INTRODUCTION

This report serves as the June 2022 quarterly update to the COVID-19 Pandemic Small Business Recovery Grant Program Report. Per § 16-ff (7), Empire State Development is required to publish a report detailing Program progress, beginning September 30, 2021, and on a quarterly basis until all funds are expended.

The $800 million COVID-19 Pandemic Small Business Recovery Grant Program (the Program) was created as part of the Fiscal Year 2022 Budget, in a partnership of the Executive and the Legislature, to assist COVID-19 impacted small businesses. Empire State Development (ESD), the State of New York’s chief economic development entity, oversees the Program.

The mission of the Program is simple – to support the small businesses1 that typically have the least access to resources. To that end, the Program strategically encourages participation from micro-businesses2, socially and economically disadvantaged business owners3, minority and/or women owned businesses, and small businesses that did not receive adequate federal COVID-19 support4.

As of June 30, 2022, ESD has awarded $600 million to 34,090 small businesses. The Program provides flexible grants of $5,000 to $50,000, with the average award being $17,599. As per the Program’s mission, 98.6% of awards have gone to micro-businesses, 47.2% of awards have gone to socially and economically disadvantaged small businesses, and 90% have gone to minority and/or women owned small businesses5.

Program grants generally represent a significant proportion of an awarded small business’ operating expenses, and the grant has helped small businesses recover from the devastating impacts of the COVID-19 pandemic. Grant awards from the Program are allowing small businesses across the State to cover costs such as payroll, rent or mortgage payments, personal protective equipment (PPE) expenses, utility bills, and any costs associated with compliance with COVID-19 health and safety protocols (a full list of eligible expenses is available in the Appendix).

The small businesses targeted by the Program, by definition, do not have access to the same resources that larger businesses do. Therefore, to ensure the Program’s success, New York State

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1 Per 16-ff (a) of the Urban Development Corporation Act, “small business” means a business “which is resident in this state, independently owned and operated, not dominant in its field, and employs one hundred or less persons”.
2 Per 16-ff (b) of the Urban Development Corporation Act “micro-business” means a business “which is a resident in this state, independently owned and operated, not dominant in its field, and employs ten or less persons”.
3 Socially and economically disadvantaged business are those owned by service-disabled veterans, and/or small businesses located in economically distressed geographies.
4 For context, data from the U.S. Small Business Administration suggests that New York State businesses received tens of billions of dollars across all SBA business assistance programs, including through the Paycheck Protection Program, Economic Injury Disaster Loans & Grants, Restaurant Revitalization Fund grants, and the Shuttered Venue Operator Grant Program. This data further suggests that in-state businesses secured assistance at rates higher than the State’s relative share of the U.S. population.
5 Note that businesses can be classified in more than one category (“category” referring to micro, socially and economically distressed, and minority and/or women owned small businesses).
deployed a suite of services to inform small businesses about the Program, and to help them complete their applications. These services include funding a network of 69 Technical Assistance (TA) partners to provide one-on-one assistance to over 22,382 applicants; delivering nearly 835 informational webinars to small businesses through trusted community voices; and establishing a call center, which has logged over 330,199 calls with potential applicants to-date. Additionally, ESD offers all application materials in fourteen languages, contacts applicants up to 30 times to allow opportunities to correct their applications6; and engages in extensive Program promotion, including in cooperation with our legislative partners.

For Governor Kathy Hochul, championing and supporting the State’s many small businesses is a priority. In August 2021, Governor Hochul expanded the Program’s eligibility to invite additional COVID-impacted small businesses to access this vital funding. Specifically, Governor Hochul expanded the Program by raising the revenue cap for eligible businesses from $500,000 to $2,500,000 and expanded the Paycheck Protection Program Loan Exception from $100,000 to $250,000. This strategic expansion allowed New York State to invite additional small businesses to apply.

Included in this report are: 1) details on Program progress, including summary statistics, 2) information on the State’s efforts to support Program participation, 3) testimonials from actual award recipients to showcase how the Program is making a difference to New York small businesses, and 4) an Appendix of additional program details.

This Program, and the Program’s success, would not have been possible without the support and focus of our legislative colleagues; ESD looks forward to an ongoing partnership with the Legislature on this critical initiative.

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6 Applicants are given several opportunities to correct an application or to either clarify inconsistent application information, or to submit all required application documentation. For example, an application that represents the business name two different ways on an application would not be immediately disqualified. The applicant would be contacted and given an opportunity to correct the application. As another example, if an applicant uploaded their 2018 taxes, instead of their 2019 taxes (as required), the applicant would not be disqualified on this basis, and would be given a chance to correct their application.
PROGRAM PROGRESS

As a result of the strategic design and approach of the Program, awards are reaching the target audience. Since launching the application portal in June 2021, ESD has received 143,298 applications. Of these, 66,870 are completed and fully submitted applications, and 76,428 applications remain incomplete, despite several attempts to contact and assist applicants with completion and, therefore, cannot be fully evaluated for eligibility.

