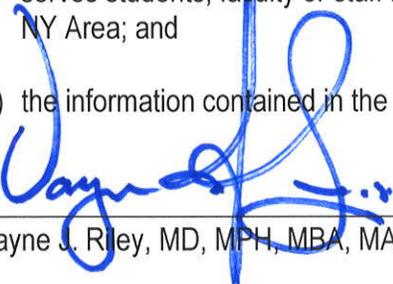




To: Mr. Howard Zemsky, NYS Commissioner of Economic Development
From: Wayne J. Riley, MD, MPH, MBA, MACP, President, SUNY Downstate Medical Center
Re: SUNY Downstate Medical Center's Amended Campus Plan for Designation of Tax-Free Area(s)
Date: October 10, 2017

I, Wayne J. Riley, MD, MPH, MBA, MACP, President of SUNY Downstate Medical Center, hereby certify the following:

- a.) we have provided a copy of the enclosed Campus Plan for Designation of Tax-Free NY Area, to the municipality in which the proposed Tax-Free NY Area is located, local economic development entities, the applicable faculty senate, union representatives, and student government at least 30 days prior to submitting the plan to you and attached evidence of submission herewith; and
- b.) we comply with Public Officers Law Section 74; State University of New York's Policy on Conflict of Interest and; and attached copies of the polices and/or guidelines herewith; and
- c.) we comply with the Commissioner's rules and guidelines on anticompetitive behavior (NY EDL, art. 21, sect. 440); and
- d.) the proposed Tax-Free NY Area(s) has not been financed with any tax-exempt bonds and, therefore, will not jeopardize the tax-free status of the CAMPUS; and
- e.) we consulted with the municipality or municipalities in which such land or space is located prior to including such space or land in the proposed Tax-Free NY Area and we have given preference to underutilized properties; and
- f.) we have not displaced or eliminated any academic programs, any administrative programs, offices, housing facilities, dining facilities, athletic facilities, or any other facility, space or program that actively serves students, faculty or staff in order to created vacant land or space to be designated as a Tax-Free NY Area; and
- g.) the information contained in the enclosed application is accurate and complete.


Wayne J. Riley, MD, MPH, MBA, MACP

10/11/17
Date

Attachments/Enclosures:

- 1.) SUNY START-UP NY Program Participation Policy

- 2.) Tax-Free Area Plan with Polygon shapefile of campus area (if available) and/or point data of vacant space (if available), **OR** AutoCAD rendering of proposed tax-free area on a scaled campus map and/or campus map shaded to indicate building containing proposed tax-free space
- 3.) List of properties (see Excel spreadsheet)
- 4.) Public Officers Law §74
- 5.) SUNY Conflict of Interest Policy
- 6.) RF Conflict of Interest Policy
- 7.) Evidence of submission of Tax-Free Area Plan to interested parties



Category:
Academic Affairs
Affiliated Programs
Community Colleges
Facility Management / Safety
Financial
Legal and Compliance
Research

Responsible Office:
[Academic Affairs](#)

Policy Title:
START-UP NY Program Participation Policy

Document Number:
6800

Effective Date:
February 10, 2014

This policy item applies to:
Community Colleges
State-Operated Campuses

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Summary

START-UP NY is a state economic development program that positions SUNY campuses as magnets for entrepreneurs and businesses from around the globe. START-UP NY aligns with SUNY's mission of teaching, research and public service; enabling engagement with industry, knowledge acceleration, translation of research into practical applications, and delivering the 21st century workforce businesses need to grow and thrive. START-UP NY will transform university communities to deliver unprecedented economic benefits to New York. To participate in the program, all campuses must comply with this policy and any applicable rules and regulations issued by the NYS Commissioner of Economic Development.

This policy governs the review process that all participating campuses must follow to secure SUNY's approval and/or review of the plans, applications, and other documents required by the NYS Commissioner of Economic Development to participate in the START-UP NY program. It also prescribes special requirements for the disclosure and management of actual or potential conflicts of interest in matters pertaining to the campus' START-UP NY program. Any conflict between this policy and any other applicable Conflict of Interest policy shall be resolved in favor of disclosure of any potential, actual, or perceived conflict of interest relating to the campus' START-UP NY program to the President or Chief Executive Officer of the sponsoring campus.

Policy

- A. **Campus Plans for Designation of Tax-Free Area(s):** Any campus intending to submit a Campus Plan for Designation of Tax-Free Area(s) ("Campus Plan") to the NYS Commissioner of Economic Development must first have it reviewed and approved by the Chancellor or designee. The Chancellor or designee shall approve or reject all Campus Plans within fifteen (15) business days of receipt. Any rejected Campus Plan shall be

accompanied by an explanation of the basis for rejection. Once approved by the Chancellor or designee a campus may submit its Campus Plan to the NYS Commissioner of Economic Development in accordance with the Commissioner's rules and regulations. Any Campus Plan that is rejected can be resubmitted for Chancellor or designee approval and will be reviewed in accordance with this policy and related procedures. Any amendments to approved Campus Plans must be submitted for Chancellor or designee approval and will be reviewed in accordance with this policy and related procedures.

- B. Sponsoring University or College Applications for Business Participation:** After a campus is notified by the Commissioner of Economic Development that its Campus Plan or any amendment(s) to an approved Campus Plan has been approved, it may submit a Sponsoring University or College Application for Business Participation ("Sponsor Application") to the NYS Commissioner of Economic Development and to the Chancellor or designee for concurrent review and approval. Only Commissioner of Economic Development approval is required.
- C. Delegations:** The Chancellor or designee may charge a group of individuals, collectively called the SUNY START-UP NY Proposal Review Team, to evaluate all submitted Campus Plans and Sponsor Applications prior to accepting or rejecting them.
- D. Conflicts of Interest:** Service as an Official shall not be used as a means for private benefit or inurement for any Official, a Relative thereof, or any entity in which the Official or Relative thereof has a Business Interest. A conflict of interest exists whenever an Official has a Business Interest or other interest or activity outside of the university that has the possibility, whether potential, actual, or perceived, of (a) compromising the Official's judgment, (b) influencing the Official's decision or behavior with respect to the START-UP NY Program, or (c) resulting in personal or a Relative's gain or advancement. Any Official who is an owner or employee of an entity that is the subject of any matter pertaining to the university's START-UP NY Program, or who has a Business Interest in any entity that is the subject of any matter pertaining to the university's START-UP NY Program, or whose Relative has such a Business Interest, shall not vote on or otherwise participate in the administration by the university of any START-UP NY matter involving such entity. Any Official or other campus representative who becomes aware of a potential, actual or apparent conflict of interest, either their own or that of another Official, related to a sponsoring university or college's START-UP NY program must disclose that interest to the President or Chief Executive Officer of the sponsoring college or university. Each such President or Chief Executive Officer shall maintain a written record of all disclosures of actual or potential conflicts of interest made pursuant to this policy, and shall report such disclosures on a calendar year basis, by January 31st of each year, to the University Auditor or to the Chancellor's designee, in which case the University Auditor shall be copied on the correspondence to such designee. SUNY shall then forward such reports to the Commissioner of Economic Development for the State of New York, who shall make public such reports.
- E. Exceptions:** There are no exceptions to this policy.

Definitions

Business Interest means that an individual (1) owns or controls 10% or more of the stock of an entity (or 1% in the case of an entity the stock of which is regularly traded on an established securities exchange); or (2) serves as an officer, director or partner of an entity.

Official means an employee at the level of dean and above as well as any other person with decision-making authority over a campus' START-UP NY Program, including any member of any panel or committee that recommends businesses for acceptance into the START-UP NY program.

Relative means any person living in the same household as another individual and any person who is a direct descendant of that individual's grandparents or the spouse of such descendant.

Sponsoring College or University means any entity defined or described in NYS Education Law Sec. 352 and Article 126.

START-UP NY Program means the SUNY Tax-free Areas to Revitalize and Transform Upstate New York Program established by Article 21 of the Economic Development Law.

Tax-Free NY Area means vacant land or space designated by the Commissioner of Economic Development Article 21 of the Economic Development Law that is eligible to receive benefits under the START-UP NY program.

Other Related Information

[Start-Up NY Regulations](#): available at the [Start-Up NY Website](#).

At least thirty days before submitting the Campus Plan to the Commissioner of Economic Development the campus must provide a copy of the Plan to the chief executive officer of the municipality or municipalities in which the proposed Tax-free NY Area is located, local economic development entities, the applicable university or college faculty senate, union representatives and the campus student government. The campus shall include in their submission to the Commissioner of Economic Development certification of such notification, as well as a copy of any written response from chief executive officer of the municipality or municipalities in which the proposed Tax-free NY Area is located, local economic development entities, the applicable campus or college faculty senate, union representatives and the campus student government.

[StartUp-NY.gov](#) website and program information.

Procedures

[START-UP NY Program Participation. Procedures for](#)

Forms

[SUNY START-UP NY Campus Plan for Designation of Tax-Free Area\(s\) Memorandum](#)

[SUNY START-UP NY Campus Plan for Designation of Tax-Free Area\(s\) Template](#)

[ESD START-UP NY Sponsoring University or College Application for Business Participation](#)

[ESD START-UP NY Business Application Instructions](#)

[ESD START-UP Business Application](#)

Authority

[State University of New York Board of Trustees Resolution 2014-12 , START-UP New York Program Administration, adopted January 14, 2014.](#)

[Law, New York Economic Development Law Article 21 \(Start-Up NY Program\) and other Statutes pertaining to the Start-Up New York Program.](#)

[Start-Up NY Regulations](#)

History

Enacted into law in June 2013, START-UP NY is a groundbreaking new initiative from Governor Andrew M. Cuomo that provides major incentives for businesses to relocate, start up or significantly expand in New York State through affiliations with public and private universities, colleges and community colleges. Businesses will have the opportunity to operate state and local tax-free on or near academic campuses, and their employees will pay no state or local personal income taxes.

Appendices

There are no appendices relevant to this policy.



START-UP NY AMENDED CAMPUS PLAN FOR DESIGNATION OF TAX-FREE AREA(S)

Campus Name: SUNY Downstate Medical Center
Campus Contact Name: Eva Cramer, Ph.D.
Campus Contact Title: Vice President for Biotechnology and Scientific Affairs
Campus Contact E-mail: ecramer@downstate.edu
Campus Contact Phone: (718) 270-1011

THE TAX-FREE NY AREA PLAN SHALL BE DEVELOPED BY THE CAMPUS TEAM AND PROVIDE THE FOLLOWING REQUIRED INFORMATION:

- 1) Specification or identification of space or land proposed for designation as a Tax-Free NY Area identifying the following:
 - i. Provide the name and address of the SUNY, CUNY or community college seeking approval as a Sponsor, the address of the space or land proposed for designation as a Tax-Free NY Area, and a written description of the physical characteristics of the area for designation.

Name: SUNY Downstate Medical Center
Campus Address: 450 Clarkson Avenue, Brooklyn, NY 11203
Addresses of Proposed Tax-Free NY Areas :
<p>Downstate Biotechnology Incubator, 760 Parkside Avenue, Brooklyn, NY 11226 Synthetic Chemistry Facility, 710 Parkside Avenue, Brooklyn, NY 11226 BioBAT at the Brooklyn Army Terminal, 140 58th Street, Brooklyn, NY 11220 Industry City, 34 34th Street, #6-5-A, Brooklyn, NY 11232 New Lab, Building 128, Brooklyn Navy Yard, Brooklyn, NY 11205</p>
Description of Physical Characteristics of Proposed Tax-Free NY Areas:
<p>The Downstate Biotechnology Incubator, Synthetic Chemistry Facility and BioBAT at the Brooklyn Army Terminal have all been designated a certified NYS Business Incubator. Companies at all three facilities have access to SUNY Downstate resources.</p> <p>The Downstate Biotechnology Incubator offers start-up and early-stage life science and technology companies' modular wet lab/office space with access to a wide range of resources. A special "nursery program" is available for companies willing to share space and equipment. The Incubator is 50,000 sf. Phase 3 is built to LEED Silver standard.</p>

Amenities Include:

Common/General

- Access 24 hours/day, 7 days/week
- Piping, ductwork and other requirements for fume hoods
- Laboratory services, including vacuum system and gas
- Emergency generator
- Conference rooms
- Wired for high speed data/telecom
- Onsite parking 24/7

Core Facility Room

- Autoclave
- Water purification system
- Glass Washer
- Ice Machine

Access to SUNY Downstate Resources

- Scientists/Clinicians/Students
- Scientific/Medical Library
- Specialized Research Core Facilities
- Vivarium
- Clinical Trials
- Recreational facilities (e.g. gymnasium, swimming pool, squash courts, outdoor tennis court and physical fitness equipment)

The **Synthetic Chemistry Facility** is a 13,000 sf state-of-the-art synthetic chemistry building. This includes:

- 26 fume hoods equipped with compressed air, gas, vacuum and water (ten 8-foot hoods, fourteen 6-foot hoods, two 8-foot walk-in hoods),
- ~110 linear feet of lab benches with sinks
- Automated Womack Storage Carousel
- Walk-in fume hood with Now-Pack dispensing unit,
- Two server rooms
- Emergency generator
- CCTV security system
- High-speed telecommunications (1 T1 line, in addition to 1 Fiber line)
- 12 private offices
- Conference room
- Reception area
- Kitchenette
- Staff lounge
- Loading zone.

BioBAT provides state-of-the-art R&D and biopharma manufacturing-related space. Composed of 524,000 sq. ft. located on 97 acres on the Brooklyn waterfront in Building A of the Brooklyn Army Terminal. Available for immediate occupancy (up to 60,000 sf and divisible to 5,000 sf units).

Suitable for

- Life Science
- Pharmaceutical
- Technology Service Providers
- Contract Research Organizations
- Bioinformatics
- Medical Devices

Amenities

- Secure 24/7 gated facility on waterfront campus
- Free on-site parking
- Restaurant
- Banking facility on site
- Day care center

Features

- 56,000 sf floor plates
- Loading docks, floor loads 250 lbs/sf
- Access to SUNY Downstate Medical Center resources (see above) & NYC research institutions
- Built to LEED Silver Standard
- Emergency power
- Tenant roster includes International AIDS Vaccine Initiative (IAVI)

Industry City is the largest privately owned industrial complex in New York City. This site, formerly known as Bush Terminal, is in the Sunset Park neighborhood of Brooklyn, New York. The complex spans roughly 40 acres and includes 16 original buildings, and is easily accessible via the nearby D, N and R trains and over ten bus lines. Located on the waterfront, the site boasts impressive views of the Manhattan skyline and East River.

As of 2017, Industry City is home to 400 tenants with 4,000 employees. Its tenants have a reputation for creativity and innovation.

Downstate has designated 5,037 square feet of office space located at 34 35th Street, #4A, Brooklyn, NY 11232 and plans to designate an additional 14,347 square feet of office space located at 34 34th Street, Fifth Floor, Unit 6-5-A, Brooklyn, NY 11232. The space is currently vacant.

