector Project Working Capital	\$103,862	Sullivan
Efco Products Capital 2018	\$200,000	Dutchess
Mid-Hudson Pattern for Progress Working Capital II	\$80,000	Orange
Town of Wawarsing Planning and Feasibility Study Working Capital	\$20,000	Ulster
VITS Working Capital	\$24,334	Rockland
Subtotal	\$565,196	
Mohawk Valley Region		
167 Genesee Street Capital	\$400,000	Oneida
Arkell Museum Strategic Planning Capital	\$40,437	Montgomery
Century Linen & Uniform Capital	\$1,200,000	Fulton
City of Amsterdam - Amsterdam Wrestling Hall Building RESTORE IV	\$500,000	Fulton
Compassion Coalition Capital (2 Commitments)	\$600,000	Oneida
Doyle Hardware Building Capital	\$1,000,000	Oneida
Fenimore Museum Rock & Roll Icons Exhibition Working Capital	\$135,225	Otsego
JBF Stainless Capital	\$680,000	Herkimer
National Baseball Hall of Fame "Top Nine Artifacts" Working Capital	\$300,000	Otsego
Otsego IDA Oneonta Airport Feasibility Study Working Capital	\$20,000	Otsego
Otsego IDA Route 205 Feasibility Study Working Capital	\$30,000	Otsego
Red Shed Brewery - Brew Experience NY Working Capital	\$187,000	Otsego
The Farmer's Museum Hopsego Event 2019 Working Capital	\$110,625	Otsego
Utica Harbor Bulkhead Replacement	\$6,000,000	Oneida
Subtotal	\$11,203,287	
New York City Region		
AMNH-Glider Center Capital	\$1,000,000	New York
Bronx Music Hall - This is Bronx Music Working Capital	\$152,131	Bronx
DiLab R3 MTAG Working Capital	\$50,000	New York
Gun Hill Brewing Capital	\$30,000	Bronx
Jack Reiss Working Capital	\$25,000	New York
LightsUp! Working Capital	\$15,185	Kings
The Universal Hip Hop Museum / BronxPoint Working Capital	\$75,000	Bronx
Ward Melville Heritage Organization Working Capital	\$75,000	New York
West Shore BID Stormwater Mitigation Capital	\$50,000	Richmond
World Pride 2019 and Stonewall 50 Working Capital	\$687,500	New York
Subtotal	\$2,159,816	
North Country Region		
2019 Empire State Winter Games Working Capital	\$65,000	Essex/Franklin
Adirondack Sky Center Working Capital	\$79,500	Franklin
Fort Ticonderoga Marketing Working Capital	\$300,000	Essex
Subtotal	\$444,500	

Geographic Distribution of Approved Projects: Project List (p. 3)

Project Name	Total Commitment	County
Southern Tier Region		
City of Ithaca - Downtown West Revitalization RESTORE V	\$1,000,000	Tompkins
Franklin Street Lighting DRI	\$1,500,000	Schuyler
Main Street Corridor Rehab RESTORE V	\$1,000,000	Broome
National Pipe & Plastic Capital	\$800,000	Broome
Southern Tier Central Regional Planning and Development Board Working Capital	\$76,250	Chemung
Tin Horn Capital	\$150,000	Delaware
Xallent Stage II DOD Working Capital	\$50,000	Tompkins
Subtotal	\$4,576,250	
Western New York Region		
960 Busti Avenue Capital	\$800,000	Erie
Alfred Smart Growth	\$2,500,000	Allegany
Aquarium of Niagara Jellyfish Exhibit Capital	\$68,000	Niagara
Buffalo Wing Trail Working Capital	\$50,000	Erie
Chautauqua Water District Capital	\$1,000,000	Chautauqua
City of Buffalo - Broadway Market Capital	\$74,000	Erie
City of Lackawanna - Lincoln School Demolition RESTORE IV	\$500,000	Erie
City of Lockport - Downtown Lockport Key RESTORE V	\$500,000	Niagara
From Rivers to Rainbows - WorldPride 2019 Working Capital	\$100,200	Niagara
Hilton Double Tree Hotel Capital II	\$200,000	Chautauqua
Jamestown Renaissance Corporation Working Capital	\$87,500	Chautauqua
North Park Working Capital	\$22,432	Cattaraugus
PUSH Buffalo Capital and Working Capital	\$1,200,000	Erie
Town of Eden Working Capital	\$2,400	Erie
Town of Lewiston Artpark Master Plan Capital	\$100,000	Niagara
Village of Fredonia Smart Growth Capital	\$2,500,000	Chautauqua
Subtotal	\$9,704,532	
Total	\$194,040,238	



Region	Number of Projects	Initial Jobs	Retained Jobs	Created Jobs	Total Jobs
Capital	13	21	21	49	70
Central	7	69	69	276	345
Finger Lakes	21	621	621	249	870
Long Island	11	2,088	2,088	382	2,470
Mid-Hudson	7	93	93	5	98
Mohawk Valley	14	309	309	79	388
New York City	9	0	0	5	5
North Country	3	0	0	0	0
Southern Tier	7	96	96	30	126
Western New York	16	2	2	33	35
Total	108	3,299	3,299	1,108	4,407

Please note the following:

- Approved projects in certain programs (e.g. Restore New York Communities Initiative, Community Development Financial Institution Program, Regional Council Capital Fund, Urban and Community Development Program) or for certain purposes (e.g. worker training, marketing, research and development, loan funds, infrastructure) are intended to enhance productivity, afford access to capital for smaller or disadvantaged businesses, advance targeted industries or regional priorities, or improve infrastructure or communities. In some of these instances, job creation is not a primary goal and thus is not tracked.
- Initial Jobs is the baseline employment number.
- Retained Jobs indicates when some or all of a company’s jobs were in jeopardy of being lost, typically through plant closures or companies moving out of the state.
- Created Jobs indicates anticipated job growth over a one- to five-year horizon.

Job Creation and Retention: Project List (p. 1)