COVID-19 PANDEMIC SMALL BUSINESS RECOVERY GRANT PROGRAM
BY THE NUMBERS

<table>
<thead>
<tr>
<th><strong>Completed &amp; Fully Submitted Applications</strong></th>
<th><strong>Applications Already Awarded</strong></th>
<th><strong>Funding Already Awarded</strong></th>
<th><strong>Applications Pending Full Review</strong></th>
<th><strong>Average Grant Award</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>66,870</td>
<td>34,090</td>
<td>$600M</td>
<td>1,661</td>
<td>$17,599</td>
</tr>
</tbody>
</table>

AWARDS TO MICRO-BUSINESSES

$578,756,057 has been awarded to 33,630 micro-businesses, representing 98.6% of all awards. As mentioned above, micro-businesses are those with 10 or fewer employees.

PROGRAM PROGRESS
FOR MICRO-BUSINESSES

<table>
<thead>
<tr>
<th><strong>Completed &amp; fully submitted applications from micro-businesses</strong></th>
<th><strong>96%</strong></th>
<th><strong>Of total applications from micro-businesses</strong></th>
<th><strong>33,630</strong></th>
<th><strong>Awards to micro-businesses</strong></th>
<th><strong>$578M</strong></th>
<th><strong>Awarded to micro-businesses</strong></th>
<th><strong>96%</strong></th>
<th><strong>Of total funding awarded to micro-businesses</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>63,137</td>
<td>96%</td>
<td>63,137</td>
<td>33,630</td>
<td>98%</td>
<td>578M</td>
<td>96%</td>
<td>578M</td>
<td></td>
</tr>
</tbody>
</table>

7 As of June 30, 2022, 1,661 applications are pending full review.
AWARDS TO SOCIALLY & ECONOMICALLY DISADVANTAGED BUSINESS OWNERS

$312,987,167 has been awarded to 16,111 socially and economically disadvantaged businesses, representing 47.2% of total awards.

<table>
<thead>
<tr>
<th><strong>PROGRAM PROGRESS</strong></th>
<th><strong>FOR SOCIALLY AND ECONOMICALLY DISADVANTAGED BUSINESSES</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>36,041</strong></td>
<td><strong>51%</strong> of total applications from socially &amp; economically disadvantaged businesses**</td>
</tr>
<tr>
<td><strong>16,111</strong></td>
<td><strong>47%</strong> of total awards to socially &amp; economically disadvantaged businesses**</td>
</tr>
<tr>
<td><strong>$312M</strong></td>
<td><strong>52%</strong> of total funding awarded to socially &amp; economically disadvantaged businesses.**</td>
</tr>
</tbody>
</table>
AWARDS TO MINORITY AND WOMEN OWNED BUSINESSES

$512,186,861 has been awarded to 30,686 minority and/or women-owned small businesses, representing 90% of total awards. As part of the application process, applicants were invited to provide such demographic information, on a voluntary basis, to inform the public of the degree to which Program funds were being distributed to minority and women owned businesses.

<table>
<thead>
<tr>
<th>PROGRAM PROGRESS FOR MWBE BUSINESSES</th>
</tr>
</thead>
<tbody>
<tr>
<td>43,784</td>
</tr>
<tr>
<td>82%</td>
</tr>
<tr>
<td>of total applications from MWBE</td>
</tr>
<tr>
<td>businesses</td>
</tr>
<tr>
<td>30,686</td>
</tr>
<tr>
<td>90%</td>
</tr>
<tr>
<td>of total awards to MWBE businesses</td>
</tr>
<tr>
<td>$512M</td>
</tr>
<tr>
<td>85%</td>
</tr>
<tr>
<td>of total funding awarded to MWBE</td>
</tr>
<tr>
<td>businesses</td>
</tr>
</tbody>
</table>
AWARDS BY GEOGRAPHY

The Program awards represent a commitment to an equitable distribution of resources across the State. Awards to-date largely align with small business density, and it is important to note that the application portal is still open for additional small businesses to apply.