New Lab, a state-of-the-art manufacturing hub in Building 128 at the Brooklyn Navy Yard, offers a 84,000-square-foot space for hardware engineers, designers, and entrepreneurs. The site has a variety of workspaces – private offices, workshops, lofts – alongside shared areas such as lounges and communal work areas. It also has advanced prototyping shops, including a shared metal-and-wood shop, 3-D printing lab, and digital manufacturing tools. It can accommodate 50 companies, and can meet the needs of tenants delving into robotics, artificial intelligence, and nanotechnology.

Downstate plans to designate 1,812 square feet of office space located on the west side of the New Lab facility. The space is currently vacant.

- ii. Provide a clear boundary of each proposed site drawn in AutoCAD on a scaled campus map. Two versions should be created, one which included an imbedded layer from Google earth or other aerial photograph of the property. The second version would be without the photographic imagery. Each parcel under consideration must have a unique alpha numeric identifier, clearly labeled on each plan which will tie to the excel spreadsheet. Details are to be included in the excel spreadsheet attached to this plan. Provide digital files containing Polygon shapefile that delineates area for designation (if available).

Attach to Campus Plan

- iii. Provide a campus map with each building proposed to be designated as tax free space shaded. The building(s) should be identified with the official building number as listed in the SUNY Physical Space Inventory (PSI) along with the building name. The excel spreadsheet should include the official building number and a clear description of the spaces in the building (using official PSI room numbers), or floor (when the entire floor is under consideration), or floor/wing (with outer rooms defining the space specifically listed). Each building must also include floor plans of all areas under consideration with the specific spaces clearly identified by official PSI room and shaded. All building information is also to be included in the excel spreadsheet. Provide digital files containing Point shapefiles that provide locations of area for designation (if available).

Attach to Campus Plan

- 2) The total square footage of the space or acreage of land proposed for designation as a Tax-Free NY Area

The total square footage proposed for designation as a Tax-Free NY Area is 608,196 sf. This consists of:

1. Biotechnology Incubator – 50,000 sf
2. Synthetic Chemistry Facility – 13,000 sf
3. BioBAT at the Brooklyn Army Terminal – 524,000 sf
4. Industry City – 19,384 sf
5. New Lab – 1,812 sf

- 3) Provide a description of the type of business or businesses that may locate on the area to be designated.

Downstate Biotechnology Incubator – for start-up and early-stage life science and technology companies. A special "nursery program" is available for companies willing to share space.

Synthetic Chemistry Facility – for a mature biotechnology, biomedical / life sciences or high technology company which needs a state-of-the-art synthetic chemistry facility.

BioBAT at the Brooklyn Army Terminal is for more mature biotechnology/technology companies that need between 5,000 to 100,000 sf of state -of- the-art R&D and biopharma manufacturing-related space. This would include the following type companies:

- Life Science
- Pharmaceutical
- Technology Service Providers
- Contract Research Organizations
- Bioinformatics
- Medical Devices

Industry City – for a dynamic mix of start-up, early-stage and more mature companies, including technology companies, life science and healthcare related companies, design companies and manufacturers

New Lab – small to medium sized growth-stage companies working in robotics, nanotechnology, connected devices, additive manufacturing, energy, built environment and transportation.

- 4) Provide a description of the academic mission of the Sponsor and how the anticipated businesses will align or further the academic mission of the university or college.

The academic mission of SUNY Downstate Medical Center is to a) educate physicians, scientists, nurses and other healthcare professionals; b) advance medical knowledge through basic and translational research and c) provide patients with state-of-the-art healthcare. The attraction of biotechnology/life science and technology companies to the Downstate Biotech Incubator, Synthetic Chemistry Facility, BioBAT, Industry City and New Lab will help all aspects of SUNY Downstate's academic mission. It will foster collaborative relationships between companies and Downstate scientists leading to new grants and discoveries and will help bring these discoveries to the bedside and provide our patients with cutting edge healthcare. In addition, it will provide new educational opportunities for Downstate students and will help attract and retain entrepreneurial faculty and students to NY, SUNY and SUNY Downstate Medical Center.

- 5) Provide a description of how participation by those types of businesses in the Program would generate positive community and economic benefits, including but not limited to:
- Increased employment opportunities;
 - Increased opportunities for internships, vocational training and experiential learning for undergraduate and graduate study;
 - Diversification of the local economy;
 - Environmental sustainability;
 - Increased entrepreneurship opportunities;
 - Positive, non-competitive and/or synergistic links to existing businesses;
 - Effect on the local economy; and
 - Opportunities as a magnet for economic and social growth.

The StartUp NY program will attract biotechnology, biomedical, life sciences and technology companies to the Downstate Biotechnology Incubator, the Synthetic Chemistry facility, BioBAT at the Brooklyn Army Terminal, Industry City and New Lab. Attracting new start-up companies as well as more mature national and international companies will create new opportunities for career growth in economically depressed areas of Brooklyn. This initiative will diversify Brooklyn's industrial base and serve as an economic engine for new jobs, new technologies and will help bring medical devices and treatments to the marketplace. To enable students to be part of this emerging sector and to ensure that biotech companies will have an appropriately trained labor force, Downstate launched programs in biotechnology and entrepreneurship for graduate students and together with Hunter College of CUNY developed a biotechnology technician laboratory training program. This undergraduate program offers a market-driven curriculum, tailored to today's biotech workplace, and consists of a four-week, four-credit workshop, followed by an internship and job placement services. This program has trained over 300 students and has found jobs for over 140 students. Attracting new biotechnology companies not only creates jobs in these companies but stimulates the growth of surrounding businesses (e.g. restaurants, retail stores, plumbers, electricians) and attracts biotechnology supporting businesses (e.g. scientific equipment manufacturers, as well as the companies that maintain the equipment) to NY. If this initiative is to be successful the surrounding neighborhood needs to develop with it. In addition to training programs discussed above, this involves hiring local residents to service the facility, and buying from local vendors (e.g. plants from local nursery for landscaping). The building of Biotechnology Incubator and Synthetic Chemistry Facility dramatically transformed the street by removing warehouses and replacing them with modern state-of-the-art scientific facilities. In addition, the Biotechnology Incubator has worked with the Brooklyn Economic Development Corporation, the Nostrand Avenue Merchants Association and Community Board 9 to beautify the adjacent area with new street lighting and over fifty new street trees. This has stimulated new real estate development in the area including new residential housing and businesses. Likewise we have had discussions with the Borough President, Community Board 7 and the Southwest Brooklyn Industrial Development Corporation (SBIC) on the development of BioBAT. We expect StartUp NY to attract many new businesses. It will create many more high quality jobs, offer new educational opportunities stimulate entrepreneurship at the Medical Center and in the surrounding community and develop medical innovations that will transform people's lives.

Examples of types of companies that would align with Downstate's academic programs include:

- A medical device company that aligns with Downstate's programs in Biomedical Engineering (SED Code 29306), Medicine (SED Code 03385), Neural and Behavioral Science (SED Code 89368) and the clinical departments of Emergency Medicine and Neurology
- A company developing software for medical groups to deliver urgent and emergent care to nursing homes and other businesses that aligns with Downstate's programs in Medicine (SED Code 03385), Nursing (SED Code 03383), Occupational Therapy (SED Code 22558), Physical Therapy (SED Code 21928) and Graduate Medical Education program in Emergency Medicine
- A drug discovery company that aligns with Downstate's programs in Medicine (SED Code 03385), Molecular and Cellular Biology (SED Code 25004), Health Sciences (SED Code 30976) and the department of Medicine
- A company developing new research tools for basic research, industrial and drug discovery applications that aligns with Downstate's programs in Medicine (SED Code 03385) and Molecular and Cellular Biology (SED Code 25004)
- A company growing tissue for clinical use that aligns with Downstate's programs in Anatomy (SED Code 14793), Biochemistry (14520), Biomedical Engineering (SED Code 29306), Health Sciences (SED Code 21928), Medicine (SED Code 03385), Microbiology and Immunology (SED Code 14522) and Molecular and Cellular Biology (SEC Code 25004)

6) Provide a description of the process the Sponsor will follow to select participating businesses.

SUNY Downstate will continue to utilize the application and selection process currently in place for its Biotechnology Incubator. Companies are required to complete the application form (<http://research.downstate.edu/biotech/tenant.pdf>), submit a business plan, research and development plan, audited financial statements, and resumes of principals. These materials are evaluated by the executive leadership of the Biotech Incubator/BioBAT, a Scientific Review Committee and a Financial Review Committee. To be offered a place in the Incubator or BioBAT, a company must demonstrate a scientifically valid program with significant translational opportunities and the financial wherewithal to support its operations and mission. Downstate faculty and staff participate in the business selection process as members of the Scientific Review and Financial Review Committees. Students are engaged in the process on an ad hoc basis; they do not have a formal role in reviewing business applicants.

SUNY Downstate Medical Center
StartUp NY Designated Properties

Location	UniqueID	Owner	PropertyType	StreetAddress	City	ZipCode	ParcelID	Building	SpaceType	SqFt	Acres	Description	onCampus	Within1mileOfCampus	Latitude	Longitude	Note
Borough of Brooklyn	SU-114-1-B	The Health Science Center at Bro	1	760 Parkside Avenue	Brooklyn	11226	Borough 03	Downstate Biote	A	26,000	0.59688	Downstate Biotechnology Incubator, Phase 3	Yes	n/a	40.6561050	-73.9484080	
Borough of Brooklyn	SU-114-1-B	The Health Science Center at Bro	1	760 Parkside Avenue	Brooklyn	11226	Borough 03	Downstate Biote	A	24,000	0.55096	Downstate Biotechnology Incubator, Phases 1 &2	Yes	n/a	40.6561050	-73.9484080	
Borough of Brooklyn	SU-114-1-B	The Health Science Center at Bro	1	710 Parkside Avenue / 3	Brooklyn	11226	Borough 03	Synthetic Chemi	A	13,000	.0534389	One story building plus mezzanine, with 26 fume hoods	Yes	n/a	40.656012	-73.949477	
Borough of Brooklyn	SU-114-2-B	The City of New York. BioBAT, Inc	2	140 58th Street	Brooklyn	11220	Borough 03	BioBAT at the Br	G	524,000	97	97 acre waterfront gated campus accessible to all NYC re	No	Yes	40.643967	-74.024797	
Borough of Brooklyn	SU-114-2-B	Southwest Brooklyn Industrial De	1	34 35th Street, #4A and	Brooklyn	11232	Borough 03	Industry City	C	19,384	1.822405	Industry City is the largest privately owned industrial cor	No	Yes	40.657049	-74.007717	
Borough of Brooklyn	SU-114-2-B	The City of New York. Brooklyn N	1	63 Flushing Avenue	Brooklyn	11205	Borough 03	Unit 192	C	1,812	0.041597	New Lab, a state-of-the-art manufacturing hub in B	No	Yes	40.698369	-73.975027	

* 1= on campus	** A=entire building
2= 1 mile off campus	B=floor within building
3= State Asset	C=Room within building
	D=land on campus
	E= land off campus
	F=entire building off campus
	G=partial building off campus
	H=state asset

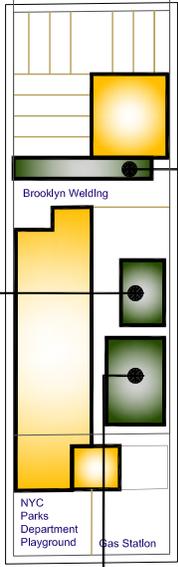
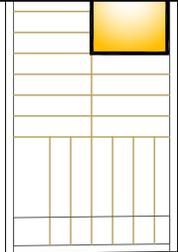
Designated Land or Buildings Unique ID Standard

See Sheet 2: SUNY Unique ID Codes

NYC
San
Dep
Gar

Center

Parkside Avenue



SYNTHETIC CHEMISTRY FACILITY
710-722 PARKSIDE AVENUE
SU-114-1-B-00035-000-A

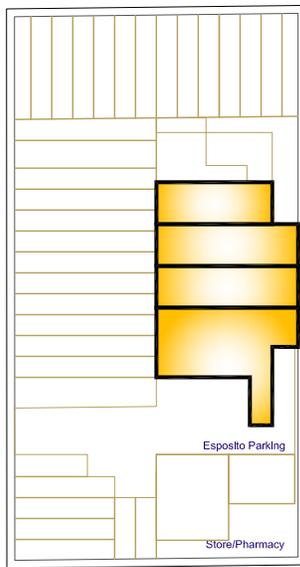
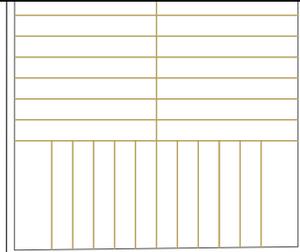
BIOTECH INCUBATOR
780 PARKSIDE AVENUE
SU-114-1-B-00011-000-A

BIOTECH INCUBATOR 2
780 PARKSIDE AVENUE
SU-114-1-B-00012-000-A

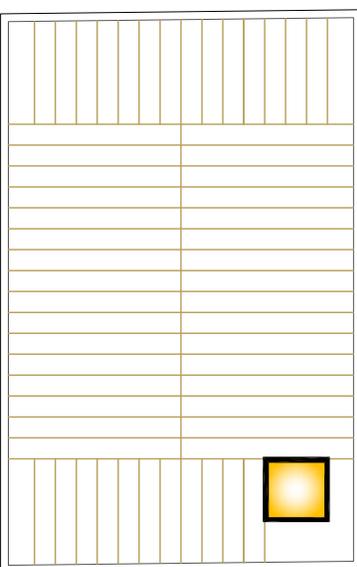
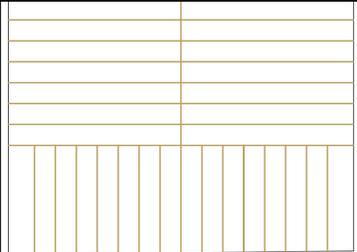


Unique ID SU-114-1-B-00035-000-A
13,000 square feet
Unique ID SU-114-1-B-00011-000-A
24,000 square feet
Unique ID SU-114-1-B-00012-000-A
26,000 square feet

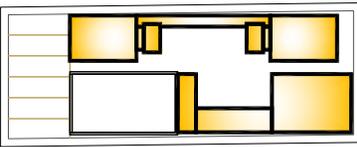
Clarkson Avenue



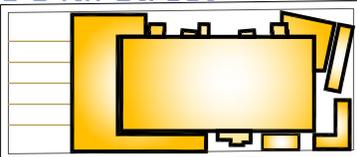
Lenox Road



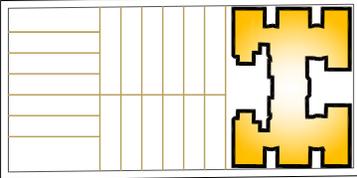
New York Avenue



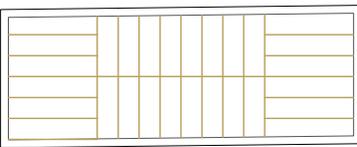
E 34th Street



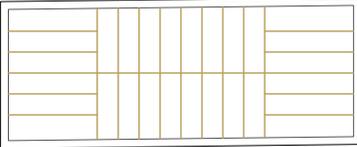
E 35th Street



Brooklyn Avenue



E 37th Street



E 38th Street



Nostrand Avenue

Linden Blvd.