Project Name	Total Commitment	Initial Jobs	Retained Jobs	Created Jobs	Total Jobs
Capital Region					
Albany Symphony Orchestra Working Capital	\$250,000	0	0	0	0
Liberty Park Mixed Use Development Capital and Working Capital	\$11,500,000	0	0	0	0
RF-NY CREATES Operating Support Working Capital	\$72,500,000	0	0	0	0
Destination Expanded Basilica Hudson Working Capital	\$58,500	0	0	0	0
Columbia County Feasibility Study Working Capital	\$45,824	0	0	0	0
Velan Studios Capital	\$200,000	21	21	49	70
Ithos Global Working Capital 2019	\$20,930	0	0	0	0
RPI- Pvilion DOD Working Capital	\$50,000	0	0	0	0
Pvilion Stage II DOD Working Capital	\$50,000	0	0	0	0
Applied Biophysics Working Capital	\$15,275	0	0	0	0
Saratoga Performing Arts Center Working Capital	\$195,000	0	0	0	0
Greno Industries DOD Working Capital	\$31,200	0	0	0	0
City of Schenectady - Downtown Revitalization RESTORE V	\$1,800,000	0	0	0	0
Subtotal	\$86,716,729	21	21	49	70
Central New York Region					
27th New York State Blues Festival	\$50,000	0	0	0	0
900 East Fayette Group Capital	\$250,000	0	0	0	0
CNY Arts 2019 Working Capital	\$150,000	0	0	0	0
Contento's Recycling Capital	\$145,000	0	0	8	8
Crescent Commons Main Street (2 Commitments)	\$1,000,000	0	0	0	0
TCGplayer Facility and Equipment (2 Commitments)	\$600,000	69	69	268	337
Village of Phoenix Marina Capital	\$40,000	0	0	0	0
Subtotal	\$2,235,000	69	69	276	345
Finger Lakes Region					
Batavia Brewing Company Capital	\$100,000	0	0	22	22
Blackbox B3 DOD Working Capital	\$50,000	0	0	0	0
City of Rochester Commercial Corridor Study Working Capital	\$50,000	0	0	0	0
Geneesee County STAMP Phase II Infrastructure Design Permitting	\$8,000,000	0	0	0	0
Kodak Alaris Capital	\$1,256,050	450	450	0	450
MCD DOD Working Capital	\$50,000	0	0	0	0
Messenger Hall Capital	\$450,000	54	54	4	58
Molecular Glasses Working Capital	\$13,172	0	0	0	0
New York Kitchen Campus Development, Working Cap Project	\$205,000	0	0	0	0
Ontario County IDA Capital	\$100,000	0	0	0	0
Revitalize Rochester Fund	\$10,000,000	0	0	0	0
RIT LSI Working Capital	\$100,000	0	0	0	0
RIT MAGIC Capital	\$1,500,000	0	0	0	0
Rochester Data Science Consortium Working Capital	\$7,500,000	61	61	184	245
Rochester Insulated Glass Expansion Capital	\$100,000	56	56	39	95
Seneca County Sewer Capital (2 Commitments)	\$1,600,000	0	0	0	0
Smith Center for the Arts Opera House Capital	\$100,000	0	0	0	0
St. John Fisher -Skalny Science Center Capital	\$372,470	0	0	0	0
The Little Theatre Film Society Capital II	\$225,000	0	0	0	0
Village of Victor Downtown Revitalization Plan Working Capital	\$25,000	0	0	0	0
Visit Rochester Family Market Campaign Working Capital	\$150,000	0	0	0	0
Subtotal	\$31,946,692	621	621	249	870
Long Island Region					
Broadridge Financial Solutions	\$13,315,259	2,086	2,086	374	2,460
Cold Spring Harbor Capital	\$170,000	0	0	0	0
CVD Working Capital	\$22,352	0	0	0	0
Discover Long Island International Marketing Initiative Working Capital	\$300,000	0	0	0	0
East End Tourism Alliance - Seasons of Reasons Working Capital	\$140,625	0	0	0	0
Feinstein Institute of Medical Research: Center for Bioelectronic Medicine Capital	\$30,000,000	0	0	0	0
National Audubon Society Capital	\$130,000	0	0	0	0
Shinnecock Dock Revitalization	\$100,000	2	2	8	10
Tough Mudder Long Island 2018 Capital	\$160,000	0	0	0	0
Ward Melville Heritage Organization Working Capital	\$75,000	0	0	0	0
Winship Media's Long Island Wine Country Working Capital	\$150,000	0	0	0	0
Subtotal	\$44,563,236	2,088	2,088	382	2,470

Job Creation and Retention: Project List (p. 2)

Project Name	Total Commitment	Initial Jobs	Retained Jobs	Created Jobs	Total Jobs
Mid-Hudson Region					
Defying Labels: Lyndhurst Marketing Plan	\$87,000	0	0	0	0
DiLAB-Bonbouton Working Capital	\$50,000	0	0	0	0
Dove Art Trail Legacy Connector Project Working Capital	\$103,862	0	0	0	0
Efco Products Capital 2018	\$200,000	93	93	5	98
Mid-Hudson Pattern for Progress Working Capital II	\$80,000	0	0	0	0
Town of Wawarsing Planning and Feasibility Study Working Capital	\$20,000	0	0	0	0
VITS Working Capital	\$24,334	0	0	0	0
Subtotal	\$565,196	93	93	5	98
Mohawk Valley Region					
167 Genesee Street Capital	\$400,000	0	0	0	0
Arkell Museum Strategic Planning Capital	\$40,437	0	0	0	0
Century Linen & Uniform Capital	\$1,200,000	266	266	53	319
City of Amsterdam - Amsterdam Wrestling Hall Building RESTORE IV	\$500,000	0	0	0	0
Compassion Coalition Capital (2 Commitments)	\$600,000	16	16	10	26
Doyle Hardware Building Capital	\$1,000,000	0	0	0	0
Fenimore Museum Rock & Roll Icons Exhibition Working Capital	\$135,225	0	0	0	0
JBF Stainless Capital	\$680,000	27	27	16	43
National Baseball Hall of Fame "Top Nine Artifacts" Working Capital	\$300,000	0	0	0	0
Otsego IDA Oneonta Airport Feasibility Study Working Capital	\$20,000	0	0	0	0
Otsego IDA Route 205 Feasibility Study Working Capital	\$30,000	0	0	0	0
Red Shed Brewery - Brew Experience NY Working Capital	\$187,000	0	0	0	0
The Farmer's Museum Hopsego Event 2019 Working Capital	\$110,625	0	0	0	0
Utica Harbor Bulkhead Replacement	\$6,000,000	0	0	0	0
Subtotal	\$11,203,287	309	309	79	388
New York City Region					
AMNH-Glider Center Capital	\$1,000,000	0	0	0	0
Bronx Music Hall - This is Bronx Music Working Capital	\$152,131	0	0	0	0
DiLab R3 MTAG Working Capital	\$50,000	0	0	0	0
Gun Hill Brewing Capital	\$30,000	0	0	5	5
Jack Reiss Working Capital	\$25,000	0	0	0	0
LightsUp! Working Capital	\$15,185	0	0	0	0
The Universal Hip Hop Museum / BronxPoint Working Capital	\$75,000	0	0	0	0
West Shore BID Stormwater Mitigation Capital	\$50,000	0	0	0	0
World Pride 2019 and Stonewall 50 Working Capital	\$687,500	0	0	0	0
Subtotal	\$2,084,816	0	0	5	5
North Country Region					
2019 Empire State Winter Games Working Capital	\$65,000	0	0	0	0
Adirondack Sky Center Working Capital	\$79,500	0	0	0	0
Fort Ticonderoga Marketing Working Capital	\$300,000	0	0	0	0
Subtotal	\$444,500	0	0	0	0
Southern Tier Region					
City of Ithaca - Downtown West Revitalization RESTORE V	\$1,000,000	0	0	0	0
Franklin Street Lighting DRI	\$1,500,000	0	0	0	0
Main Street Corridor Rehab RESTORE V	\$1,000,000	0	0	0	0
National Pipe & Plastic Capital	\$800,000	33	33	5	38
Southern Tier Central Regional Planning and Development Board Working Capital	\$76,250	0	0	0	0
Tin Horn Capital	\$150,000	63	63	25	88
Xallent Stage II DOD Working Capital	\$50,000	0	0	0	0
Subtotal	\$4,576,250	96	96	30	126