### AWARDS BY COUNTY

<table>
<thead>
<tr>
<th>County</th>
<th>Completed &amp; Fully Submitted Applications</th>
<th>Applications Awarded</th>
<th>Awarded Funding</th>
<th>County</th>
<th>Completed &amp; Fully Submitted Applications</th>
<th>Applications Awarded</th>
<th>Awarded Funding</th>
</tr>
</thead>
<tbody>
<tr>
<td>Albany</td>
<td>652</td>
<td>212</td>
<td>$5,432,766</td>
<td>Oneida</td>
<td>420</td>
<td>62</td>
<td>$1,574,320</td>
</tr>
<tr>
<td>Allegany</td>
<td>78</td>
<td>12</td>
<td>$185,095</td>
<td>Onondaga</td>
<td>829</td>
<td>175</td>
<td>$4,806,333</td>
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<tr>
<td>Bronx</td>
<td>6,018</td>
<td>3,189</td>
<td>$38,071,135</td>
<td>Ontario</td>
<td>193</td>
<td>36</td>
<td>$1,080,888</td>
</tr>
<tr>
<td>Broome</td>
<td>379</td>
<td>98</td>
<td>$1,731,681</td>
<td>Orange</td>
<td>614</td>
<td>168</td>
<td>$4,666,887</td>
</tr>
<tr>
<td>Cattaraugus</td>
<td>137</td>
<td>16</td>
<td>$505,653</td>
<td>Orleans</td>
<td>70</td>
<td>10</td>
<td>$283,408</td>
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<tr>
<td>Cayuga</td>
<td>138</td>
<td>31</td>
<td>$848,599</td>
<td>Oswego</td>
<td>185</td>
<td>31</td>
<td>$858,885</td>
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<tr>
<td>Chautauqua</td>
<td>237</td>
<td>33</td>
<td>$954,947</td>
<td>Otsego</td>
<td>144</td>
<td>42</td>
<td>$1,127,206</td>
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<td>Chemung</td>
<td>142</td>
<td>27</td>
<td>$673,751</td>
<td>Putnam</td>
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<td>46</td>
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<td>Chenango</td>
<td>97</td>
<td>8</td>
<td>$194,407</td>
<td>Queens</td>
<td>16,841</td>
<td>12,568</td>
<td>$161,709,044</td>
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<td>Clinton</td>
<td>136</td>
<td>39</td>
<td>$1,368,117</td>
<td>Rensselaer</td>
<td>236</td>
<td>59</td>
<td>$1,744,588</td>
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<td>Columbia</td>
<td>126</td>
<td>43</td>
<td>$1,051,928</td>
<td>Richmond</td>
<td>1,548</td>
<td>718</td>
<td>$13,363,646</td>
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<td>Cortland</td>
<td>74</td>
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<td>$566,543</td>
<td>Rockland</td>
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<td>St Lawrence</td>
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<td>18</td>
<td>$397,822</td>
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<tr>
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<td>Schuyler</td>
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<td>6</td>
<td>$177,364</td>
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<td>88</td>
<td>11</td>
<td>$378,653</td>
<td>Seneca</td>
<td>58</td>
<td>7</td>
<td>$113,122</td>
</tr>
<tr>
<td>Genesee</td>
<td>75</td>
<td>7</td>
<td>$243,586</td>
<td>Steuben</td>
<td>219</td>
<td>33</td>
<td>$1,007,454</td>
</tr>
<tr>
<td>Greene</td>
<td>74</td>
<td>18</td>
<td>$462,029</td>
<td>Suffolk</td>
<td>2,972</td>
<td>1,197</td>
<td>$31,101,321</td>
</tr>
<tr>
<td>Hamilton</td>
<td>10</td>
<td>4</td>
<td>$105,547</td>
<td>Sullivan</td>
<td>145</td>
<td>27</td>
<td>$525,197</td>
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<tr>
<td>Herkimer</td>
<td>87</td>
<td>18</td>
<td>$397,991</td>
<td>Tioga</td>
<td>91</td>
<td>20</td>
<td>$493,232</td>
</tr>
<tr>
<td>Jefferson</td>
<td>194</td>
<td>30</td>
<td>$800,244</td>
<td>Tompkins</td>
<td>204</td>
<td>50</td>
<td>$1,298,096</td>
</tr>
<tr>
<td>Kings</td>
<td>11,291</td>
<td>6,526</td>
<td>$103,363,197</td>
<td>Ulster</td>
<td>321</td>
<td>100</td>
<td>$2,391,745</td>
</tr>
<tr>
<td>Lewis</td>
<td>18</td>
<td>6</td>
<td>$118,425</td>
<td>Warren</td>
<td>118</td>
<td>29</td>
<td>$975,305</td>
</tr>
<tr>
<td>Livingston</td>
<td>75</td>
<td>14</td>
<td>$437,337</td>
<td>Washington</td>
<td>109</td>
<td>19</td>
<td>$468,770</td>
</tr>
<tr>
<td>Madison</td>
<td>124</td>
<td>16</td>
<td>$401,381</td>
<td>Wayne</td>
<td>152</td>
<td>29</td>
<td>$849,231</td>
</tr>
<tr>
<td>Monroe</td>
<td>1,242</td>
<td>271</td>
<td>$6,974,071</td>
<td>Westchester</td>
<td>2,124</td>
<td>804</td>
<td>$21,291,395</td>
</tr>
<tr>
<td>Montgomery</td>
<td>63</td>
<td>12</td>
<td>$274,841</td>
<td>Wyoming</td>
<td>43</td>
<td>9</td>
<td>$213,050</td>
</tr>
<tr>
<td>Nassau</td>
<td>4,287</td>
<td>2,320</td>
<td>$51,363,521</td>
<td>Yates</td>
<td>45</td>
<td>7</td>
<td>$69,993</td>
</tr>
<tr>
<td>New York</td>
<td>7,596</td>
<td>3,522</td>
<td>$99,673,410</td>
<td>Unknown$</td>
<td>1,168</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Niagara</td>
<td>358</td>
<td>63</td>
<td>$1,640,540</td>
<td>TOTAL$</td>
<td>66,870</td>
<td>34,090</td>
<td>$599,974,692</td>
</tr>
</tbody>
</table>