LEGEND



PROPOSED SITES FOR TAX-FREE NY AREA



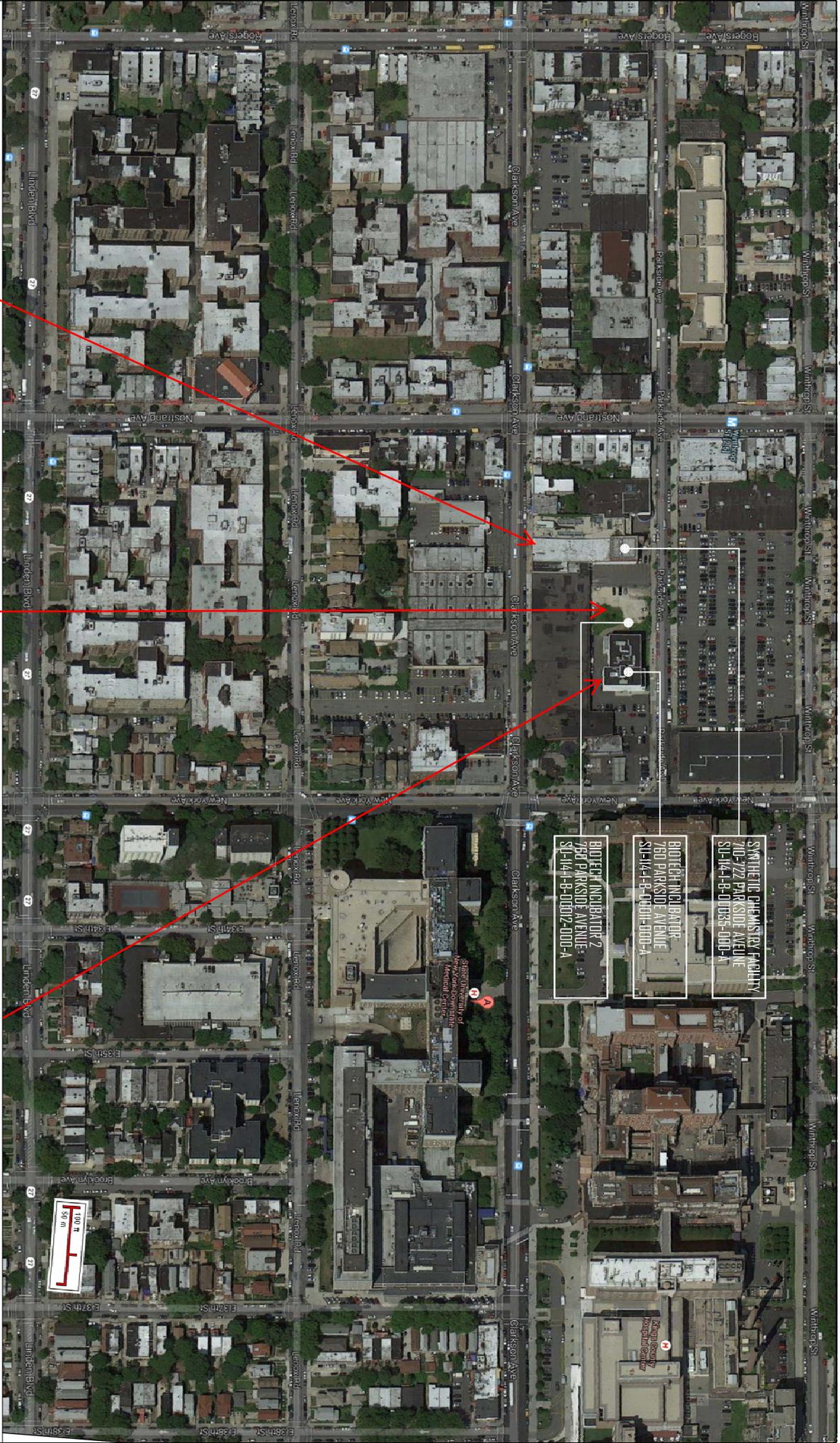
CAMPUS BUILDINGS - HEALTH SCIENCE CENTER AT BROOKLYN



DOWNSTATE MEDICAL CENTER
450 CLARKSON AVE. BOX 13
BROOKLYN, NEW YORK 11203-2008

HEALTH SCIENCE CENTER OF BROOKLYN CAMPUS LOCATION PLAN

HSCB



Unique ID SU-114-1-B-00035-000-A
13,000 square feet

Unique ID SU-114-1-B-00012-000-A
26,000 square feet

Unique ID SU-114-1-B-00011-000-A
24,000 square feet



DOWNSTATE MEDICAL CENTER
450 CLARKSON AVE. BOX 13
BROOKLYN, NEW YORK 11203-2088

HEALTH SCIENCE CENTER OF BROOKLYN AERIAL PHOTO OF CAMPUS

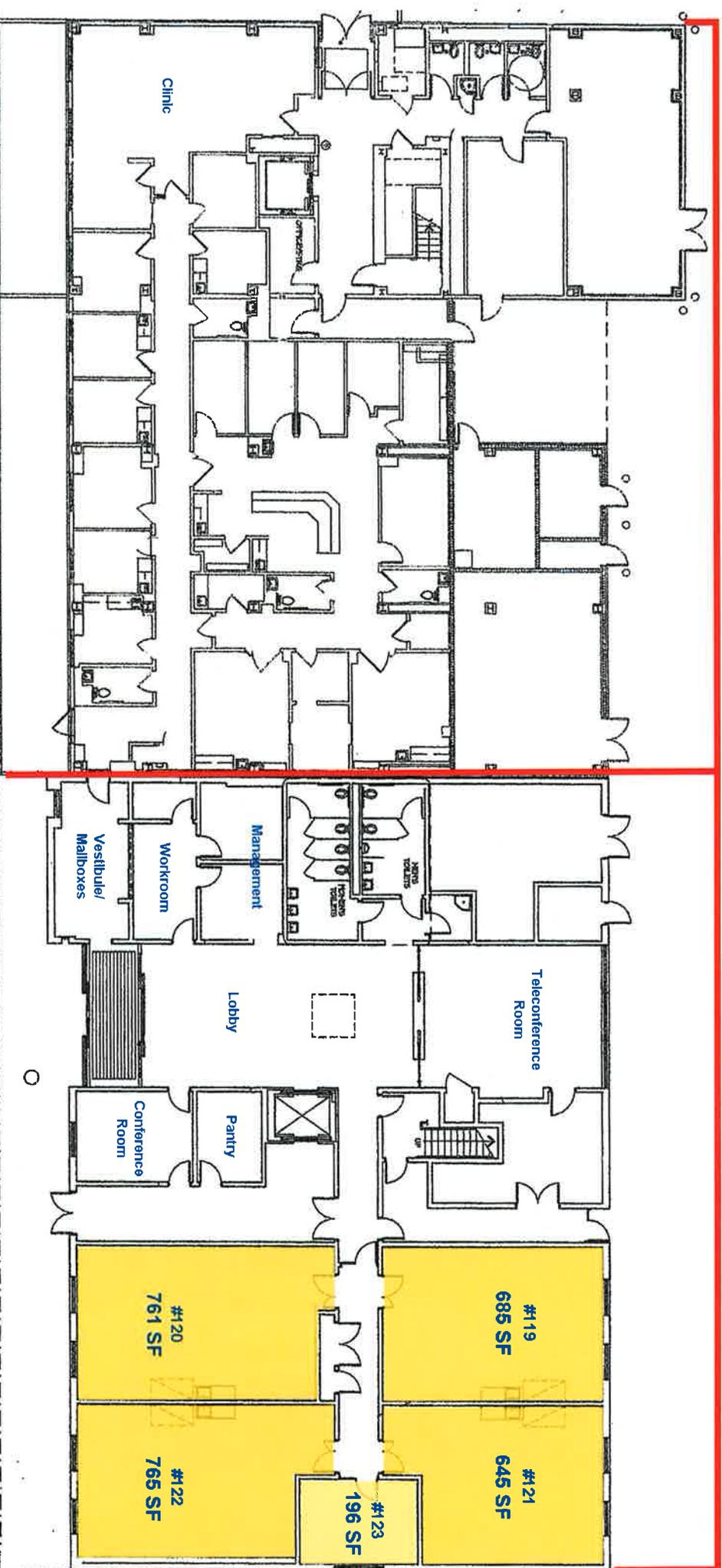
HSCB

DOWNSTATE BIOTECHNOLOGY INCUBATOR

FIRST FLOOR

EXISTING BUILDING

ADDITION



PARKSIDE AVENUE

Unique ID SU-114-1-B-00011-000-A
24,000 square feet

Unique ID SU-114-1-B-00012-000-A
26,000 square feet

DOWNSTATE BIOTECHNOLOGY INCUBATOR

SECOND FLOOR

EXISTING BUILDING

ADDITION



Unique ID SU-114-1-B-00011-000-A
24,000 square feet

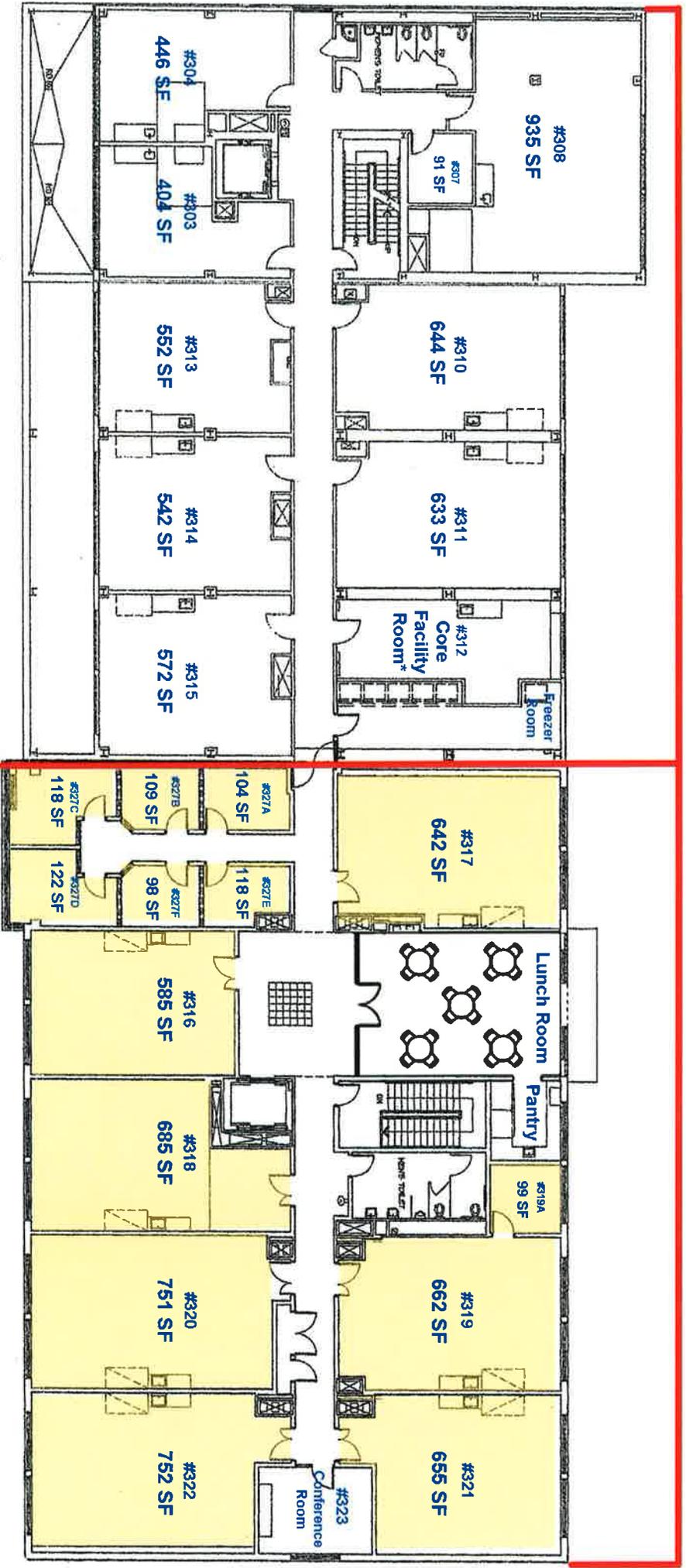
PARKSIDE AVENUE

Unique ID SU-114-1-B-00012-000-A
26,000 square feet

DOWNSTATE BIOTECHNOLOGY INCUBATOR THIRD FLOOR

EXISTING BUILDING

ADDITION



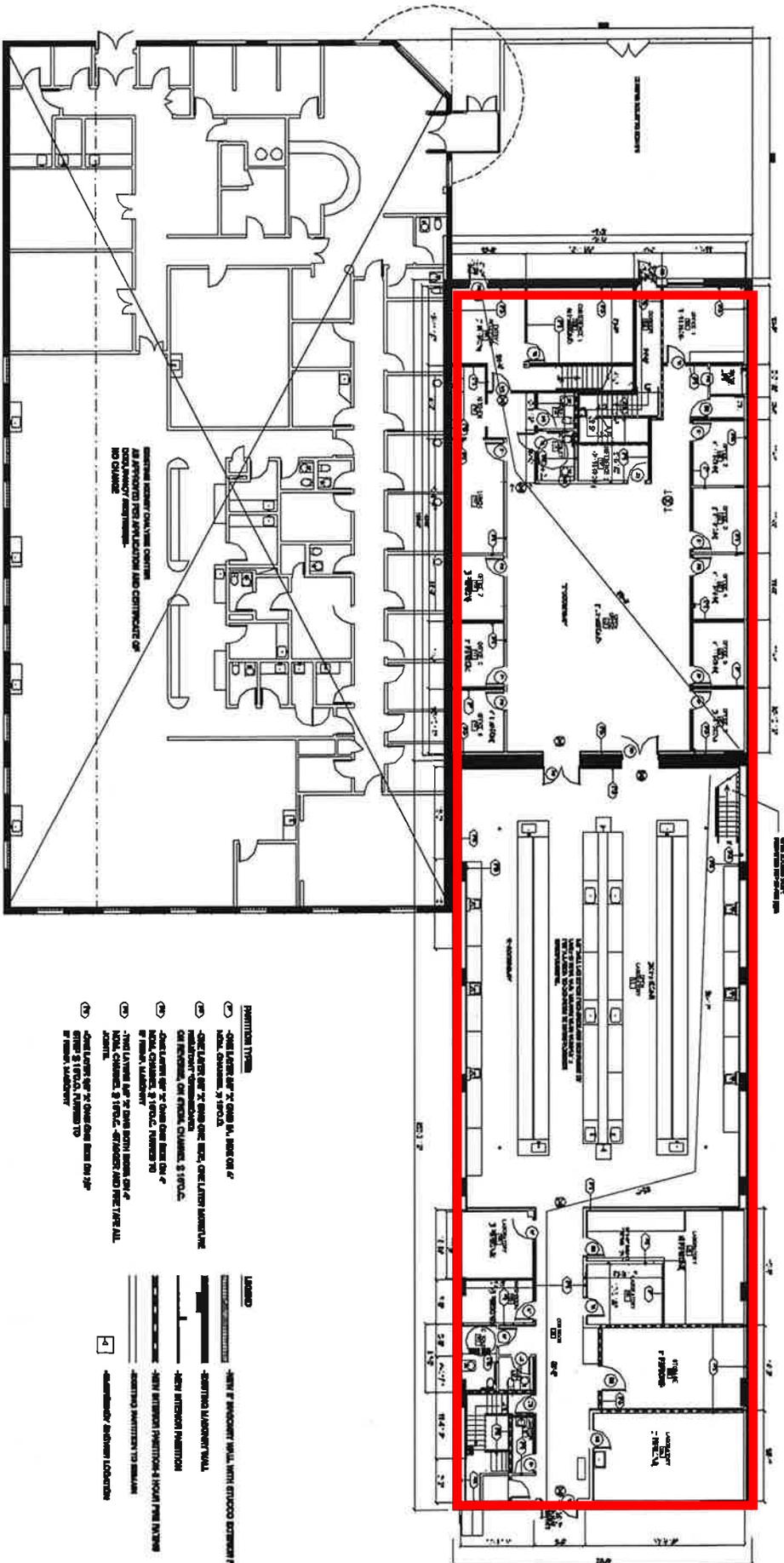
Unique ID SU-114-1-B-00011-000-A
24,000 square feet