Job Creation and Retention: Project List (p. 3)

Project Name	Total Commitment	Initial Jobs	Retained Jobs	Created Jobs	Total Jobs
Western New York Region					
960 Busti Avenue Capital	\$800,000	0	0	0	0
Alfred Smart Growth	\$2,500,000	0	0	0	0
Aquarium of Niagara Jellyfish Exhibit Capital	\$68,000	0	0	0	0
Buffalo Wing Trail Working Capital	\$50,000	0	0	0	0
Chautauqua Water District Capital	\$1,000,000	0	0	0	0
City of Buffalo - Broadway Market Capital	\$74,000	0	0	0	0
City of Lackawanna – Lincoln School Demolition RESTORE IV	\$500,000	0	0	0	0
City of Lockport – Downtown Lockport Key RESTORE V	\$500,000	0	0	0	0
From Rivers to Rainbows - WorldPride 2019 Working Capital	\$100,200	0	0	0	0
Hilton Double Tree Hotel Capital II	\$200,000	2	2	33	35
Jamestown Renaissance Corporation Working Capital	\$87,500	0	0	0	0
North Park Working Capital	\$22,432	0	0	0	0
PUSH Buffalo Capital and Working Capital	\$1,200,000	0	0	0	0
Town of Eden Working Capital	\$2,400	0	0	0	0
Town of Lewiston Artpark Master Plan Capital	\$100,000	0	0	0	0
Village of Fredonia Smart Growth Capital	\$2,500,000	0	0	0	0
Subtotal	\$9,704,532	2	2	33	35
Total	\$194,040,238	3,299	3,299	1,108	4,407

SECTION 4: IMPACT OF APPROVED PROJECTS ON DISTRESSED URBAN AND RURAL COMMUNITIES, SMALL- AND MEDIUM-SIZED BUSINESSES AND STRATEGIC INDUSTRIES

For each project with funding exceeding \$100,000 and the goal of creating or retaining jobs, ESD staff prepares an analysis of the benefits and costs that accrue to New York State based on income and sales tax revenues that are expected to be generated by direct and indirect jobs (e.g. construction). Summary information is provided below, and the attached Project Lists indicate this information where relevant (projects without construction or job creation indicate “N/A”). Individual analyses are attached in the appendix.

Distressed Urban and Rural Communities

Of the 104 recipients of funding approved by ESD in the 1st Quarter of Fiscal Year 2019-2020, 15 recipients (15%) were located in distressed communities. Of these 15 recipients,

- 6 (6% of total funding recipients) were located in a distressed rural community
- 9 (9% of total funding recipients) were located in distressed urban communities

Small- and Medium-sized Businesses

Of the 104 recipients of funding approved by ESD in the 1st Quarter of Fiscal Year 2019-2020, 30 (29%) were small or medium-sized businesses or organizations.

Strategic Industries

Of the 80 projects approved by ESD in the 1st Quarter of Fiscal Year 2019-2020, the following industries were represented:

Industry	Percentage of Total Funding	# of Project(s)
Arts Culture & Entertainment	1.64%	16
Business Consultancy	7%	2
Education	4.31%	4
Food & Beverage Manufacturing/Distribution	<1%	5
Health	15.4%	1
Machinery & Miscellaneous Manufacturing	3.2%	20
Municipal /Government/Not-For-Profit	28%	36
Real Estate Development	1.33%	5
Technology/Scientific Research & Development	38%	8
Tourism	<1%	11

Please note the following:

- To determine if a project is in a distressed area, Department of Labor information was used to determine whether the county’s unemployment rate was above 4.1% (the New York State unemployment average for the year 2018). “Urban” projects are located in New York State’s 62 designated cities; other projects are considered “Rural.”
- A company is considered small- or medium-sized if it employs fewer than 500 persons.

Distressed Urban and Rural Communities: Project List

Project Name	Benefit	Cost
Rural		
Adirondack Sky Center Working Capital	N/A	N/A
Alfred Smart Growth	N/A	N/A
Arkell Museum Strategic Planning Capital	N/A	N/A
Century Linen & Uniform Capital	\$14,624,089	\$1,200,000
North Park Working Capital	N/A	N/A
Town of Lewiston Artpark Master Plan Capital	N/A	N/A
Subtotal	\$14,624,089	\$1,200,000
Urban		
Aquarium of Niagara Jellyfish Exhibit Capital	N/A	N/A
Bronx Music Hall - This is Bronx Music Working Capital	N/A	N/A
City of Amsterdam - Amsterdam Wrestling Hall Building RESTORE IV	N/A	N/A
City of Lockport – Downtown Lockport Key RESTORE V	N/A	N/A
From Rivers to Rainbows - WorldPride 2019 Working Capital	N/A	N/A
Gun Hill Brewing Capital	N/A	N/A
LightsUp! Working Capital	N/A	N/A
The Universal Hip Hop Museum / BronxPoint Working Capital	N/A	N/A
Tough Mudder Long Island 2018 Capital	N/A	N/A
Subtotal	\$0	\$0
Total	\$14,624,089	\$1,200,000

Small and Medium-Sized Business: Project List

Project Name	Benefit	Cost
167 Genesee Street Capital	N/A	N/A
900 East Fayette Group Capital	N/A	N/A
960 Busti Avenue Capital	N/A	N/A
Batavia Brewing Company Capital	\$1,005,290	\$100,000
Bronx Music Hall - This is Bronx Music Working Capital	N/A	N/A
Century Linen & Uniform Capital	N/A	N/A
Compassion Coalition Capital (2 Commitments)	N/A	N/A
Contento's Recycling Capital	\$205,547	\$145,000
Destination Expanded Basilica Hudson Working Capital	N/A	N/A
Greno Industries DOD Working Capital	N/A	N/A
Gun Hill Brewing Capital	N/A	N/A
Jack Reiss Working Capital	N/A	N/A
Jamestown Renaissance Corporation Working Capital	N/A	N/A
JBF Stainless Capital	\$514,367	\$680,000
LightsUp! Working Capital	N/A	N/A
MCD DOD Working Capital	N/A	N/A
Molecular Glasses Working Capital	N/A	N/A
New York Kitchen Campus Development, Working Cap Project	N/A	N/A
North Park Working Capital	N/A	N/A
PUSH Buffalo Capital and Working Capital	N/A	N/A
Pvilion Stage II DOD Working Capital	N/A	N/A
Red Shed Brewery - Brew Experience NY Working Capital	N/A	N/A
Rochester Insulated Glass Expansion Capital	\$3,081,429	\$100,000
Shinnecock Dock Revitalization	N/A	N/A
Smith Center for the Arts Opera House Capital	N/A	N/A
The Farmer's Museum Hopsego Event 2019 Working Capital	N/A	N/A
The Little Theatre Film Society Capital II	N/A	N/A
The Universal Hip Hop Museum / BronxPoint Working Capital	N/A	N/A
Tin Horn Capital	\$1,977,725	\$150,000
Winship Media's Long Island Wine Country Working Capital	N/A	N/A
Total	\$6,784,358	\$1,175,000