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8 Insufficient geographic information exists and therefore the location cannot be determined.
<table>
<thead>
<tr>
<th>Region</th>
<th>Completed &amp; Fully Submitted Applications</th>
<th>Applications Awarded</th>
<th>Awarded Funding</th>
</tr>
</thead>
<tbody>
<tr>
<td>Capital Region</td>
<td>2,027</td>
<td>583</td>
<td>$15,673,233</td>
</tr>
<tr>
<td>Central NY</td>
<td>1,350</td>
<td>277</td>
<td>$7,481,741</td>
</tr>
<tr>
<td>Finger Lakes</td>
<td>1,953</td>
<td>390</td>
<td>$10,264,686</td>
</tr>
<tr>
<td>Long Island</td>
<td>7,259</td>
<td>3,517</td>
<td>$82,464,842</td>
</tr>
<tr>
<td>Mid-Hudson</td>
<td>4,350</td>
<td>1,520</td>
<td>$40,332,303</td>
</tr>
<tr>
<td>Mohawk Valley</td>
<td>721</td>
<td>121</td>
<td>$3,113,015</td>
</tr>
<tr>
<td>New York City</td>
<td>43,293</td>
<td>26,523</td>
<td>$416,180,432</td>
</tr>
<tr>
<td>North Country</td>
<td>665</td>
<td>127</td>
<td>$3,568,351</td>
</tr>
<tr>
<td>Southern Tier</td>
<td>1,382</td>
<td>307</td>
<td>$7,194,122</td>
</tr>
<tr>
<td>Western NY</td>
<td>2,702</td>
<td>725</td>
<td>$13,701,967</td>
</tr>
<tr>
<td>Unknown(^8)</td>
<td>1,168</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td><strong>66,870</strong></td>
<td><strong>34,090</strong></td>
<td><strong>$599,974,692</strong></td>
</tr>
</tbody>
</table>

\(^8\) Insufficient geographic information exists and therefore the location cannot be determined.
SUPPORTING PROGRAM PARTICIPATION

In response to pandemic-induced economic hardships which have disproportionately affected the small businesses targeted by the Program, ESD, with legislative support, launched a series of initiatives to guide eligible small businesses through the Program application process. The efforts were designed to ensure small businesses throughout the State were made aware of the Program, and had continuous assistance and guidance available to them throughout the application process.

1) NETWORK OF TECHNICAL ASSISTANCE PARTNERS

As part of this effort, ESD established a network of Technical Assistance (TA) partners to provide hands-on guidance to small businesses seeking funding. The Program allocated $10 million in technical assistance grants to 69 partners, including: 21 of New York’s statewide Entrepreneurship Assistance Centers, 23 Small Business Development Centers, and 25 community organizations and chambers of commerce. These TA partners are helping small businesses secure financial assistance through the Program, as well as secure funding from other federal and State COVID-recovery programs. TA partners have used these funds to hire additional staff in order to provide one-on-one direct counseling sessions with all interested small businesses.

ESD’s network of partners serve as trusted voices for many small businesses. Located in communities throughout the State, these TA partners have been serving as local ambassadors for the Program to be available to potential applicants in their cities, boroughs, towns, and villages. There are at least two participating TA partners in each region of the State, and in New York City, there is at least one participating TA partner in each borough (a full list of all Technical Assistance partners is available in the Appendix).

2) GRANT ADMINISTRATOR

To create a streamlined and simple application, and to process a high volume of awards expeditiously, ESD, with legislative support, competitively procured a third-party grant administrator for the Program.

The selected administrator, Lendistry, is a minority-led Community Development Financial Institution. Lendistry has provided similar COVID-recovery grant services to other states, such as
California and Pennsylvania, and is a U.S. Small Business Administration Community Advantage lender.

3) LANGUAGE TRANSLATION SERVICES

Program materials, including educational materials and applications, are available in 15 languages. Materials are offered in Arabic, Bengali, Chinese-Mandarin, English, French, German, Haitian Creole, Hindi, Italian, Korean, Polish, Russian, Spanish, and Yiddish.

4) CALL CENTER

ESD established a call center to provide all applicants the ability to discuss any questions with a live expert. To date, 330,199 calls have been received by the call center. The call center is open Monday to Friday from 8:00 AM to 8:00 PM Eastern Time and Saturday from 9:00 AM to 5:00 PM. The call center also allows ESD an opportunity to contact applicants to cure applications, and has placed over 103,436 calls to applicants, offering guidance in finalizing applications.