PARKSIDE AVENUE

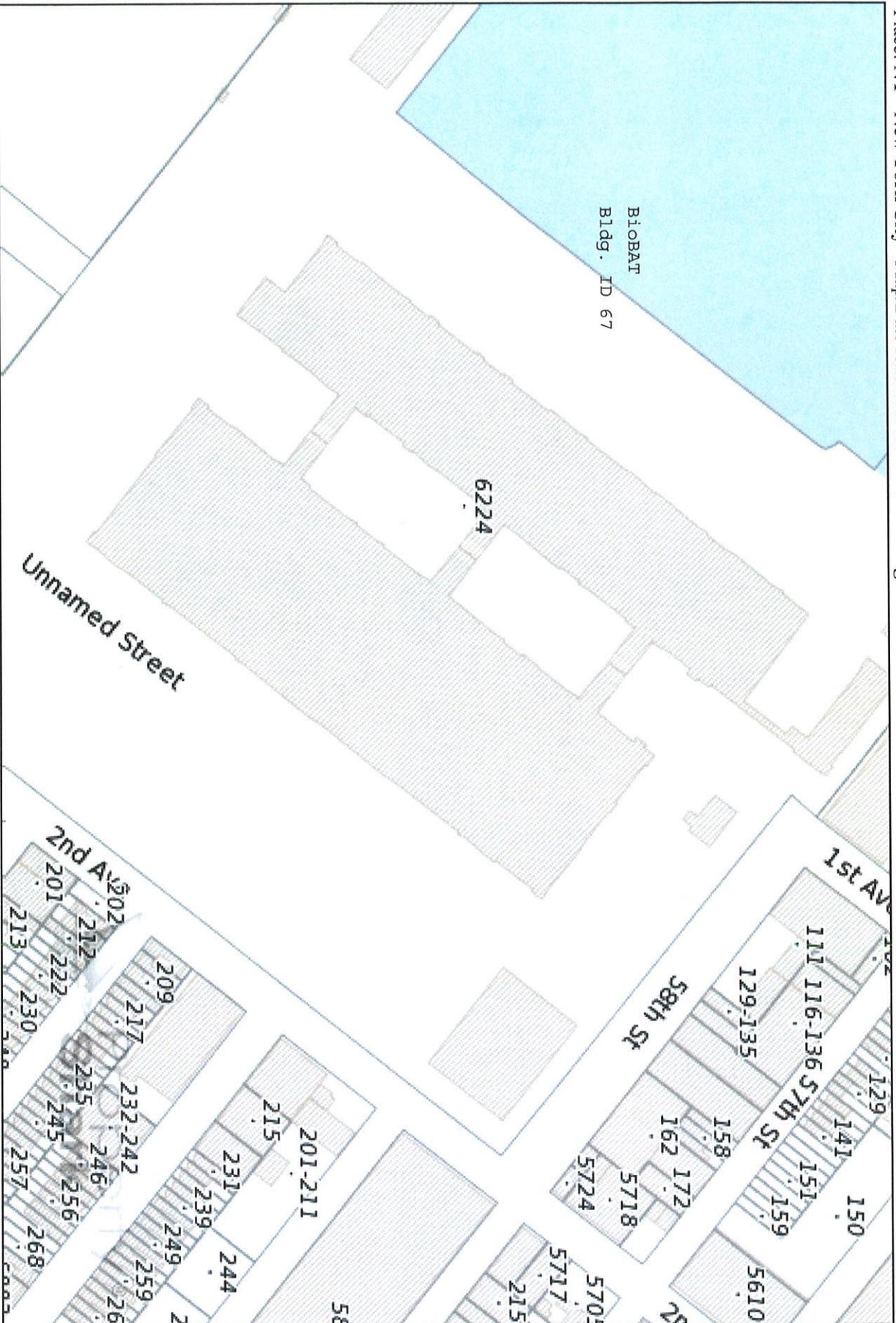
Unique ID SU-114-1-B-00012-000-A
26,000 square feet

* CORE FACILITY ROOM INCLUDES AUTOCLAVE, DEIONIZED WATER, ICE MACHINE AND DISHWASHER

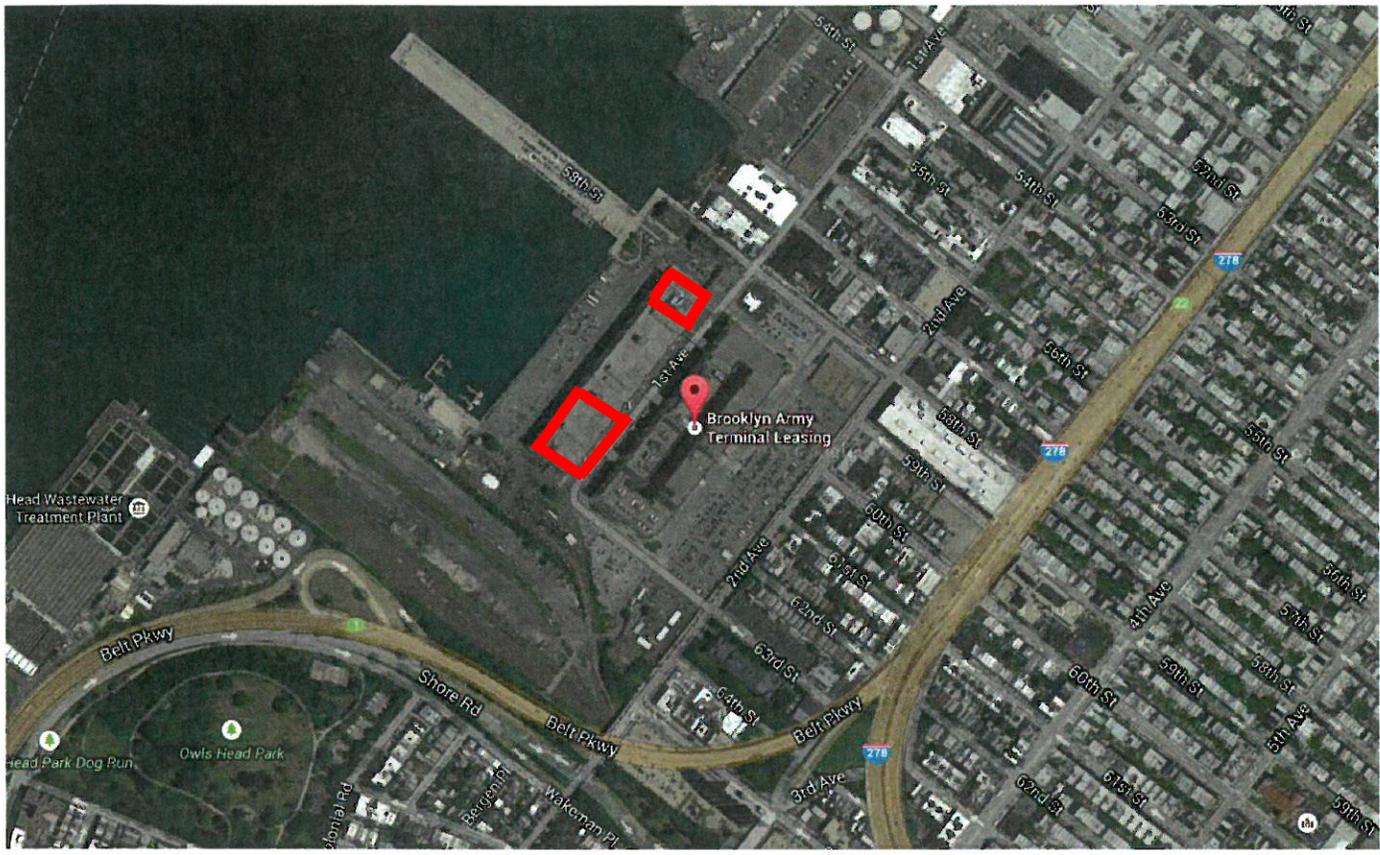
Downstate Synthetic Chemistry Facility



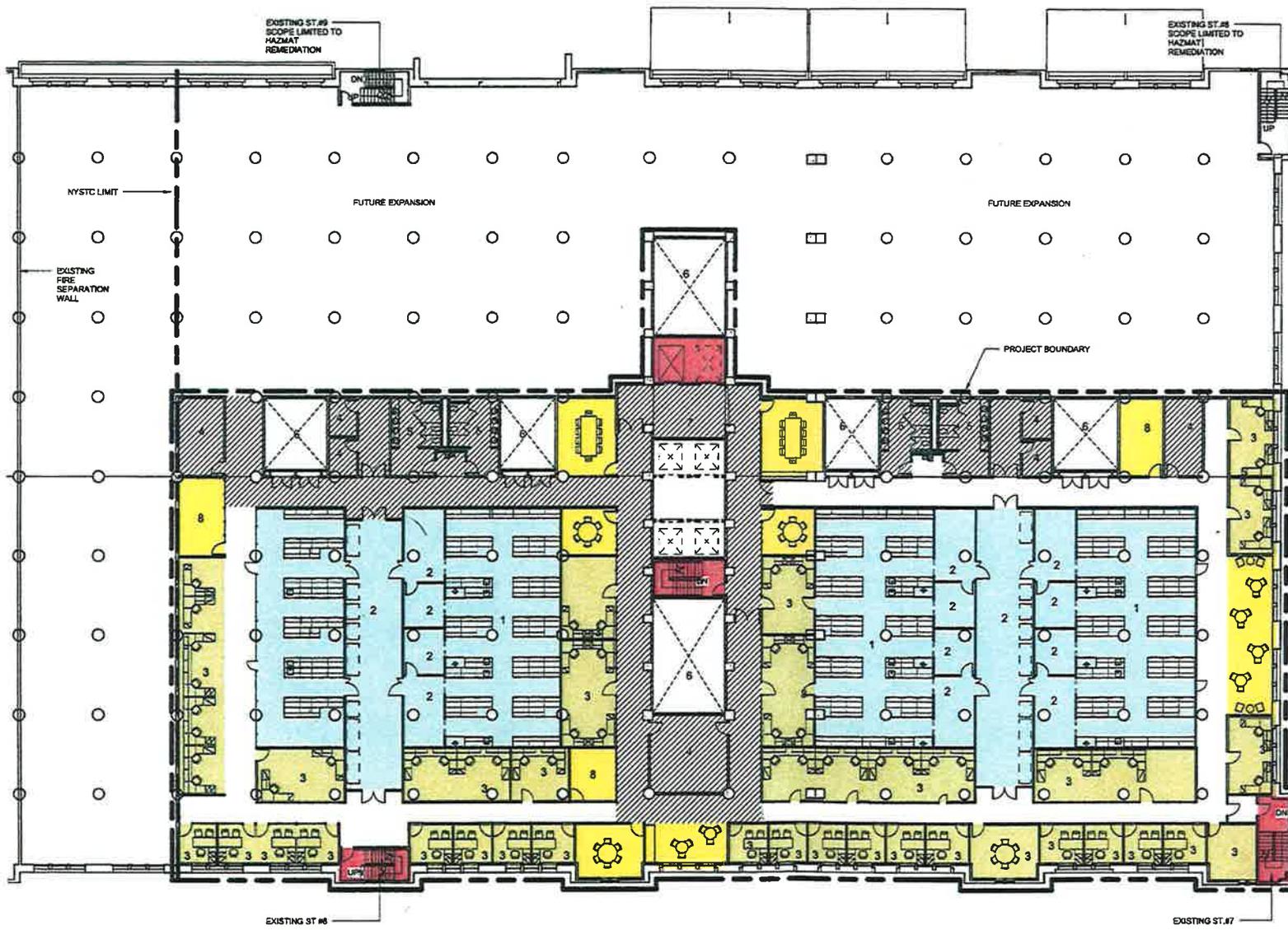
Unique ID SU-114-1-B-00035-000-A
 13,000 square feet



BioBAT (Bldg. ID SU-114-2-B-00067-000A)



The proposed Tax-Free Area at BioBAT is outlined in red. It consists of 38,000 square feet at the north end of Building A and 486,000 square feet in the southern third of Building A for a total of 524,000 square feet. BioBAT is located at 140 58th Street and designated by the unique Building ID SU-114-2-B-00067-000-A on the attached spreadsheet.