Strategic Industries: Project List (p. 1)

Project Name	Benefit	Cost
Arts/Entertainment/Sports/ Recreation		
27th New York State Blues Festival	N/A	N/A
Adirondack Sky Center Working Capital	N/A	N/A
Albany Symphony Orchestra Working Capital	N/A	N/A
AMNH-Glider Center Capital	N/A	N/A
Aquarium of Niagara Jellyfish Exhibit Capital	N/A	N/A
CNY Arts 2019 Working Capital	N/A	N/A
Destination Expanded Basilica Hudson Working Capital	N/A	N/A
Fenimore Museum Rock & Roll Icons Exhibition Working Capital	N/A	N/A
National Baseball Hall of Fame "Top Nine Artifacts" Working Capital	N/A	N/A
Saratoga Performing Arts Center Working Capital	N/A	N/A
Smith Center for the Arts Opera House Capital	N/A	N/A
The Little Theatre Film Society Capital II	N/A	N/A
The Universal Hip Hop Museum / BronxPoint Working Capital	N/A	N/A
Tough Mudder Long Island 2018 Capital	N/A	N/A
Velan Studios Capital	\$4,390,546	\$200,000
Winship Media's Long Island Wine Country Working Capital	N/A	N/A
Subtotal	\$4,390,546	\$200,000
Business Consultants		
Broadridge Financial Solutions	\$83,741,711	\$23,679,307
lthos Global Working Capital 2019	N/A	N/A
Subtotal	\$83,741,711	\$23,679,307
Education		
Messinger Hall Capital	N/A	N/A
Rochester Data Science Consortium Working Capital	N/A	N/A
RPI- Pvilion DOD Working Capital	N/A	N/A
St. John Fisher -Skalny Science Center Capital	N/A	N/A
Subtotal	\$0	\$0
Food & Beverage Manufacturing/Distribution		
Batavia Brewing Company Capital	\$1,005,290	\$100,000
Gun Hill Brewing Capital	\$434,707	\$30,000
New York Kitchen Campus Development, Working Cap Project	N/A	N/A
Red Shed Brewery - Brew Experience NY Working Capital	N/A	N/A
Shinnecock Dock Revitalization	N/A	N/A
Subtotal	\$1,439,997	\$130,000
Health		
Feinstein Institute of Medical Research: Center for Bioelectronic Medicine Capital	N/A	N/A
Subtotal	\$0	\$0
Machinery & Miscellaneous Manufacturing		
Blackbox B3 DOD Working Capital	N/A	N/A
Century Linen & Uniform Capital	\$14,624,089	\$1,200,000
Contento's Recycling Capital	\$205,547	\$145,000
CVD Working Capital	N/A	N/A
Doyle Hardware Building Capital	N/A	N/A
Efco Products Capital 2018	\$3,148,792	\$200,000
Greno Industries DOD Working Capital	N/A	N/A
Jack Reiss Working Capital	N/A	N/A
JBF Stainless Capital	\$514,367	\$680,000
Kodak Alaris Capital	\$27,274,463	\$1,256,050
LightsUp! Working Capital	N/A	N/A
MCD DOD Working Capital	N/A	N/A
Molecular Glasses Working Capital	N/A	N/A
National Pipe & Plastic Capital	\$2,322,070	\$400,000
North Park Working Capital	N/A	N/A
Pvilion Stage II DOD Working Capital	N/A	N/A
Rochester Insulated Glass Expansion Capital	\$3,081,429	\$100,000
TCGplayer Facility and Equipment (2 Commitments)	\$13,406,227	\$2,227,131
VITS Working Capital	N/A	N/A
Xallent Stage II DOD Working Capital	N/A	N/A
Subtotal	\$64,576,984	\$6,208,181

Strategic Industries: Project List (p. 2)

Project Name	Benefit	Cost
Municipal /Government/Not-For-Profit		
Alfred Smart Growth	N/A	N/A
ArkeII Museum Strategic Planning Capital	N/A	N/A
Bronx Music Hall - This is Bronx Music Working Capital	N/A	N/A
Chautauqua Water District Capital	N/A	N/A
City of Amsterdam - Amsterdam Wrestling Hall Building RESTORE IV	N/A	N/A
City of Buffalo - Broadway Market Capital	N/A	N/A
City of Ithaca - Downtown West Revitalization RESTORE V	N/A	N/A
City of Lackawanna – Lincoln School Demolition RESTORE IV	N/A	N/A
City of Lockport – Downtown Lockport Key RESTORE V	N/A	N/A
City of Rochester Commercial Corridor Study Working Capital	N/A	N/A
City of Schenectady - Downtown Revitalization RESTORE V	N/A	N/A
Columbia County Feasibility Study Working Capital	N/A	N/A
Compassion Coalition Capital	N/A	N/A
Compassion Coalition Capital	N/A	N/A
Fort Ticonderoga Marketing Working Capital	N/A	N/A
Franklin Street Lighting DRI	N/A	N/A
Genesee County STAMP Phase II Infrastructure Design Permitting	N/A	N/A
Jamestown Renaissance Corporation Working Capital	N/A	N/A
Liberty Park Mixed Use Development Capital and Working Capital	N/A	N/A
Main Street Corridor Rehab RESTORE V	N/A	N/A
Mid-Hudson Pattern for Progress Working Capital II	N/A	N/A
Ontario County IDA Capital	N/A	N/A
Otsego IDA Oneonta Airport Feasibility Study Working Capital (2 Commitments)	N/A	N/A
PUSH Buffalo Capital and Working Capital	N/A	N/A
Revitalize Rochester Fund	N/A	N/A
Seneca County Sewer Capital I	N/A	N/A
Seneca County Sewer Capital II	N/A	N/A
Southern Tier Central Regional Planning and Development Board Working Capital	N/A	N/A
Town of Eden Working Capital	N/A	N/A
Town of Lewiston Artpark Master Plan Capital	N/A	N/A
Town of Wawarsing Planning and Feasibility Study Working Capital	N/A	N/A
Utica Harbor Bulkhead Replacement	N/A	N/A
Village of Fredonia Smart Growth Capital	N/A	N/A
Village of Phoenix Marina Capital	N/A	N/A
Village of Victor Downtown Revitalization Plan Working Capital	N/A	N/A
West Shore BID Stormwater Mitigation Capital	N/A	N/A
World Pride 2019 and Stonewall 50 Working Capital	N/A	N/A
Subtotal	\$0	\$0
Real Estate Development/Housing		
167 Genesee Street Capital	N/A	N/A
900 East Fayette Group Capital	N/A	N/A
960 Busti Avenue Capital	N/A	N/A
Crescent Commons Main Street (2 Commitments)	N/A	N/A
Tin Horn Capital	\$1,977,725	\$150,000
Subtotal	\$1,977,725	\$150,000

Strategic Industries: Project List (p. 3)