5) APPLICANT ENGAGEMENT

In the event an application is incomplete or includes inconsistent information, ESD allows every applicant the opportunity to cure their submission. To this end, all applicants with an incomplete submission receive outreach originating from ESD, from ESD’s TA partners, and from Lendistry, to provide every opportunity for an applicant to successfully apply. New York State recognizes that small businesses, particularly those targeted by the Program, deserve the opportunity to be made aware of insufficiencies and given time to correct and finalize applications.

6) MARKETING CAMPAIGN

ESD launched an integrated marketing effort in May 2021, to coincide with the opening of the application portal in June 2021. As part of this multi-platform campaign, statewide television advertising was used to build broad awareness of the Program, while digital, social media, and print efforts helped amplify the message.

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9 The Community Advantage Program was created by the U.S. Small Business Administration to address the credit, management, and technical assistance needs of small businesses in underserved markets. https://www.sba.gov/offices/district/ut/salt-lake-city/resources/community-advantage-loans
To reach the small businesses targeted by the Program, television ads ran in both Spanish and English. Print ads were also placed in local and/or foreign language papers across the State to encourage participation from applicants whose primary language is not English, or who may not have online access.

New York State’s legislative leaders have also been instrumental in raising awareness of the Program and the Program’s network of support services.

Since the prior Report, ESD has undertaken additional outreach efforts to raise awareness of the Program.

7) GRANT DISBURSEMENTS

In the prior Report, ESD updated New Yorkers that the Program had already awarded $517M. Awarded funds increased from $517M on March 24, 2022, to $600M by June 30, 2022.

The number of small businesses that received awards has increased from 29,719 to 34,090 since the prior Report.

As additional context on the Program’s grant disbursement progress, on August 25, 2021, Governor Hochul announced that the Program had awarded $48M to-date. From August 25, 2021, to June 30, 2022, awarded funds have increased tenfold.

These increases are due to the extensive engagement from ESD and ESD’s program partners to award applications that have been submitted since the start of the Program.

8) ELIMINATING TAXES ON GRANT AWARDS

In June 2021, the New York State Legislature passed, and the Governor signed, a law to exempt grants made under this Program from certain taxes. Specifically, the law provides an exemption from the corporate franchise tax and from personal income tax for all grants made through the Program. This important law ensures that funds directed to COVID-impacted small businesses remain with the awardee\textsuperscript{10}.

\textsuperscript{10} The law amends paragraph (a) of subdivision 9 of section 208, and subsection (c) of section 612 of the tax law.
9) FRAUD PROTECTION SYSTEM

The Program utilizes a robust anti-fraud methodology to protect State funds and the integrity of the Program. Embedded in the Program design is a multi-layer fraud protection system that incorporates technology, inclusive of a thorough cyber review, and manual review of all applications.
AWARDEE STORIES

The COVID-19 Pandemic Small Business Recovery Grant Program is positively impacting small businesses across the State. To highlight the ways in which recipients are benefiting from the Program, below are testimonials from actual award recipients.

Exchange Solutions, Inc.
Owner: Diane Schaefer
Grant Award: $50,000
Location: Long Island, NY
“The grant not only helped us keep our existing employees employed during the pandemic, but it allowed me to hire a part-time employee as well. We are happy that our business was able to survive and greatly appreciate New York State’s assistance.”

B n J Royalty
Owner: Nawal Bennaji
Grant Award: $49,470
Location: Queens, NY
“The company was very grateful to receive the Covid-19 Pandemic Small Business Recovery Grant, it really helped us recovery from the pandemic. We are working to keep our business on track and develop it further. Thank you again for your help and support.”

Big Foote’s Sporting
Owner: Don Foote
Grant Award: $21,512
Location: Waverly, NY
“The grant has been very beneficial to our business. We were able to pay a major portion of back rent and utilities. We were also able to recover our major phone line. With the increase in our sales, we were able to rehire one former employee and we are hoping to bring back one more. Thank you for getting us back going.”
APPENDIX

To ensure that grant funds are provided to businesses that were most significantly impacted by the pandemic and resulting business interruptions or closures, New York State required specific eligibility criteria to address the target audience. Full eligibility criteria for the COVID-19 Small Business Recovery Grant Program is detailed below.

AVAILABLE AWARDS

Grant awards range from $5,000 to $50,000, depending on a business’s gross receipts for 2019.