- ROOM TYPE
- 1. LAB
 - 2. LAB SUPPORT
 - 3. OFFICE / ADMIN
 - 4. MEP ROOMS
 - 5. TOILETS
 - 6. MEP SHAFT
 - 7. CORE
 - 8. PANTRY
- VERTICAL CIRCULATION
 - OFFICE/ADMIN
 - LAB/ LAB SUPPORT
 - SHARED AREAS
 - COMMON AREAS
 - SHAFT

TYPICAL COLOR FLOOR PLAN - LEVEL 2&3 - FULL BUILD-OUT

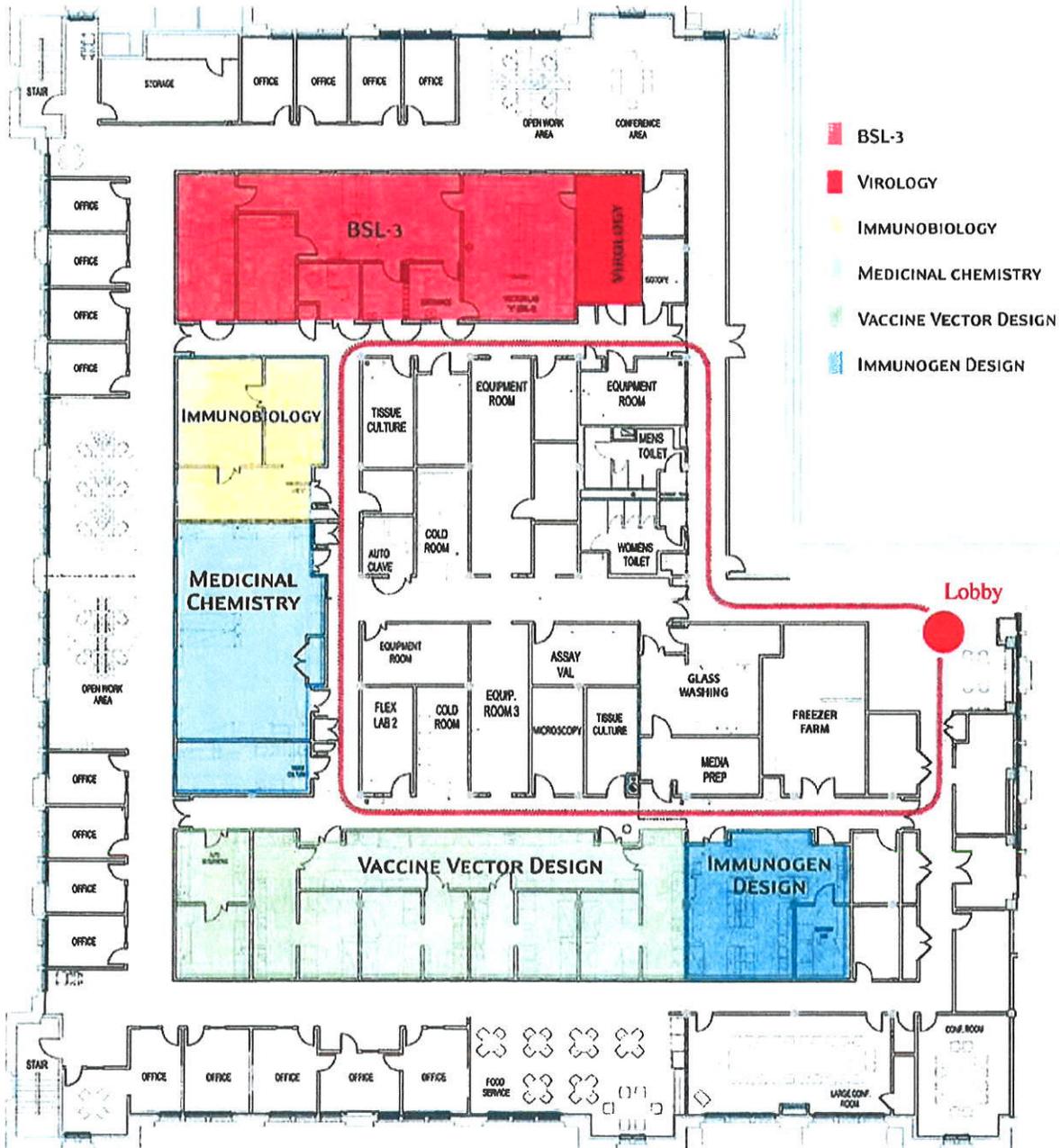
1

1/16" = 1'-0"



Unique ID SU-114-2-B-00067-000-A
486,000 square feet

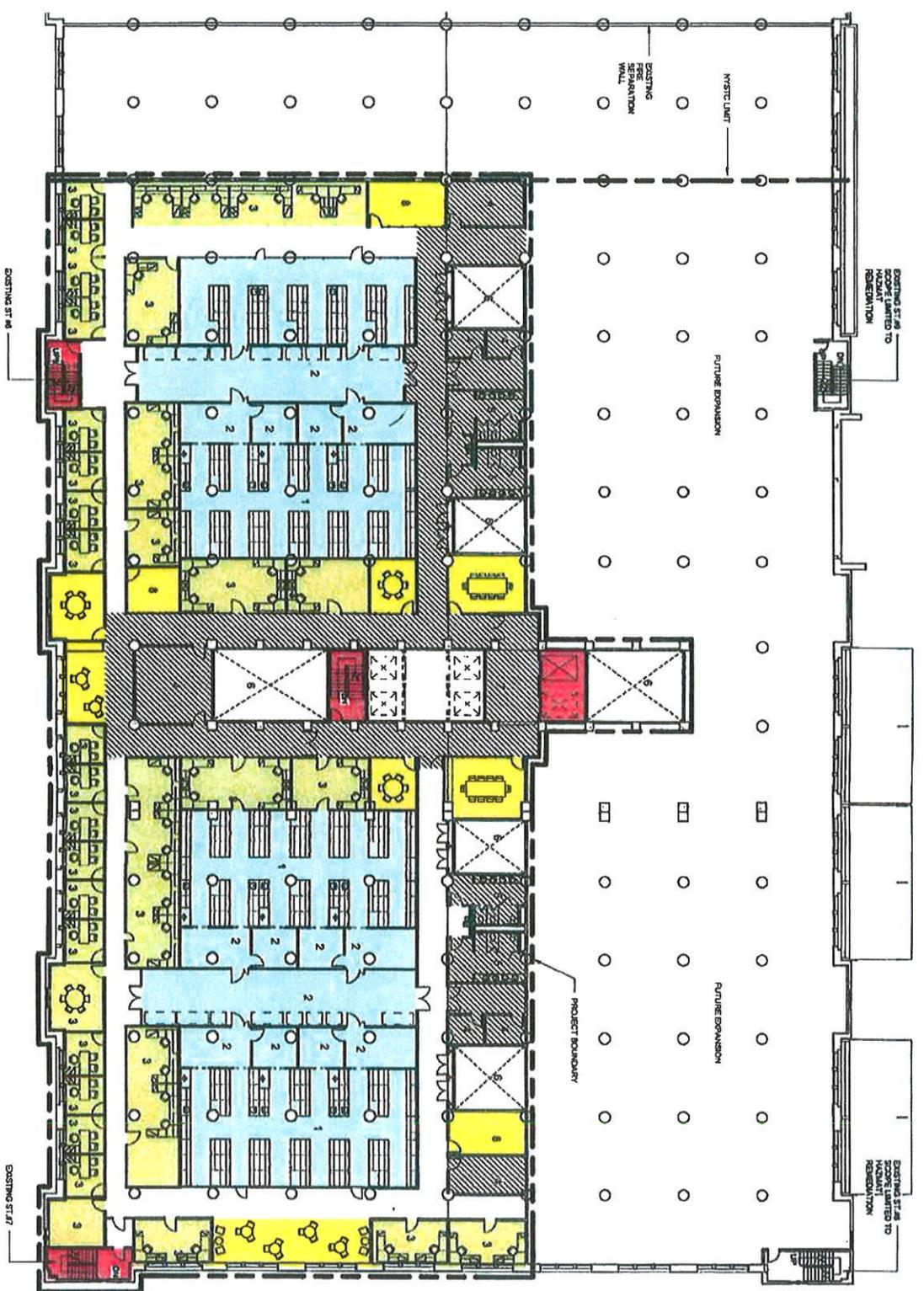
IAVI AIDS Vaccine Design and Development Laboratory



Unique ID SU-114-2-8-00067-000-A
38,000 square feet



IMAGINE A WORLD WITHOUT AIDS



- ROOM TYPE
- 1. LAB
 - 2. LAB SUPPORT
 - 3. OFFICE / ADMIN
 - 4. MEP ROOMS
 - 5. TOILETS
 - 6. MEP SHAFT
 - 7. CORE
 - 8. PANTRY

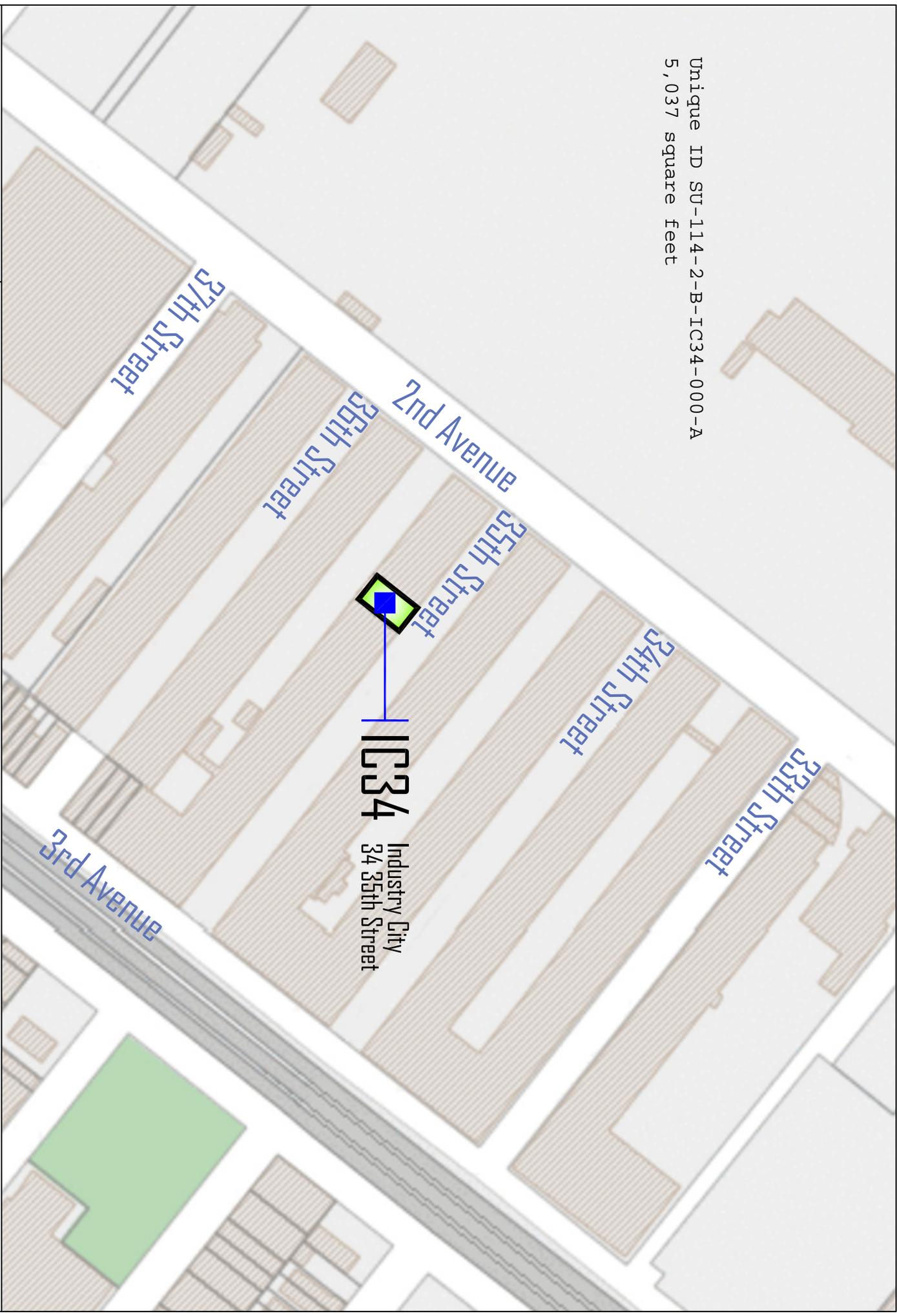
- VERTICAL CIRCULATION
- OFFICE/ADMIN
 - LAB/ LAB SUPPORT
 - SHARED AREAS
 - COMMON AREAS
 - SHAFT

1
 1/8" = 1'-0"
 TYPICAL COLOR FLOOR PLAN - LEVEL 283 -
 FULL BUILD-OUT



Unique ID SU-114-2-8-00067-000-A
 486,000 square feet

Unique ID SU-114-2-B-IC34-000-A
5,037 square feet



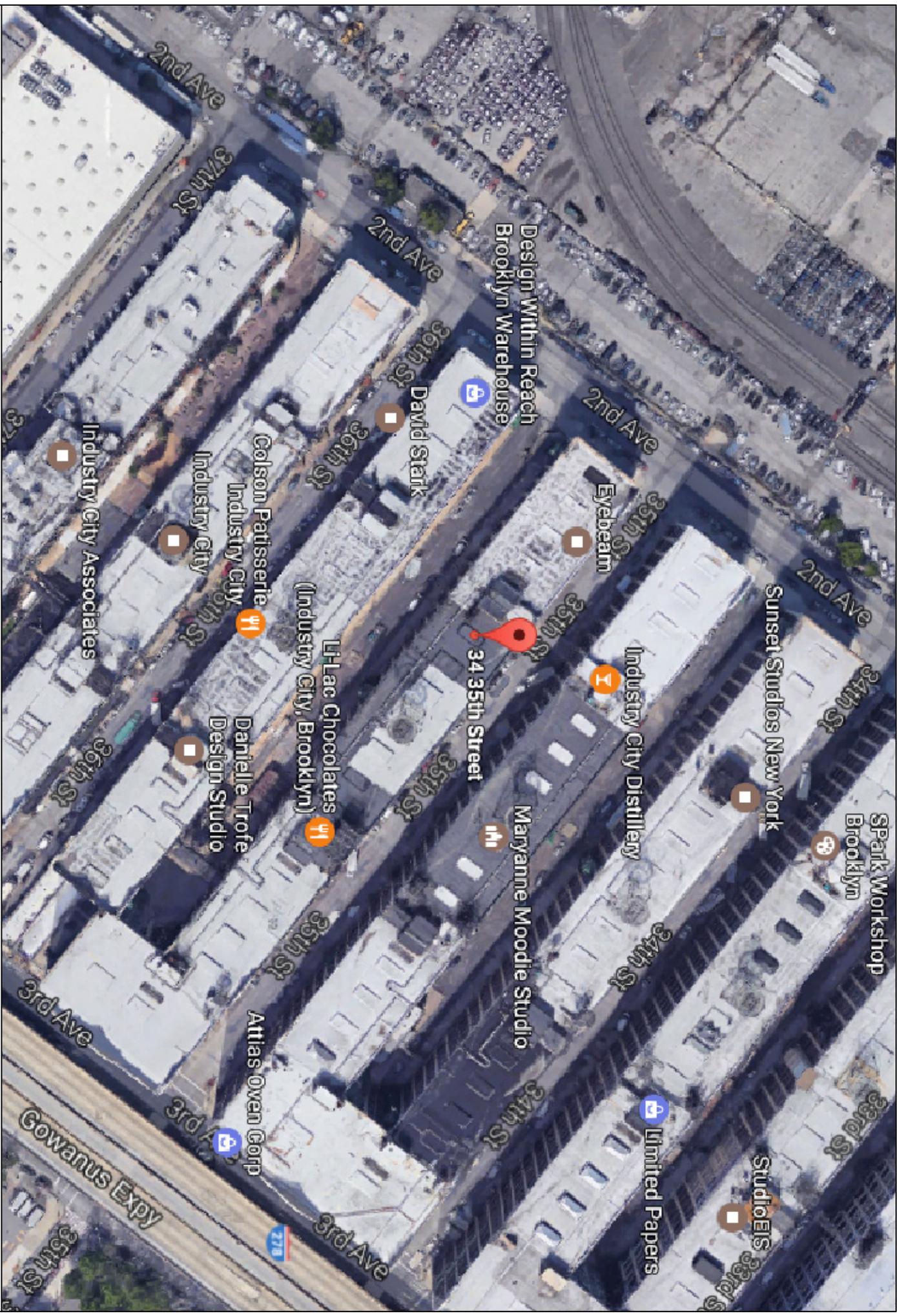
IC34
Industry City
34 35th Street



DOWNSTATE MEDICAL CENTER
450 CLARSON AVE, BOX 13
BROOKLYN, NEW YORK 11203-3098

HEALTH SCIENCE CENTER OF BROOKLYN
Location Plan - 34 35th Street 4th Floor Suite 4-4N