Project Name	Benefit	Cost
Technology/Scientific Research & Development		
Applied Biophysics Working Capital	N/A	N/A
Cold Spring Harbor Capital	N/A	N/A
DiLab R3 MTAG Working Capital	N/A	N/A
DiLAB-Bonbouton Working Capital	N/A	N/A
National Audubon Society Capital	N/A	N/A
RF-NY CREATES Operating Support Working Capital	N/A	N/A
RIT LSI Working Capital	N/A	N/A
RIT MAGIC Capital	N/A	N/A
Subtotal	\$0	\$0
Tourism		
2019 Empire State Winter Games Working Capital	N/A	N/A
Buffalo Wing Trail Working Capital	N/A	N/A
Defying Labels: Lyndhurst Marketing Plan	N/A	N/A
Discover Long Island International Marketing Initiative Working Capital	N/A	N/A
Dove Art Trail Legacy Connector Project Working Capital	N/A	N/A
East End Tourism Alliance - Seasons of Reasons Working Capital	N/A	N/A
From Rivers to Rainbows - WorldPride 2019 Working Capital	N/A	N/A
Hilton Double Tree Hotel Capital II	\$1,919,643	\$2,600,000
The Farmer's Museum Hopsego Event 2019 Working Capital	N/A	N/A
Visit Rochester Family Market Campaign Working Capital	N/A	N/A
Ward Melville Heritage Organization Working Capital	N/A	N/A
Subtotal	\$1,919,643	\$2,600,000
Total	\$158,046,606	\$32,967,488



Where impact was quantifiable, the projects that were analyzed yield an overall Fiscal Benefit to Cost ratio of 4.79. This means that for each dollar of New York State government subsidy, such as tax exemptions or abatements on sales, property and interest income, there was a return to the tax base of \$4.79.

Total Projects

Project Name	Benefit	Cost
TCGplayer Facility and Equipment (2 Commitments)	\$13,406,227	\$2,227,131
Batavia Brewing Company Capital	\$1,005,290	\$100,000
Broadridge Financial Solutions	\$83,741,711	\$23,679,307
Century Linen & Uniform Capital	\$14,624,089	\$1,200,000
Contento's Recycling Capital	\$205,547	\$145,000
Efco Products Capital 2018	\$3,148,792	\$200,000
Gun Hill Brewing Capital	\$434,707	\$30,000
Hilton Double Tree Hotel Capital II	\$1,919,643	\$2,600,000
JBF Stainless Capital	\$514,367	\$680,000
Kodak Alaris Capital	\$27,274,463	\$1,256,050
National Pipe & Plastic Capital	\$2,322,070	\$400,000
Rochester Insulated Glass Expansion Capital	\$3,081,429	\$100,000
Tin Horn Capital	\$1,977,725	\$150,000
Velan Studios Capital	\$4,390,546	\$200,000
Total	\$158,046,606	\$32,967,488

Please note the following:

- Benefit Cost Evaluations are used in evaluating projects that are categorized as Business Investment, Infrastructure Investment, and Economic Growth Investment and that involve 1) job retention and/or creation and/or 2) construction-related activity. Unlike typical ESD Business Investment projects, which involve direct job creation and retention, Infrastructure Investment (e.g. the development or expansion of basic systems and facilities, including transportation, water and sewer, communication, energy generation and distribution, and parking facilities) and Economic Growth Investment (e.g. projects that foster economic growth through cultural activity, higher education activity, regional revolving loan and grant programs, other local or regional initiatives, agribusiness initiatives, marketing activities, training or education programs, or technical assistance) may not involve permanent job commitments. Such projects generate long-term benefits not captured in the period of analysis. This is reflected in the Benefit Cost Evaluations as compared to benchmarks developed for Infrastructure Investment and Economic Growth Investment projects.
- For funding awards under \$100,000, only the total benefit is provided rather than a full evaluation.

Farlo, LLC d/b/a Gun Hill Brewing Co.

Evaluated over a seven-year period, project fiscal benefits to New York State government are expected to be \$434,707, which exceed the cost to the State.

**Project Summary
Benefit-Cost Evaluation¹**

National Pipe & Plastics, Inc. – Business Investment

Benefit-Costs Evaluations are used in evaluating projects that are categorized as Business Investment, Infrastructure Investment, and Economic Growth Investment and that involve 1) job retention and/or creation and/or 2) construction-related activity. For Business Investment projects, benefits reported in the table below typically reflect the impact of both jobs and construction-related activity. For Infrastructure Investment and Economic Growth Investment projects, which generate long-term benefits not captured in the period of analysis and may involve no permanent job commitments, the table typically reflects only construction-related activity. Benchmarks for each type of project are noted in the footnotes.

Initial Jobs: 33
New Jobs: 5

Construction Job Years (Direct): 35
Construction Job Years (Indirect): 14

Evaluation Statistics	Project Results NYS Gov't.	NYS Gov't. Benchmarks²	Project Results State & Local Government	State & Local Government Benchmarks
Fiscal Costs³	\$400,000	\$794,250	\$400,000	\$1,020,500
Fiscal Benefits⁴	\$2,322,070	\$2,085,600	\$3,879,872	\$4,271,980
Fiscal Cost /Direct Job	\$14,976	\$3,000	\$14,976	\$4,110
Fiscal Cost/Total Jobs	\$4,018	\$1,424	\$4,018	\$1,964
Fiscal B/C Ratio	5.81	7.00	9.70	10.60
	Project Results	Benchmarks		
Economic Benefits⁵	\$30,676,637	\$119,468,000		
Econ. Benefits/Total Jobs	\$308,180	\$147,600		
Economic B/C Ratio	76.69	75.00		

¹ Dollar values are present value calculated over a 7-year period. Separate evaluations are made and reported for New York State government assistance alone and for State and Local government.

² The current project evaluation results (both fiscal and economic) are compared to performance measure benchmarks based on results of a sample of ESD non-retail projects. Business Investment project benchmarks are 7.00 (Fiscal) and 75.00 (Economic). Infrastructure Investment (or Economic Growth Investment) project benchmarks are 3.00 (Fiscal) and 30.00 (Economic).

³ Fiscal cost includes the value of grants, loans and associated default risks, and discretionary subsidies (such as tax exemptions or abatements on sales, property, and interest income).

⁴ Fiscal benefits are the loan repayments and tax revenues to New York State and Local governments generated by project activity. This includes estimated taxes on personal incomes from project direct and indirect employment, corporate and business incomes, excise and user taxes, property taxes, and other taxes.

⁵ Economic benefits are estimated project benefits measuring fiscal flows to government plus net resident disposable income from project direct and indirect employment net of transfers, without adjusting for individual income earners' opportunity cost of employment.

Project Summary Benefit-Cost Evaluation⁶

The Hamister Group LLC – Business Investment

Benefit-Costs Evaluations are used in evaluating projects that are categorized as Business Investment, Infrastructure Investment, and Economic Growth Investment and that involve 1) job retention and/or creation and/or 2) construction-related activity. For Business Investment projects, benefits reported in the table below typically reflect the impact of both jobs and construction-related activity. For Infrastructure Investment and Economic Growth Investment projects, which generate long-term benefits not captured in the period of analysis and may involve no permanent job commitments, the table typically reflects only construction-related activity. Benchmarks for each type of project are noted in the footnotes.