<table>
<thead>
<tr>
<th>ANNUAL GROSS RECEIPTS</th>
<th>AWARD is</th>
<th>ANNUAL GROSS RECEIPTS</th>
<th>AWARD is</th>
<th>ANNUAL GROSS RECEIPTS</th>
<th>AWARD IS 10% OF GROSS RECEIPTS</th>
</tr>
</thead>
<tbody>
<tr>
<td>$25,000 – $49,999.99</td>
<td>$5,000 / business</td>
<td>$50,000 – $99,999.99</td>
<td>$10,000 / business</td>
<td>$100,000 – $2,500,000</td>
<td>(maximum grant is $50,000)</td>
</tr>
</tbody>
</table>

ELIGIBLE BUSINESSES

- Must be a viable small business (100 employees or fewer), or micro-business (10 employees or fewer) in New York State.
- Must have begun operation on or before March 1, 2019 and must continue to be in operation as of the date of the application. Venues that were shuttered due to COVID restrictions are eligible to apply.
- Must show a 25% loss in gross receipts as a result of the COVID-19 pandemic or compliance with COVID-19 health and safety protocols, which resulted in business modifications, interruptions, or closures, including any 2020 Pandemic Unemployment Assistance (PUA), Federal Pandemic Unemployment Compensation and/or Lost Wage Assistance Programs.
- As expanded by Governor Hochul on August 25, 2021, eligible small businesses must have between $25,000 and $2,500,000 (previously $500,000) in either 2019 or 2020 gross receipts.
• Must not have qualified for business grant assistance programs under the federal American Rescue Plan Act of 2021 or any other available federal COVID-19 economic recovery or business assistance grant programs, including loans forgiven under the federal Paycheck Protection Program, or are unable to obtain sufficient business assistance from such federal programs.

• Eligible Applicants may have received or been awarded the following federal assistance:
  o Paycheck Protection Program loans totaling $250,000 (expanded by Governor Hochul on August 25, 2021) or less.
  o COVID-19 EIDL Advance Grant of $10,000 or less.
  o COVID-19 EIDL Supplemental Targeted Advance Grant of $5,000 or less.
  o SBA Shuttered Venue Operator Grant.

INELIGIBLE BUSINESSES

• All Non-Profits, churches and other religious institutions
• Government-owned entities or elected official offices
• Businesses primarily engaged in political or lobbying activities
• Businesses that received awards from the SBA Restaurant Revitalization Grant Program
• Landlords and passive real estate businesses
• Illegal businesses or enterprises
• Other industry or business types as specified by Empire State Development.

ELIGIBLE USE OF GRANT FUNDS

The Program provides a wide array of eligible uses in response to the extensive and varied impacts of COVID-19 on the small business ecosystem. In this way, the Program aims to assist a significant number of affected small businesses.

• Specifically, the grants must be used for COVID-19 related losses or expenses incurred between March 1, 2020, and April 1, 2021. These include:
  o Payroll costs
  o Commercial rent or mortgage payments for NYS-based property (but not any rent or mortgage prepayments)
  o Payment of local property or school taxes associated with a small business location in NYS
  o Insurance costs
  o Utility costs
Costs of personal protection equipment (PPE) necessary to protect worker and consumer health and safety
- Heating, ventilation, and air conditioning (HVAC) costs
- Other machinery or equipment costs
- Supplies and materials necessary for compliance with COVID-19 health and safety protocols
- Other documented COVID-19 costs as approved by Empire State Development

INELIGIBLE USE OF GRANT FUNDS

- Grants awarded under the Program may not be used to re-pay or pay down any portion of a loan obtained through a federal COVID-19 relief package for business assistance or any New York State business assistance programs.

ONGOING SUPPORT FOR ADDITIONAL APPLICANTS

The Program application portal remains open and additional applications are being accepted under the expanded eligibility criteria. As noted earlier, ESD has compiled and publicized a network of TA partners that provide instruction, training, technical assistance, and support services to entrepreneurs and small businesses in local communities statewide. The statewide and regional organizations listed below provide confidential business counseling and training at no cost, including assistance with not only the Program applications, but also other New York State and federal pandemic relief grant and loan applications. Interested applicants are encouraged to contact their respective TA partner. TA partners are listed by region below.

STATEWIDE

THE CAYEMITTE GROUP
29 South Chestnut Street, Suite 201
New Paltz, NY 12561

NEW YORK & NEW JERSEY MINORITY SUPPLIER DEVELOPMENT COUNCIL, INC.
65 West 36th Street, Suite 702
New York, NY 10018

NEW YORK SMALL BUSINESS DEVELOPMENT CENTER
State University of New York
H. Carl McCall SUNY Building
NEW YORK TRI-STATE CHAPTER OF THE NATIONAL ASSOCIATION OF MINORITY CONTRACTORS
58-09 28th Avenue
Woodside, NY 11377

PURSUIT
50 Beaver Street
Albany, NY 12207

CAPITAL REGION

ALBANY SMALL BUSINESS DEVELOPMENT CENTER
University at Albany
6 Executive Park Drive, Entrance B
Albany, NY 12203
Email: kbaker@albany.edu

CAPITAL CHAMBER FOUNDATION, INC.
Capital Region Entrepreneurial Boot Camp
Five Computer Drive South
Albany, NY 12205

CENTRAL NY REGION

CENTERSTATE CORPORATION FOR ECONOMIC OPPORTUNITY
115 W. Fayette Street
Syracuse, NY 13202