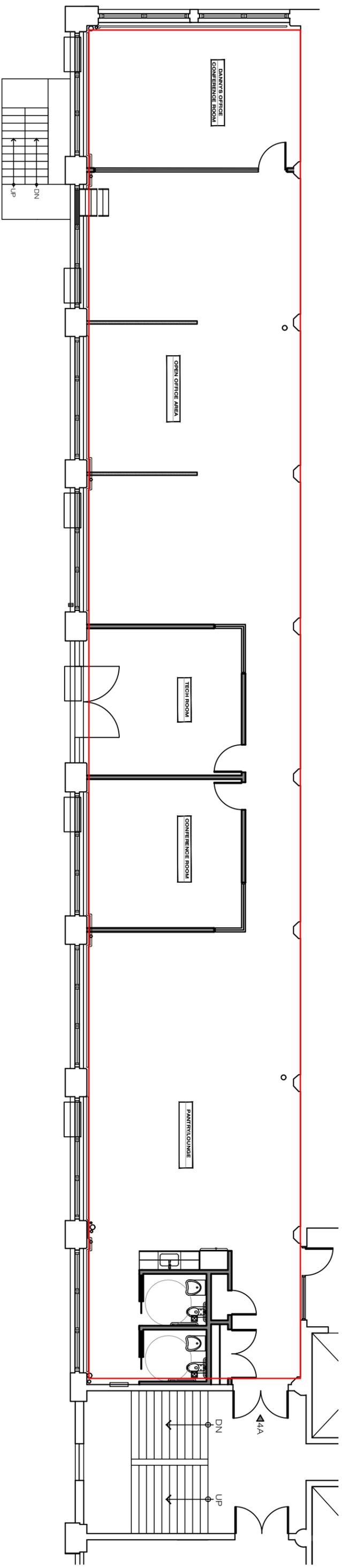
IC34



DOWNSTATE MEDICAL CENTER
450 CLARSON AVE, BOX 13
BROOKLYN, NEW YORK 11203-3098

HEALTH SCIENCE CENTER OF BROOKLYN
Aerial Photo - 34 35th Street 4th Floor Suite 4-4N

IC34



Unique ID SU-114-2-B-IC35-000-A
 5,037 square feet



ARCHITECT

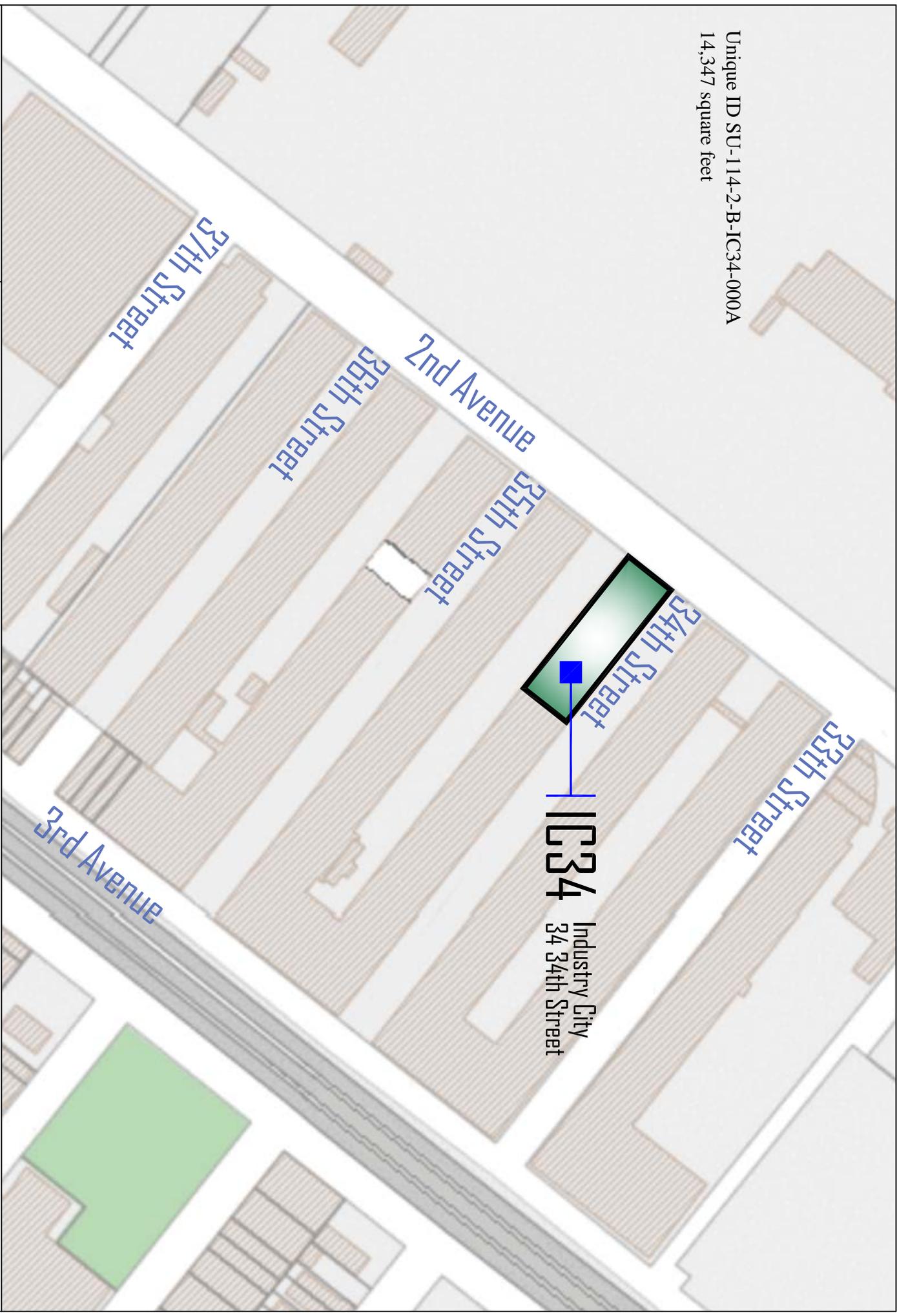
277 Broadway, Suite 1201, New York, NY 10007
 Tel: 212.226.1187 Fax: 212.226.0046

34 35TH STREET, BUILDING #4,
 4TH FLOOR, SOUTH "A"
 BROOKLYN, NY 11232

DATE: **SK-01** 06.02.14

REV:

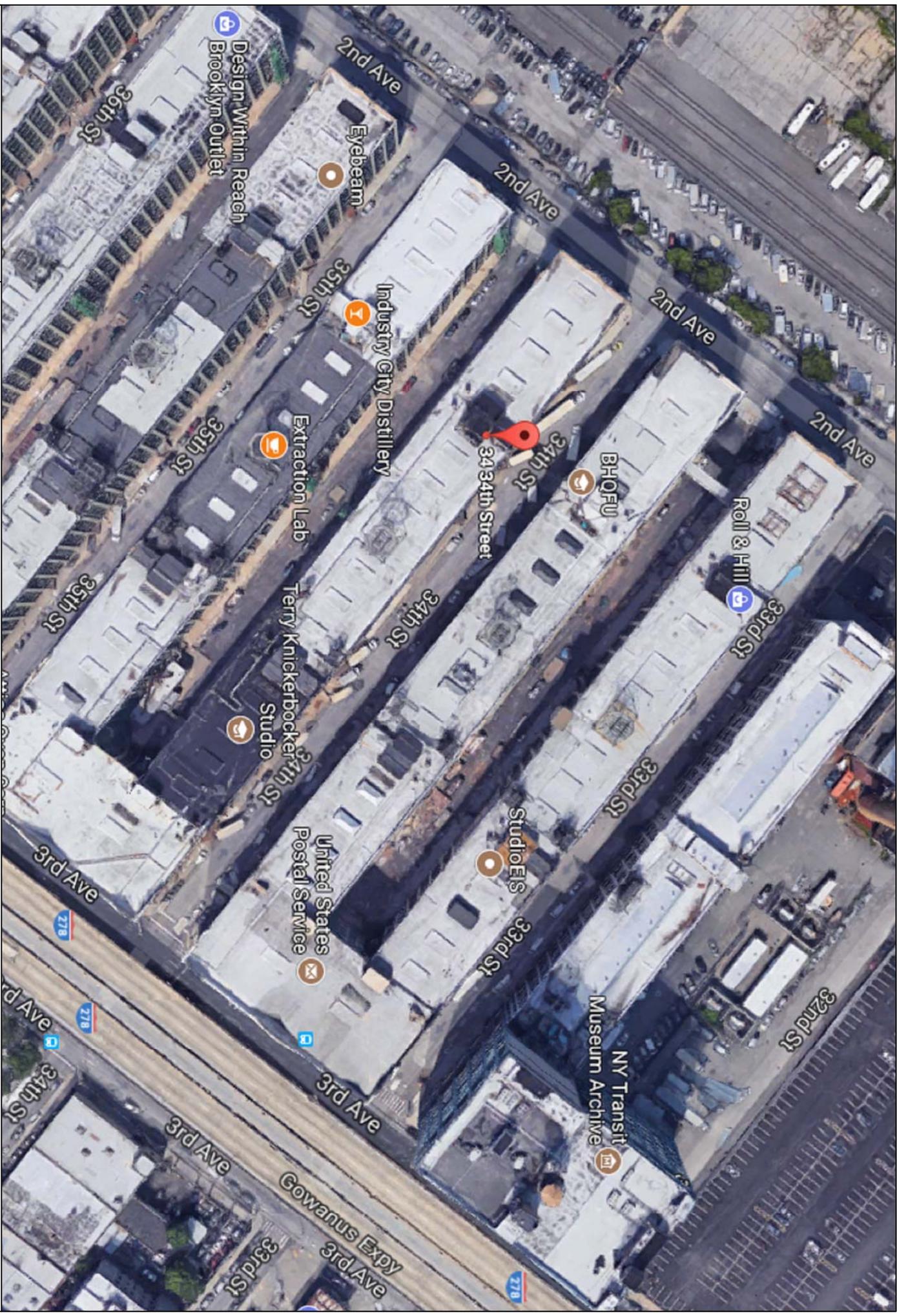
Unique ID SU-114-2-B-IC34-000A
14,347 square feet