Initial Jobs:	2	Construction Job Years (Direct):	108
New Jobs:	33 (in year one)	Construction Job Years (Indirect):	69

Evaluation Statistics	Project Results NYS Gov't.	NYS Gov't. Benchmarks ⁷	Project Results State & Local Government	State & Local Government Benchmarks
Fiscal Costs ⁸	\$2,600,000	\$794,250	\$2,600,000	\$1,020,500
Fiscal Benefits ⁹	\$1,919,643	\$2,085,600	\$3,349,396	\$4,271,980
Fiscal Cost /Direct Job	\$98,485	\$3,000	\$98,485	\$4,110
Fiscal Cost/Total Jobs	\$61,913	\$1,424	\$61,913	\$1,964
Fiscal B/C Ratio	0.74	7.00	1.29	10.60
	Project Results	Benchmarks		
Economic Benefits ¹⁰	\$25,479,001	\$119,468,000		
Econ. Benefits/Total Jobs	\$606,727	\$147,600		
Economic B/C Ratio	9.80	75.00		

⁶ Dollar values are present value calculated over a 7-year period. Separate evaluations are made and reported for New York State government assistance alone and for State and Local government.

⁷ The current project evaluation results (both fiscal and economic) are compared to performance measure benchmarks based on results of a sample of ESD non-retail projects. Business Investment project benchmarks are 7.00 (Fiscal) and 75.00 (Economic). Infrastructure Investment (or Economic Growth Investment) project benchmarks are 3.00 (Fiscal) and 30.00 (Economic).

⁸ Fiscal cost includes the value of grants, loans and associated default risks, and discretionary subsidies (such as tax exemptions or abatements on sales, property, and interest income).

⁹ Fiscal benefits are the loan repayments and tax revenues to New York State and Local governments generated by project activity. This includes estimated taxes on personal incomes from project direct and indirect employment, corporate and business incomes, excise and user taxes, property taxes, and other taxes.

¹⁰ Economic benefits are estimated project benefits measuring fiscal flows to government plus net resident disposable income from project direct and indirect employment net of transfers, without adjusting for individual income earners' opportunity cost of employment.

Contento's Recycling LLC

Evaluated over a seven-year period, project fiscal benefits to New York State government are expected to be \$205,547, which exceed the cost to the State.

Tinhorn Properties, LLC

Evaluated over a seven-year period, project fiscal benefits to New York State government are expected to be \$1,977,725, which does not exceed the cost to the State.

Efco Products, Inc.

Evaluated over a seven-year period, project fiscal benefits to New York State government are expected to be \$3,148,792, which exceed the cost to the State.

**Project Summary
Benefit-Cost Evaluation¹¹**

Century Linen & Uniform, Inc. – Business Investment

Benefit-Costs Evaluations are used in evaluating projects that are categorized as Business Investment, Infrastructure Investment, and Economic Growth Investment and that involve 1) job retention and/or creation and/or 2) construction-related activity. For Business Investment projects, benefits reported in the table below typically reflect the impact of both jobs and construction-related activity. For Infrastructure Investment and Economic Growth Investment projects, which generate long-term benefits not captured in the period of analysis and may involve no permanent job commitments, the table typically reflects only construction-related activity. Benchmarks for each type of project are noted in the footnotes.

Initial Jobs: 290
New Jobs: 29 over five years

Construction Job Years (Direct): 2
Construction Job Years (Indirect): 1

Evaluation Statistics	Project Results NYS Gov't.	NYS Gov't. Benchmarks¹²	Project Results State & Local Government	State & Local Government Benchmarks
Fiscal Costs¹³	\$1,200,000	\$794,250	\$1,200,000	\$1,020,500
Fiscal Benefits¹⁴	\$14,624,089	\$2,085,600	\$24,923,810	\$4,271,980
Fiscal Cost /Direct Job	\$5,256	\$3,000	\$5,256	\$4,110
Fiscal Cost/Total Jobs	\$3,871	\$1,424	\$3,871	\$1,964
Fiscal B/C Ratio	12.19	7.00	20.77	10.60
	Project Results	Benchmarks		
Economic Benefits¹⁵	\$195,165,136	\$119,468,000		
Econ. Benefits/Total Jobs	\$629,511	\$147,600		
Economic B/C Ratio	162.64	75.00		

¹¹ Dollar values are present value calculated over a 7-year period. Separate evaluations are made and reported for New York State government assistance alone and for State and Local government.

¹² The current project evaluation results (both fiscal and economic) are compared to performance measure benchmarks based on results of a sample of ESD non-retail projects. Business Investment project benchmarks are 7.00 (Fiscal) and 75.00 (Economic). Infrastructure Investment (or Economic Growth Investment) project benchmarks are 3.00 (Fiscal) and 30.00 (Economic).

¹³ Fiscal cost includes the value of grants, loans and associated default risks, and discretionary subsidies (such as tax exemptions or abatements on sales, property, and interest income).

¹⁴ Fiscal benefits are the loan repayments and tax revenues to New York State and Local governments generated by project activity. This includes estimated taxes on personal incomes from project direct and indirect employment, corporate and business incomes, excise and user taxes, property taxes, and other taxes.

¹⁵ Economic benefits are estimated project benefits measuring fiscal flows to government plus net resident disposable income from project direct and indirect employment net of transfers, without adjusting for individual income earners' opportunity cost of employment.

Batavia Brewing Company

Evaluated over a seven-year period, project fiscal benefits to New York State government are expected to be \$1,005,290, which exceed the cost to the State.

**Project Summary
Benefit-Cost Evaluation¹⁶**

JBF Stainless LLC – Business Investment

Benefit-Costs Evaluations are used in evaluating projects that are categorized as Business Investment, Infrastructure Investment, and Economic Growth Investment and that involve 1) job retention and/or creation and/or 2) construction-related activity. For Business Investment projects, benefits reported in the table below typically reflect the impact of both jobs and construction-related activity. For Infrastructure Investment and Economic Growth Investment projects, which generate long-term benefits not captured in the period of analysis and may involve no permanent job commitments, the table typically reflects only construction-related activity. Benchmarks for each type of project are noted in the footnotes.

Initial Jobs: 27 **Construction Job Years (Direct): 32**
New Jobs: 10 in year one **Construction Job Years (Indirect): 15**

Evaluation Statistics	Project Results NYS Gov't.	NYS Gov't. Benchmarks ¹⁷	Project Results State & Local Government	State & Local Government Benchmarks
Fiscal Costs¹⁸	\$680,000	\$794,250	\$680,000	\$1,020,500
Fiscal Benefits¹⁹	\$514,367	\$2,085,600	\$854,062	\$4,271,980
Fiscal Cost /Direct Job	\$85,000	\$3,000	\$85,000	\$4,110
Fiscal Cost/Total Jobs	\$41,847	\$1,424	\$41,847	\$1,964
Fiscal B/C Ratio	0.76	7.00	1.26	10.60
	Project Results	Benchmarks		
Economic Benefits²⁰	\$6,536,674	\$119,468,000		
Econ. Benefits/Total Jobs	\$402,262	\$147,600		
Economic B/C Ratio	9.61	75.00		

¹⁶ Dollar values are present value calculated over a 7-year period. Separate evaluations are made and reported for New York State government assistance alone and for State and Local government.