ONONDAGA SMALL BUSINESS DEVELOPMENT CENTER
Onondaga Community College
4926 Onondaga Road, Mulroy Hall
Syracuse, NY 13215-4585

SYRACUSE UNIVERSITY
South Side Innovation Center
2610 South Salina Street
Syracuse, NY 13205

FINGER LAKES REGION

BROCKPORT SMALL BUSINESS DEVELOPMENT CENTER
College of Brockport
350 New Campus Drive, Hartwell 101
Brockport, NY 14420
Email: sbdc@brockport.edu
IBERO-AMERICAN ACTION LEAGUE, INC.- FINGER LAKES
122 N Genesee Street
Geneva, NY 14456

URBAN LEAGUE OF ROCHESTER, NY, INC.
265 North Clinton Avenue
Rochester, NY 14605-1857

LONG ISLAND REGION
EXCELSIOR BUSINESS DEVELOPMENT CORPORATION
151 Hempstead Turnpike, 2nd Floor
West Hempstead, NY 11552

FARMINGDALE SMALL BUSINESS DEVELOPMENT CENTER
2350 Broadhollow RD
Campus Commons
Farmingdale, NY 11735-1006
Email: sbdc@farmingdale.edu

HOFSTRA UNIVERSITY
Oak Street Center, Room 107-B
255 Hofstra University
Hempstead, NY 11549

STONY BROOK SMALL BUSINESS DEVELOPMENT CENTER
Stony Brook University
Research & Development Park
Stony Brook, NY 11794-6016
Email: sbdc@stonybrook.edu

SUFFOLK COUNTY COMMUNITY COLLEGE – GRANT CAMPUS
Sally Ann Slacke Building
1001 Crooked Hill Road
Brentwood, NY 11717

MID-HUDSON REGION
MID-HUDSON SMALL BUSINESS DEVELOPMENT CENTER
SUNY Ulster Kingston Center
94 Mary’s Avenue
Kingston, NY 12401
Email: sbdc@sunyulster.edu
ROCKLAND SMALL BUSINESS DEVELOPMENT CENTER
Rockland Community College
145 College Road, Brucker Hall
Suffern, NY 10901-3699
Email: tmorley@sunyrockland.edu

WOMEN’S ENTERPRISE DEVELOPMENT CENTER INC.
901 North Broadway, Suite 23
White Plains, NY 10603

WOMEN’S ENTERPRISE DEVELOPMENT CENTER
Ulster and Putnam County, Inc.
3 Neptune Road, Suite A21
Poughkeepsie, NY 12601

MOHAWK VALLEY REGION
BUSINESS TRAINING INSTITUTE, INC.
The Radisson Centre
200 Genesee Street
Utica, NY 13502

MOHAWK VALLEY SMALL BUSINESS DEVELOPMENT CENTER
Mohawk Valley Community College
326 Broad Street
Utica, NY 13501
Email: sbdc@mvcc.edu

NORTH COUNTRY REGION
ADIRONDACK ECONOMIC DEVELOPMENT CORPORATION (AEDC)
67 Main Street, Suite 300
Saranac Lake, NY 12983

CANTON SMALL BUSINESS DEVELOPMENT CENTER
SUNY Canton
34 Cornell Drive, French Hall
Canton, NY 13617-1098
Email: sbdc@canton.edu

PLATTSBURGH-NORTH COUNTRY CHAMBER OF COMMERCE
PO Box 310, 7061 Route 9
Plattsburgh, NY 12901
WATERTOWN SMALL BUSINESS DEVELOPMENT CENTER
Jefferson Community College
1220 Coffeen Street
Watertown, NY 13601-1897
Email: sbdc@sunyjefferson.edu

NEW YORK CITY REGION

ARTS BUSINESS COLLABORATIVE, INC.
31-00 47th Avenue, Suite 3100
Long Island City, NY 11101

ASIAN AMERICAN FEDERATION
120 Wall Street, 9th Floor
New York, NY 10005

BEDFORD STUYVESANT RESTORATION CORPORATION
1368 Fulton Street
Brooklyn, NY 11216

BLACK CHAMBER OF COMMERCE OF NEW YORK CITY, INC.
99 Wall Street
New York City, NY 10005

BRIDGE STREET DEVELOPMENT CORPORATION
460 Nostrand Avenue
Brooklyn, NY 11216

BRONX SMALL BUSINESS DEVELOPMENT CENTER
Lehman College Campus
250 Bedford Park Blvd., West
Bronx, NY 10468

BROOKLYN CHAMBER OF COMMERCE
335 Adams Street
Brooklyn, NY 11201

BROOKLYN NAVY YARD DEVELOPMENT CORPORATION
141 Flushing Avenue, Ste. 801
Brooklyn, NY 11205

BROOKLYN SMALL BUSINESS DEVELOPMENT CENTER
NYC College of Technology
25 Chapel Street
Brooklyn, NY 11201