DOWNSTATE MEDICAL CENTER
450 CLARKSON AVE, BOX 13
BROOKLYN, NEW YORK 11203-3098

HEALTH SCIENCE CENTER OF BROOKLYN
Location Plan - 34 34th Street

IC34

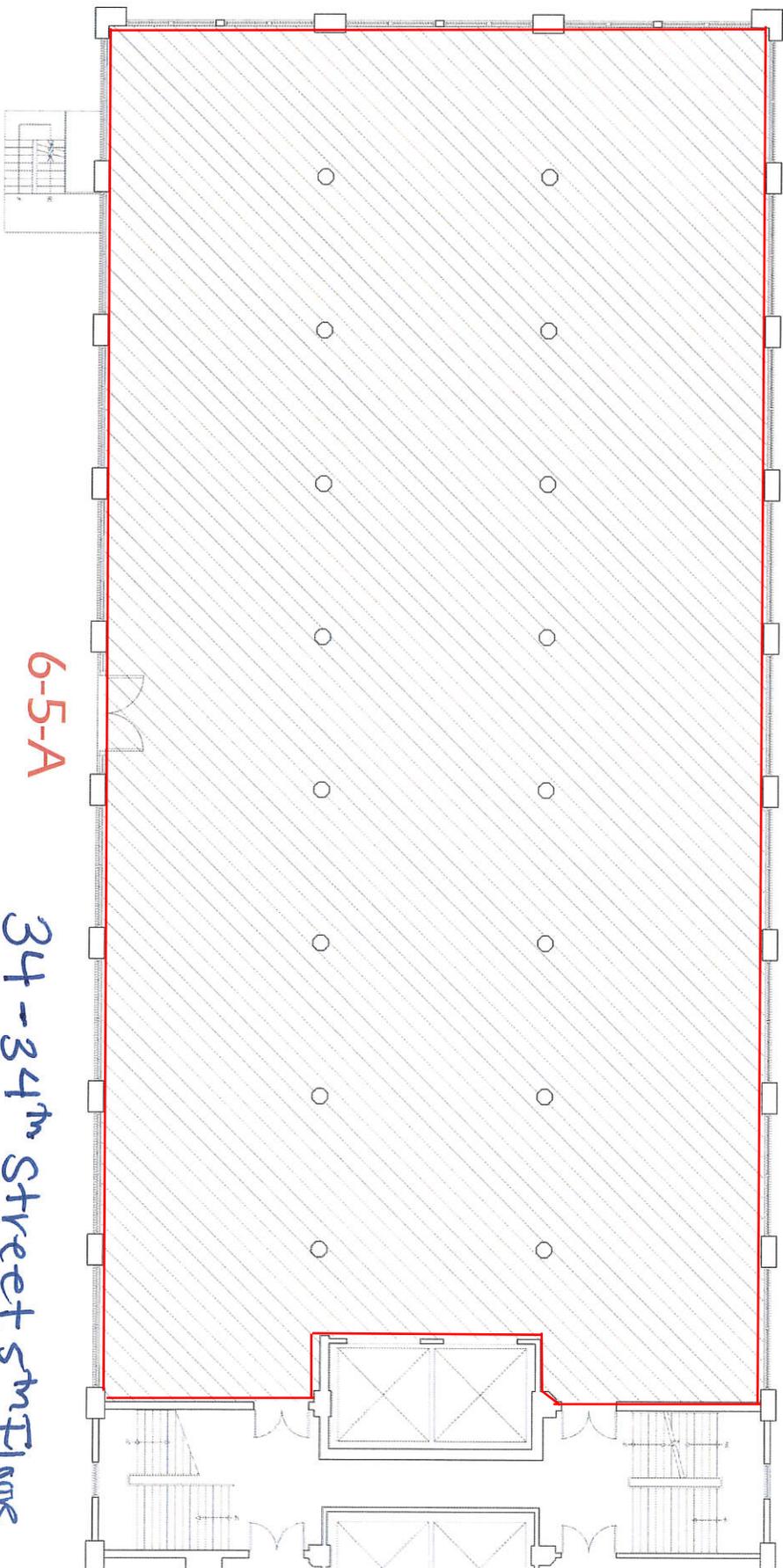


2ND AVENUE

Unique ID SU-114-2-B-IC34-0004
14,347 square feet

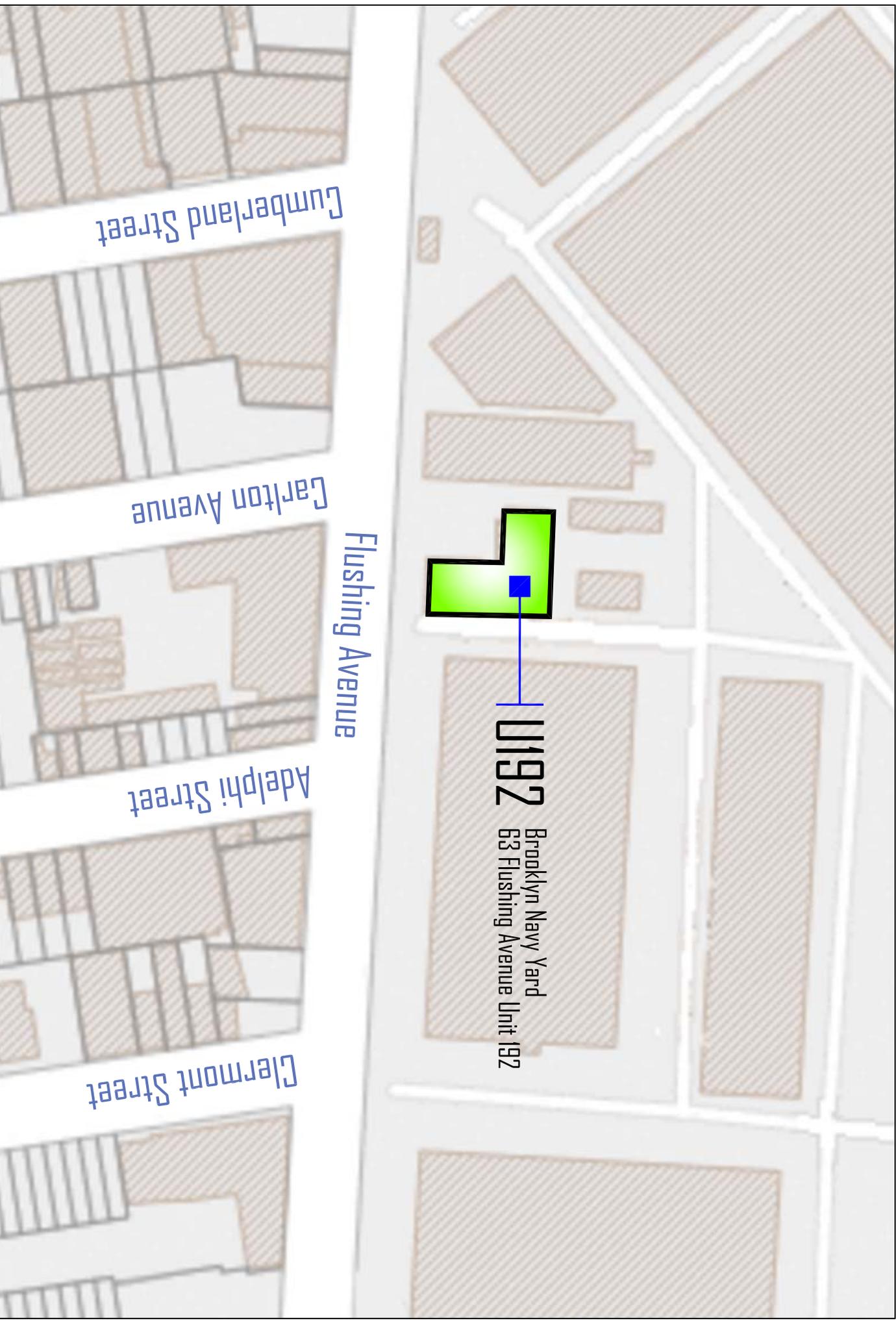
34TH STREET

A SECTION



6-5-A

34-34th Street, 5th Floor
Brooklyn, NY
11232



U192
 Brooklyn Navy Yard
 63 Flushing Avenue Unit 192

Cumberland Street

Carlton Avenue

Flushing Avenue

Adelphi Street

Clermont Street



DOWNSTATE MEDICAL CENTER
 450 CLARKESON AVE, BOX 13
 BROOKLYN, NEW YORK 11203-3098

HEALTH SCIENCE CENTER OF BROOKLYN
 63 Flushing Avenue, Unit 192

U192

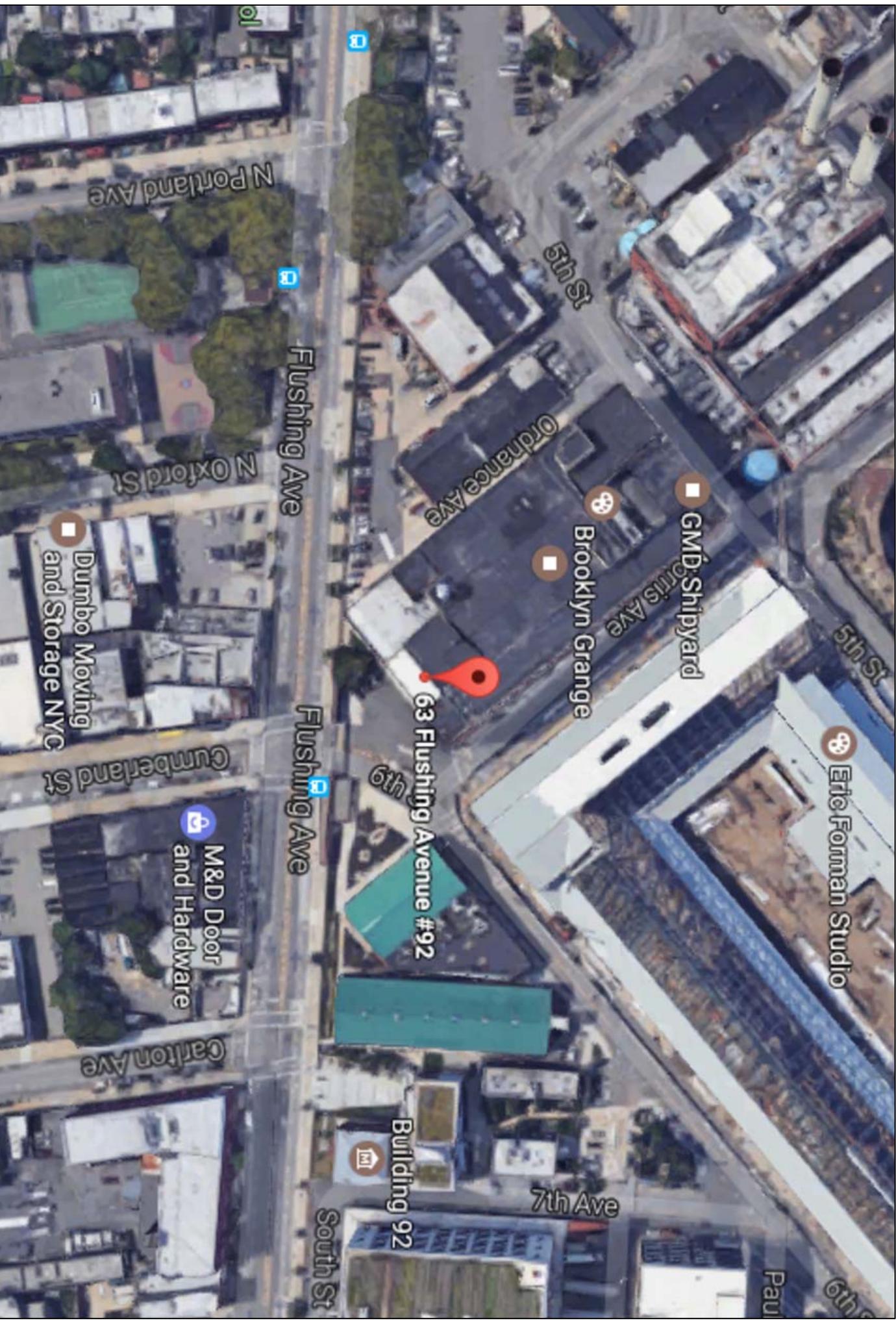


Unique ID SU-114-2-B-U192-000-A
 1,812 square feet

FILENAME: c:\sunny_project\manager\projects\000-campus_wide\17-044_start+up\start-up_new_york_04-19-17.dwg

Revised:

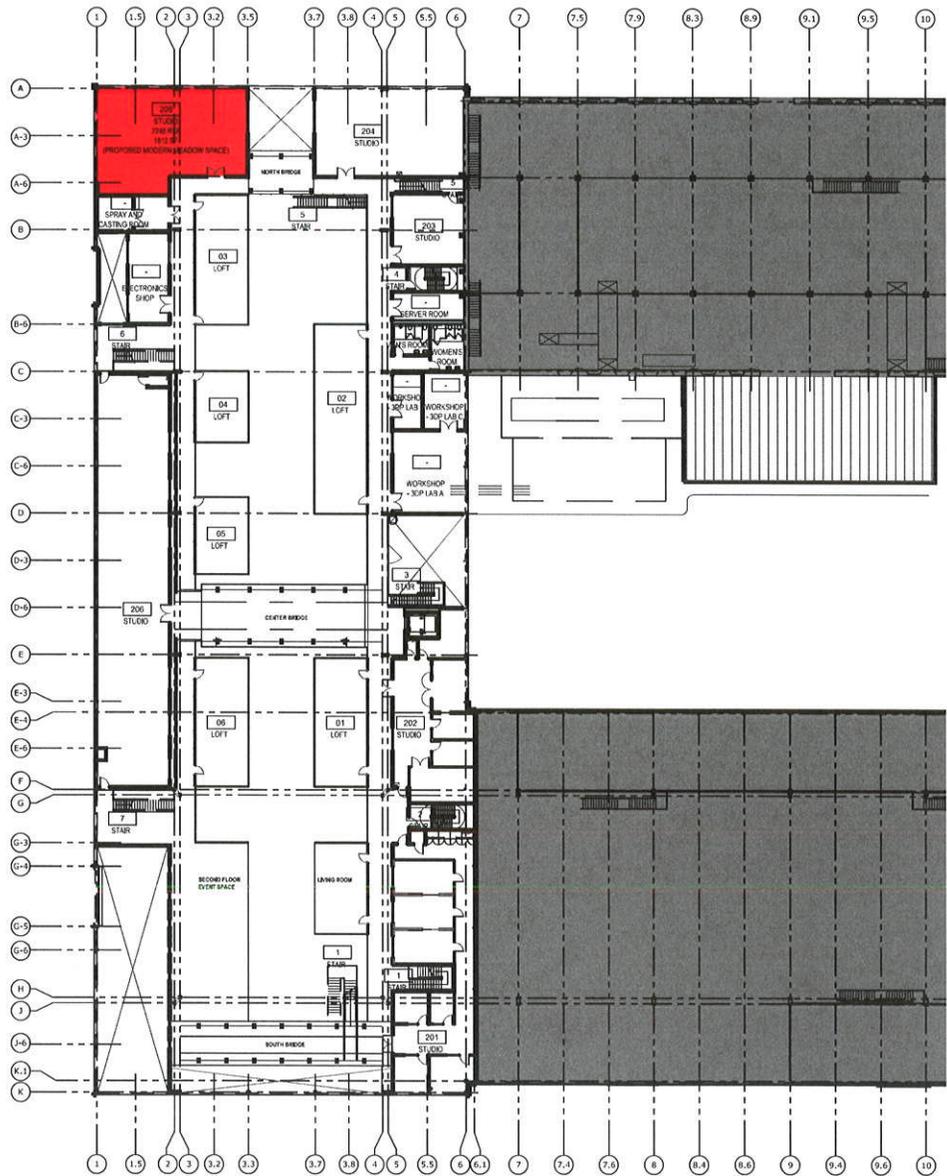
04/19/17



DOWNSTATE MEDICAL CENTER
 450 CLARKESON AVE, BOX 13
 BROOKLYN, NEW YORK 11203-3098

HEALTH SCIENCE CENTER OF BROOKLYN
 63 Flushing Avenue, Unit 192

U192



NEW LAB - SECOND FLOOR PLAN

scale: $\frac{1}{64}'' = 1'-0''$

Unique ID SU-114-2-8-U192-000-A
1,812 square feet



Public Officers Law §74

Code of Ethics.

1. Definition.

As used in this section: The term "**state agency**" shall mean any state department, or division, board, commission, or bureau of any state department or any public benefit corporation or public authority at least one of whose members is appointed by the governor or corporations closely affiliated with specific state agencies as defined by paragraph (d) of subdivision five of section fifty-three-a of the state finance law or their successors.

The term "**legislative employee**" shall mean any officer or employee of the legislature but it shall not include members of the legislature.

2. Rule with respect to conflicts of interest.

No officer or employee of a state agency, member of the legislature or legislative employee should have any interest, financial or otherwise, direct or indirect, or engage in any business or transaction or professional activity or incur any obligation of any nature, which is in substantial conflict with the proper discharge of his or her duties in the public interest.

3. Standards.

- a. No officer or employee of a state agency, member of the legislature or legislative employee should accept other employment which will impair his or her independence of judgment in the exercise of his or her official duties.
- b. No officer or employee of a state agency, member of the legislature or legislative employee should accept employment or engage in any business or professional activity which will require him or her to disclose confidential information which he or she has gained by reason of his or her official position or authority.
- c. No officer or employee of a state agency, member of the legislature or legislative employee should disclose confidential information acquired by him or her in the course of his or her official duties nor use such information to further his or her personal interests.
- d. No officer or employee of a state agency, member of the legislature or legislative employee should use or attempt to use his or her official position to secure unwarranted privileges or exemptions for himself or herself or others, including but not limited to, the misappropriation to himself, herself or to others of the property, services or other resources of the state for private business or other compensated non-governmental purposes.