¹⁷ The current project evaluation results (both fiscal and economic) are compared to performance measure benchmarks based on results of a sample of ESD non-retail projects. Business Investment project benchmarks are 7.00 (Fiscal) and 75.00 (Economic). Infrastructure Investment (or Economic Growth Investment) project benchmarks are 3.00 (Fiscal) and 30.00 (Economic).

¹⁸ Fiscal cost includes the value of grants, loans and associated default risks, and discretionary subsidies (such as tax exemptions or abatements on sales, property, and interest income).

¹⁹ Fiscal benefits are the loan repayments and tax revenues to New York State and Local governments generated by project activity. This includes estimated taxes on personal incomes from project direct and indirect employment, corporate and business incomes, excise and user taxes, property taxes, and other taxes.

²⁰ Economic benefits are estimated project benefits measuring fiscal flows to government plus net resident disposable income from project direct and indirect employment net of transfers, without adjusting for individual income earners' opportunity cost of employment.

Project Summary Benefit-Cost Evaluation²¹

Kodak Alaris, Inc. – Business Investment

Benefit-Costs Evaluations are used in evaluating projects that are categorized as Business Investment, Infrastructure Investment, and Economic Growth Investment and that involve 1) job retention and/or creation and/or 2) construction-related activity. For Business Investment projects, benefits reported in the table below typically reflect the impact of both jobs and construction-related activity. For Infrastructure Investment and Economic Growth Investment projects, which generate long-term benefits not captured in the period of analysis and may involve no permanent job commitments, the table typically reflects only construction-related activity. Benchmarks for each type of project are noted in the footnotes.

Initial Jobs: 500 (up to 50 full-time contract employees) **Construction Job Years (Direct):15**
New Jobs: 0 **Construction Job Years (Indirect):9**

Evaluation Statistics	Project Results NYS Gov't.	NYS Gov't. Benchmarks ²²	Project Results State & Local Government	State & Local Government Benchmarks
Fiscal Costs²³	\$1,256,050	\$794,250	\$1,256,050	\$1,020,500
Fiscal Benefits²⁴	\$27,274,463	\$2,085,600	\$47,620,715	\$4,271,980
Fiscal Cost /Direct Job	\$5,210	\$3,000	\$5,210	\$4,110
Fiscal Cost/Total Jobs	\$3,150	\$1,424	\$3,150	\$1,964
Fiscal B/C Ratio	21.71	7.00	37.91	10.60
	Project Results	Benchmarks		
Economic Benefits²⁵	\$351,769,984	\$119,468,000		
Econ. Benefits/Total Jobs	\$882,275	\$147,600		
Economic B/C Ratio	280.06	75.00		

²¹ Dollar values are present value calculated over a 7-year period. Separate evaluations are made and reported for New York State government assistance alone and for State and Local government.

²² The current project evaluation results (both fiscal and economic) are compared to performance measure benchmarks based on results of a sample of ESD non-retail projects. Business Investment project benchmarks are 7.00 (Fiscal) and 75.00 (Economic). Infrastructure Investment (or Economic Growth Investment) project benchmarks are 3.00 (Fiscal) and 30.00 (Economic).

²³ Fiscal cost includes the value of grants, loans and associated default risks, and discretionary subsidies (such as tax exemptions or abatements on sales, property, and interest income).

²⁴ Fiscal benefits are the loan repayments and tax revenues to New York State and Local governments generated by project activity. This includes estimated taxes on personal incomes from project direct and indirect employment, corporate and business incomes, excise and user taxes, property taxes, and other taxes.

²⁵ Economic benefits are estimated project benefits measuring fiscal flows to government plus net resident disposable income from project direct and indirect employment net of transfers, without adjusting for individual income earners' opportunity cost of employment.

**Project Summary
Benefit-Cost Evaluation²⁶**

Ascension Gaming Network, Inc. – Business Investment

Benefit-Costs Evaluations are used in evaluating projects that are categorized as Business Investment, Infrastructure Investment, and Economic Growth Investment and that involve 1) job retention and/or creation and/or 2) construction-related activity. For Business Investment projects, benefits reported in the table below typically reflect the impact of both jobs and construction-related activity. For Infrastructure Investment and Economic Growth Investment projects, which generate long-term benefits not captured in the period of analysis and may involve no permanent job commitments, the table typically reflects only construction-related activity. Benchmarks for each type of project are noted in the footnotes.

Initial Jobs: 69
New Jobs: 268 over five years

Construction Job Years (Direct):18
Construction Job Years (Indirect):11

Evaluation Statistics	Project Results NYS Gov't.	NYS Gov't. Benchmarks²⁷	Project Results State & Local Government	State & Local Government Benchmarks
Fiscal Costs²⁸	\$2,227,131	\$794,250	\$2,227,131	\$1,020,500
Fiscal Benefits²⁹	\$13,406,227	\$2,085,600	\$23,022,882	\$4,271,980
Fiscal Cost /Direct Job	\$13,586	\$3,000	\$13,586	\$4,110
Fiscal Cost/Total Jobs	\$5,186	\$1,424	\$5,186	\$1,964
Fiscal B/C Ratio	6.02	7.00	10.34	10.60
	Project Results	Benchmarks		
Economic Benefits³⁰	\$140,214,788	\$119,468,000		
Econ. Benefits/Total Jobs	\$326,512	\$147,600		
Economic B/C Ratio	62.96	75.00		

²⁶ Dollar values are present value calculated over a 7-year period. Separate evaluations are made and reported for New York State government assistance alone and for State and Local government.

²⁷ The current project evaluation results (both fiscal and economic) are compared to performance measure benchmarks based on results of a sample of ESD non-retail projects. Business Investment project benchmarks are 7.00 (Fiscal) and 75.00 (Economic). Infrastructure Investment (or Economic Growth Investment) project benchmarks are 3.00 (Fiscal) and 30.00 (Economic).

²⁸ Fiscal cost includes the value of grants, loans and associated default risks, and discretionary subsidies (such as tax exemptions or abatements on sales, property, and interest income).

²⁹ Fiscal benefits are the loan repayments and tax revenues to New York State and Local governments generated by project activity. This includes estimated taxes on personal incomes from project direct and indirect employment, corporate and business incomes, excise and user taxes, property taxes, and other taxes.

³⁰ Economic benefits are estimated project benefits measuring fiscal flows to government plus net resident disposable income from project direct and indirect employment net of transfers, without adjusting for individual income earners' opportunity cost of employment.

Rochester Insulated Glass, Inc.

Evaluated over a seven-year period, project fiscal benefits to New York State government are expected to be \$3,081,429, which exceed the cost to the State.

Velan Studios, Inc.

Evaluated over a seven-year period, project fiscal benefits to New York State government are expected to be \$4,390,546, which exceed the cost to the State.