BUSINESS OUTREACH CENTER NETWORK, INC.
96-11 40th Road
Corona, NY 11368

CAMBA, INC.
2244 Church Avenue, 4th Floor
Brooklyn, NY 11226

CHINATOWN MANPOWER PROJECT/BUSINESS OUTREACH CENTER
70 Mulberry Street, 3rd Floor
New York, NY 10013

COLUMBIA-HARLEM SMALL BUSINESS DEVELOPMENT CENTER
Interchurch Center
475 Riverside Drive, 3rd Floor
New York, NY 10115-6902

EVERGREEN EXCHANGE
2 Kingsland Avenue
Brooklyn, NY 11211

FARMERS BOULEVARD COMMUNITY DEVELOPMENT CORPORATION
111-29 Farmers Boulevard
St. Albans, NY 11412

GREATER JAMAICA DEVELOPMENT CORPORATION
9004 161 Street
Jamaica, NY 11432

LOCAL DEVELOPMENT CORPORATION OF EAST NEW YORK
80 Jamaica Avenue, 3rd Floor
Brooklyn, NY 11207

MIDTOWN MANHATTAN SMALL BUSINESS DEVELOPMENT CENTER
Baruch College, Field Center
55 Lexington Avenue, Room 2-140
New York, NY 10010

MANHATTAN SMALL BUSINESS DEVELOPMENT CENTER
Pace University
163 William Street, Room 328
New York, NY 10038

MANHATTAN CHAMBER OF COMMERCE
575 Fifth Avenue, 14th Floor
New York, NY 10017

NEW YORK WOMEN’S CHAMBER OF COMMERCE
1524 Amsterdam Avenue
New York, NY 10031

QUEENS ECONOMIC DEVELOPMENT CORPORATION
120-55 Queens Blvd., Suite 309
Kew Gardens, NY 11424-1015

QUEENS-LAGUARDIA SMALL BUSINESS DEVELOPMENT CENTER
LaGuardia Community College
30-20 Thomson Avenue
Long Island City, NY 11101

QUEENS-YORK SMALL BUSINESS DEVELOPMENT CENTER
City University of New York
York College, 94-50 159th Street
Jamaica, NY 11451

RENAISSANCE ECONOMIC DEVELOPMENT CORPORATION
2 Allen Street, 7th Floor
New York, NY 10002

START SMALL. THINK BIG., INC.
511 Avenue of the Americas, #4151
New York, NY 10011

STATEN ISLAND CHAMBER OF COMMERCE FOUNDATION, INC.
PO Box 41277
Staten Island, NY 10304

STATEN ISLAND SMALL BUSINESS DEVELOPMENT CENTER
College of Staten Island
2800 Victory Blvd., Building 3A, Room 105
Staten Island, NY 10314-9806

TRUFUND FINANCIAL SERVICES, INC.
39 West 37 Street
New York, NY 10018

WASHINGTON HEIGHTS – INWOOD DEVELOPMENT CORPORATION
611 W. 177th Street, Lower Level
New York, NY 10033

WOMEN’S HOUSING AND ECONOMIC DEVELOPMENT CORPORATION
50 East 168th Street
Bronx, NY 10452

WOMEN’S VENTURE FUND
50 Broadway, 29th Floor
New York, NY 10004

WORLDCAST LIVE, INC.
53 Bridge St., Suite 508
Brooklyn, NY 11201
http://www.renaissance-ny.org/

SOUTHERN TIER REGION

CORTLAND AREA CHAMBER OF COMMERCE
83 Main Street
PO Box 427
Cortland, NY 13045
BINGHAMTON LOCAL DEVELOPMENT CORPORATION  
SUNY Broome Community College  
Continuing Education-Entrepreneurship Assistance Center  
120 Hawley Street, 3rd Floor, Rm 306  
Binghamton, NY 13901

BINGHAMTON SMALL BUSINESS DEVELOPMENT CENTER  
Binghamton University  
120 Hawley Street  
Binghamton, NY 13901  
Email: sbdc@binghamton.edu

WESTERN NY REGION

ACCORD CORPORATION  
84 Schuyler Street  
PO Box 573  
Belmont, NY 14813

BUFFALO SMALL BUSINESS DEVELOPMENT CENTER  
Buffalo State College  
1300 Elmwood Avenue, GC206  
Buffalo, NY 14222-4222  
Email: smallbus@buffalostate.edu

IBERO-AMERICAN ACTION LEAGUE, INC. – BUFFALO  
327 Niagara Street, Suite 10  
Buffalo, NY 14201

JAMESTOWN SMALL BUSINESS DEVELOPMENT CENTER  
Jamestown Community College  
525 Falconer Street  
Jamestown, NY 14702-0020  
Email: SBDC@mail.sunyjcc.edu

NIAGARA SMALL BUSINESS DEVELOPMENT CENTER  
Niagara County Community College  
3111 Saunders Settlement Road  
Sanborn, NY 14132  
Email: sbdc@niagaracc.suny.edu