- e. No officer or employee of a state agency, member of the legislature or legislative employee should engage in any transaction as representative or agent of the state with any business entity in which he or she has a direct or indirect financial interest that might reasonably tend to conflict with the proper discharge of his or her official duties.
 - f. An officer or employee of a state agency, member of the legislature or legislative employee should not by his or her conduct give reasonable basis for the impression that any person can improperly influence him or her or unduly enjoy his or her favor in the performance of his or her official duties, or that he or she is affected by the kinship, rank, position or influence of any party or person.
 - g. An officer or employee of a state agency should abstain from making personnel investments in enterprises which he or she has reason to believe may be directly involved in decisions to be made by him or her or which will otherwise create substantial conflict between his or her duty in the public interest and his or her private interest.
 - h. An officer or employee of a state agency, member of the legislature or legislative employee should endeavor to pursue a course of conduct which will not raise suspicion among the public that he or she is likely to be engaged in acts that are in violation of his or her trust.
 - i. No officer or employee of a state agency employed on a full-time basis nor any firm or association of which such an officer or employee is a member nor corporation a substantial portion of the stock of which is owned or controlled directly or indirectly by such officer or employee, should sell goods or services to any person, firm, corporation or association which is licensed or whose rates are fixed by the state agency in which such officer or employee serves or is employed.
4. Violations.

In addition to any penalty contained in any other provision of law any such officer, member or employee who shall knowingly and intentionally violate any of the provisions of this section may be fined, suspended or removed from office or employment in the manner provided by law. Any such individual who knowingly and intentionally violates the provisions of paragraph b, c, d or i of subdivision three of this section shall be subject to a civil penalty in an amount not to exceed ten thousand dollars and the value of any gift, compensation or benefit received as a result of such violation. Any such individual who knowingly and intentionally violates the provisions of paragraph a, e or g of subdivision three of this section shall be subject to a civil penalty in an amount not to exceed the value of any gift, compensation or benefit received as a result of such violation.



Category:
HR / Labor Relations
Legal and Compliance

Responsible Office:
[University Counsel](#)

Policy Title:

Conflict of Interest

Document Number:
6001

Effective Date:
October 01, 1995

This policy item applies to:
State-Operated Campuses

Table of Contents

- [Summary](#)
- [Policy](#)
- [Definitions](#)
- [Other Related Information](#)
- [Procedures](#)
- [Forms](#)
- [Authority](#)
- [History](#)
- [Appendices](#)

Summary

Faculty and staff of the State University of New York (University) are encouraged to foster an atmosphere of academic freedom by promoting the open and timely exchange of scholarly knowledge independent of personal interests and are required to avoid conflicts of interest. Where potential or actual conflicts exist, faculty and staff are expected to consult with appropriate University officers and abide by University policy. This policy represents a restatement of existing University policy and pertinent state and federal law and regulations.

Policy

Faculty and staff of the State University of New York (University) are encouraged to foster an atmosphere of academic freedom by promoting the open and timely exchange of scholarly knowledge independent of personal interests. In keeping with this obligation, they are also required to avoid conflicts of interest.

In instances where potential or actual conflicts exist, faculty and staff are expected to consult with appropriate University officers and abide by this University policy. It is the responsibility of campus officials charged with implementing this policy to identify potential or actual conflicts of interest and take appropriate steps to manage, reduce, or eliminate them.

This policy represents a restatement of existing University policy and pertinent state and federal law and regulations.

1. University faculty and staff may not engage in other employment which interferes with the performance of their professional obligation.
2. University faculty and staff are expected to comply with the New York State Public Officers Law provisions on conflict of interest and ethical conduct.
3. University faculty and staff, to the extent required by law or regulation, shall disclose at minimum whether they (and their spouses and dependent children) have employment or financial interests or hold significant offices, in external organizations that may affect, or appear to affect, the discharge of

professional obligations to the University.

4. University campuses shall ensure that all faculty and staff subject to pertinent laws and regulations disclose financial interests in accordance with procedures to be established by the Chancellor or designee. Campuses shall retain the reported information as required, identify actual or apparent conflicts of interest and seek resolution of such conflicts.

5. Each campus president shall submit to the chancellor's designee the name and title of the person or persons designated as financial disclosure designee(s) and shall further notify the chancellor's designee when a change in that assignment occurs. The chancellor's designee shall also be notified of any reports regarding conflict of interest that are forwarded to state or federal agencies.

Definitions

Conflict of interest — any interest, financial or otherwise, direct or indirect; participation in any business, transaction or professional activity; or incurring of any obligation of any nature, which is or appears to be in substantial conflict with the proper discharge of an employee's duties in the 'public interest. A conflict of interest is also any financial interest that will, or may be reasonably expected to, bias the design, conduct or reporting of sponsored research.

Other Related Information

[Outside Activities of University Policy Makers](#)

[Ethics in State Government - A Guide for New York State Employees](#)

[National Science Foundation, Grant Policy Manual](#)

Procedures

There are no procedures relevant to this policy.

Forms

There are no forms relevant to this policy.

Authority

[42 CFR 50, Subpart F](#)

The following link to FindLaw's [New York State Laws](#) is provided for users' convenience; it is not the official site for the State of New York laws.

[NYS Public Officers Law, Section 73-a, and 73 and 74](#)

In case of questions, readers are advised to refer to the New York State Legislature site for the menu of [New York State Consolidated](#).

[Board of Trustees Policies - Appointment of Employees \(8 NYCRR Part 335\)](#)

State University of New York Board of Trustees Resolution adopted June 27, 1995

History

Memorandum to presidents from the office of the University provost, dated June 30, 1995 regarding revision to University conflict of interest policy to bring it in conformity with federal guidelines issued by the National Science Foundation and the Public Health Service.

Appendices

There are no appendices relevant to this policy.



Conflict of Interest Policy

Effective Date:	March 15, 2013
Supersedes:	Conflicts of Interest Policy pursuant to 95-5 Resolution and the Procedure of Investigating Conflict of Interest Policy
Policy Review Date:	February 2016
Issuing Authority:	Research Foundation President
Responsible Party:	Chief Compliance Officer
Contact Information:	(518) 434-7145 rcompliance@rfsuny.org

Reason for Policy

As Research Foundation Board Members, Officers, and Employees, we hold positions of trust and must act in the best interests of the Research Foundation. We must avoid any activity that impairs or would reasonably appear to impair the ability to perform our duties with independence and objectivity. A conflict of interest arises if our personal relationships, activities, or finances interfere, or appear to interfere, with our ability to act in the best interests of the Research Foundation.

Research Foundation Board Members, Officers, and Employees must incorporate, where necessary and possible, the following rules into their services on behalf of the Research Foundation. Research Foundation Officers and Employees must adhere to the standards outlined in the New York State Public Officers Law Section [74](#). This policy incorporates the key standards outlined in Section [74](#).

Statement of Policy

Research Foundation Board Members, Officers, and Employees may not have any interest or engage in any outside activity which results in an unmanaged conflict of interest. To this end, Board Members, Officers, and Employees must disclose their interests and outside activities, and those of a Related Party, which may affect their ability to perform their duties with independence and objectivity. A conflict of interest must be managed so the conflict is reduced or eliminated, and compliance with conflict of interest management plans should be monitored where necessary.

Prohibited Conflicts of Interest

A conflict of interest exists if you or a Related Party has a Financial or Other Interest that will or may reasonably be expected to:

- substantially conflict with the proper discharge of your duties in the Research Foundation's best interests;
- result in the disclosure of the Research Foundation's information that you have gained by reason of your position or authority; or
- impair your ability to exercise independent judgment in the performance of your duties and responsibilities.

Conflicts of Interest Posed By Outside Employment, Investments, or Other Business Activities

As a Research Foundation Board Member, Officer or Employee, you must not make personal investments in enterprises that you have reason to believe may be directly involved in decisions to be made by you or will otherwise create substantial conflict between your duty on behalf of the Research Foundation and your private interest.

If you or a Related Party has a Financial or Other Interest in any business entity, you may not represent the Research Foundation in any transaction with that entity and must disclose the interest in accordance with this policy and the Procedure for Managing Conflicts of Interest.

Procurement of goods or services by the Research Foundation shall be conducted consistent with the Foundation's established procurement policy.

You may not accept employment or engage in any business or professional activity that will impair the independence of your judgment in the exercise of your duties for the Research Foundation or require you to disclose confidential information that you gained by reason of your affiliation with the Research Foundation.

Prohibition Against Disclosure or Use of Confidential Material for Personal Gain

The Research Foundation prohibits disclosure of information that is confidential to the Research Foundation, acquired by any Board Member, Officer, or Employee in the course of his/her duties, except as required by law or as expressly authorized in writing by an Officer or other designated representative of the Research Foundation.

Board Members, Officers, and Employees may only use such confidential information in furtherance of their duties as a representative of the Research Foundation and shall not use such confidential information to further their personal interests or that of a Related Party.

You must not accept employment or engage in any business or professional activity that will require you to disclose confidential information that you gained by reason of your official position or affiliation with the Research Foundation.

Use of Research Foundation or State Resources

You may not misappropriate the property, services or other resources of the Research Foundation, SUNY, or others, whether for yourself or someone else.

Avoiding the Appearance of Impropriety

Board Members, Officers, and Employees shall not, by their conduct, give reasonable basis for the impression that any person can improperly influence them or unduly enjoy their favor in performance of their duties, or that they are affected by the kinship, rank, position, or influence of any party or person.

You may not use, or attempt to use, your position to secure unwarranted privileges or exemptions for yourself or others.

Similarly, bribery, extortion, and other attempts to exert undue influence are strictly prohibited. The Research Foundation expects Research Foundation Board Members, Officers, and Employees to avoid any conduct that may give the appearance of engaging in acts that are in violation of their trust.

Disclosing a Real, Apparent, or Potential Conflict of Interest

Board Members, Officers, and Employees must disclose all real, apparent, or potential conflicts of interest for review as described below. At an Operating Location, those disclosures should be made to the operations manager or his/her designee in accordance with this policy and the Procedure for Managing Conflicts of Interest.

Disclosures are required in three instances:

1. **Annual Disclosures By Research Foundation Board Members, Officers, and Key Employees.** Board Members, Officers, and Key Employees must report Direct or Indirect Financial or other Interests that pose or may pose a real, apparent, or potential conflict of interest on an annual basis. These disclosures must be updated both annually and as new reportable interests are obtained or as new reportable activities occur.
2. **Grant-Related Disclosures.** Principal investigators must follow the policy at their respective campus locations.
3. **Situational Disclosures.** Board Members, Officers, and Employees must report any Direct or Indirect Financial Interest or other activity that may pose a conflict of interest under this policy. Such situational disclosures must be made as soon as practicable after the individual learns of the potential conflict.

When a disclosure is made under this policy, the actual, apparent, or potential conflict of interest will be reviewed pursuant to Procedure for Managing Conflicts of Interest. If a conflict of interest is found to exist, the Research Foundation must take steps to manage, reduce, or eliminate the conflict of interest. Individuals may appeal determinations with which they disagree. Please consult the Procedure for Managing Conflicts of Interest for more information.

Violation

In addition to any penalty contained in any provision of law or federal or state policy, individuals who knowingly and intentionally violate any of these provisions may be subject to action by the Research Foundation. For employees, this may include action under the Research Foundation's progressive discipline policy, including suspension or termination from employment.

Recordkeeping

The operating location operations manager must designate an appropriate office of record and must ensure that records related to the disclosure, review, and management of a potential, apparent, or actual conflict of interest are retained and documented. In addition to any recordkeeping process established by the operations manager, all final determinations or management plans must be included in the personnel file of the individual with potential, apparent, or actual conflict of interest.

At the central office, the chief compliance officer must ensure that records related to the disclosure, review, and management of a potential, apparent, or actual conflict of interest for all disclosures, at the central office or otherwise brought to the attention of the chief compliance officer, are retained and documented. In addition to any recordkeeping process established by the chief compliance officer, all final determinations or management plans must be included in the personnel file of the individual with potential, apparent, or actual conflict of interest.

Campus Policy

An Operating Location may adopt a policy no less restrictive than this Policy. If a local policy is adopted, then a copy of that policy must be filed with the RF's chief compliance officer.

Staffing Services

Employees employed by the RF under an agreement or contract, other than the 1977 Agreement between the RF and SUNY must adhere to the conflicts of interest policy in place by the entity the employees are employed to support. In the absence of a policy, the conflicts of interest policy effective at the associated operating location must govern.

Responsibilities

The following table outlines the responsibilities for compliance with this policy:

Responsible Party	Responsibility
Board Members, Officers, and Key Employees	Annual Disclosures
Principal Investigators	Grant-Related Disclosures
Employees	Situational Disclosures as needed

Definitions

Board Member: A member of the Research Foundation's board of directors.

Direct or Indirect Financial or Other Interests: Financial or Other Interests held by the Research Foundation Employee or by their spouse, domestic partner, significant other, family member, dependent, member of household, or business partner.

Employee: Officers, Key Employees, and any individual compensated employee of the Research Foundation.

Financial or Other Interests: Shall include, but are not limited to, the following:

- ownership or investment in any outside enterprise;
- serving as a director, officer, partner, consultant, broker, agent, or representatives of any outside enterprise;
- outside professional activity; or
- outside employment.

Key Employee: A “Key Employee” for purposes of this Policy includes:

1. Vice presidents;
2. Operations managers;
3. Deputy operations managers;
4. Chief research officers;
5. Technology transfer directors;
6. Sponsored program office directors or equivalent;
7. Other appointed officers
8. At the central office:
 - a. Vice presidents
 - b. Senior directors;
 - c. Assistant Vice-Presidents; and
 - d. Directors;
 - e. Other appointed officers; and
9. Any other persons who have procurement authority equal to or exceeding \$100,000 per transaction.

Officer: An officer elected under the Research Foundation’s bylaws, including the Research Foundation’s president, general counsel, secretary, and chief financial officer and those appointed pursuant to Article IV Section 13 of the RF’s bylaws as appointed officers.

Operating Location: Research Foundation office located at a SUNY campus location or other SUNY location supporting the Research Foundation mission and SUNY operations overseen by an operations manager.

Operations Manager: An individual appointed to the position of operations manager by the Research Foundation.

Principal Investigator: Primary individual(s) in charge of a research grant or other project administered by the Research Foundation. The term “Principal Investigator” includes those individuals serving as co-principal investigators.

Related Party: A Research Foundation Employee’s spouse, domestic partner, significant other, family member, dependent, member of household, or business partner.

Research Foundation (or Foundation or RF): The Research Foundation for The State University of New York.

Related Information

[Management of Conflicts of Interest Procedure](#)

[Managing Conflicts of Interest Guidelines](#)

NYS Public Officer’s Law Sections [73](#) & [74](#)

[Conflicts of Interest in Public Health Service Sponsored Programs](#)

[Nepotism Policy](#)

[Gifts to Employees from Non-RF Sources Policy](#)

Forms[Conflict of Interest Annual Disclosure Statement](#)[Conflict of Interest Situational Disclosure Statement](#)**Change History**

Date	Summary of Change
December 7, 2012	Clarifies who is required to disclose conflicts, how, and when. Also allows for locations to use their own conflicts of interest policies and procedures, provided the policy is submitted to the compliance office and is no less restrictive than RF policy. Effective 3/15/2013

Feedback

Was this document clear and easy to follow? Please send your feedback to webfeedback@rfsuny.org.

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***INSERT EVIDENCE OF TAX-FREE AREA PLAN
TO INTERESTD PARTIES**