Project Summary Benefit-Cost Evaluation³¹

Broadridge Financial Solutions, Inc. – Business Investment

Benefit-Costs Evaluations are used in evaluating projects that are categorized as Business Investment, Infrastructure Investment, and Economic Growth Investment and that involve 1) job retention and/or creation and/or 2) construction-related activity. For Business Investment projects, benefits reported in the table below typically reflect the impact of both jobs and construction-related activity. For Infrastructure Investment and Economic Growth Investment projects, which generate long-term benefits not captured in the period of analysis and may involve no permanent job commitments, the table typically reflects only construction-related activity. Benchmarks for each type of project are noted in the footnotes.

Initial Jobs: 2,078

New Jobs: 308 over five years

Construction Job Years (Direct): 127

Construction Job Years (Indirect): 83

Evaluation Statistics	Project Results NYS Gov't.	NYS Gov't. Benchmarks ³²	Project Results State & Local Government	State & Local Government Benchmarks
Fiscal Costs ³³	\$23,679,307	\$794,250	\$23,679,307	\$1,020,500
Fiscal Benefits ³⁴	\$83,741,711	\$2,085,600	\$156,038,376	\$4,271,980
Fiscal Cost /Direct Job	\$34,829	\$3,000	\$34,829	\$4,110
Fiscal Cost/Total Jobs	\$19,239	\$1,424	\$19,239	\$1,964
Fiscal B/C Ratio	3.54	7.00	6.59	10.60
	Project Results	Benchmarks		
Economic Benefits ³⁵	\$1,041,374,096	\$119,468,000		
Econ. Benefits/Total Jobs	\$846,079	\$147,600		
Economic B/C Ratio	43.98	75.00		

³¹ Dollar values are present value calculated over a 7-year period. Separate evaluations are made and reported for New York State government assistance alone and for State and Local government.

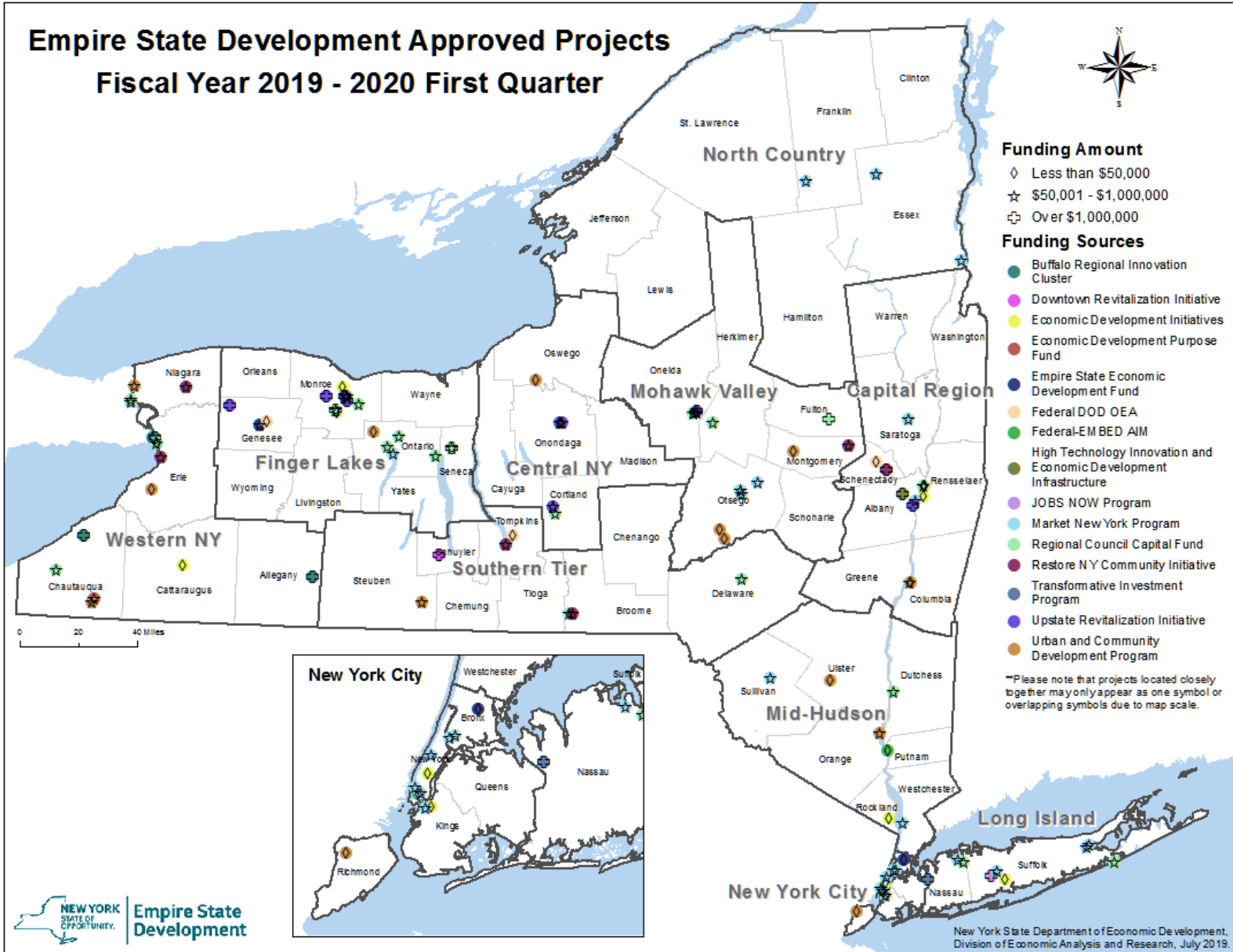
³² The current project evaluation results (both fiscal and economic) are compared to performance measure benchmarks based on results of a sample of ESD non-retail projects. Business Investment project benchmarks are 7.00 (Fiscal) and 75.00 (Economic). Infrastructure Investment (or Economic Growth Investment) project benchmarks are 3.00 (Fiscal) and 30.00 (Economic).

³³ Fiscal cost includes the value of grants, loans and associated default risks, and discretionary subsidies (such as tax exemptions or abatements on sales, property, and interest income).

³⁴ Fiscal benefits are the loan repayments and tax revenues to New York State and Local governments generated by project activity. This includes estimated taxes on personal incomes from project direct and indirect employment, corporate and business incomes, excise and user taxes, property taxes, and other taxes.

³⁵ Economic benefits are estimated project benefits measuring fiscal flows to government plus net resident disposable income from project direct and indirect employment net of transfers, without adjusting for individual income earners' opportunity cost of employment.

Empire State Development Approved Projects Fiscal Year 2019 - 2020 First Quarter



Funding Amount

- ◇ Less than \$50,000
- ☆ \$50,001 - \$1,000,000
- ⊕ Over \$1,000,000

Funding Sources

- Buffalo Regional Innovation Cluster
- Downtown Revitalization Initiative
- Economic Development Initiatives
- Economic Development Purpose Fund
- Empire State Economic Development Fund
- Federal DOD OEA
- Federal-EM BED AIM
- High Technology Innovation and Economic Development Infrastructure
- JOBS NOW Program
- Market New York Program
- Regional Council Capital Fund
- Restore NY Community Initiative
- Transformative Investment Program
- Upstate Revitalization Initiative
- Urban and Community Development Program

*Please note that projects located closely together may only appear as one symbol or overlapping symbols due to map scale.