NEW YORK STATE OF OPPORTUNITY. | Empire State Development

SSBCI TA RFA2024

Data Record Keeping Requirements

Data Cover Sheet

1. Provider Data
2. Eligible Beneficiary
3. TA Services Data
4. Classroom-Style TA Services
5. Tool Tips
6. Single Select DV
7. Multi-Select DV

The cells on this Cover Page contain prepopulated and/or calculated values and cannot be edited.

Reporting Period	**4/1/2023 - 3/31/2024**

Total Hours of TA	0.00
Total Number of Business Serviced	0
Small Business Referrals Made	0
Total TA Grant Funds Budgeted	**$** -

SEDI Businesses Serviced	0
VSB Businesses Serviced	0

SSBCI Privacy Notice

Information from this collection will be shared with the U.S. Department of the Treasury (Treasury). Treasury will maintain a Privacy and Civil Liberties Impact Assessment that describes what Treasury will do with the information your business provides in connection with the SSBCI TA Grant program. It can be found on the Treasury website. If you have any questions about this document, please email Privacy@Treasury.gov.

Paperwork Reduction Act Notice

OMB Control Number 1505-0227: An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid control number assigned by OMB.

SSBCI TA RFA2024

Data Record Keeping Requirements

1. Provider Data

PROVIDER DATA

TA Provider	Relationship to TA Grant	TA Provider Type	Other TA Provider Type	Self-Certified SEDI-Owned Business TA Provider	TA Services Provided	Description of Other TA Services	Amount of TA Grant Funds Budgeted

SSBCI TA RFA2024

Data Record Keeping Requirements

2. Eligible Beneficiary

ELIGIBLE BENEFICIARY DATA

Full-Time Equivalent Employees	VSB	Self-Certified SEDI-Owned Business Status	Minority-Owned or Controlled Business Status	Women-Owned or Controlled Business Status	Veteran-Owned or Controlled Business Status	Race of Principal Owners

ELIGIBLE BENEFICIARY DATA

TA Provider	Eligible Beneficiary Identifier	Eligible Beneficiary Street Address	Eligible Beneficiary City	Eligible Beneficiary State	Eligible Beneficiary Zip Code	NAICS Code

ELIGIBLE BENEFICIARY DATA

Ethnicity of Principal Owners	Middle Eastern or North African Ancestry of Principal Owners	Gender of Principal Owners	Gender or Principal Owners - Self-Identification	Sexual Orientation of Principal Owners	Veteran Status of Principal Owners	Small Business Program Referral

ELIGIBLE BENEFICIARY DATA

Name of Small Business Program	Referral to Source of Capital	Description of Other Lender or Other Investor

SSBCI TA RFA2024

Data Record Keeping Requirements

3. TA Services Data

TA SERVICE DATA

TA Provider	Eligible Beneficiary Identifier	Primary Purpose - TA Service	Primary Purpose of TA Service - Other

TA SERVICE DATA

TA Service - Brief Description	Style - TA Service	Description of Other Style - TA Service	Hours - TA Service

SSBCI TA RFA2024

Data Record Keeping Requirements

4. Classroom-Style TA Services

Classroom-Style TA Service Event - Primary Purpose	Primary Purpose of Classroom-Style TA Service Event - Other	Classroom-Style TA Service Event - Brief Description	Classroom-Style Service Event - Attendees	Classroom-Style Service Event - Date

SSBCI TA RFA2024

Data Record Keeping Requirements

5. Tool Tips

Data Element	Tab Location	Data Requirement	Data Type
TA Provider	**Provider Data**	**Required**	**Alphanumeric**
Relationship to TA Grant	Provider Data	Required	Single-Select (Dropdown)
TA Provider Type	Provider Data	Required	Single-Select (Dropdown)
Other TA Provider Type	Provider Data	Conditional (Description of "Other")	String/Text
Self-Certified SEDI-Owned Business TA Provider	Provider Data	Required	Multi-Select (Double click for menu)
TA Services Provided	Provider Data	Required	Multi-Select (Double click for menu)
Description of Other TA Services	Provider Data	Conditional (Description of "Other")	String/Text
Amount of TA Grant Funds Budgeted	Provider Data	Required	Currency
TA Provider	Eligible Beneficiary Data	Required	Alphanumeric
Eligible Beneficiary Identifier	Eligible Beneficiary Data	Required	Alphanumeric

Data Element	Tab Location	Data Requirement	Data Type
TA Provider	**Provider Data**	**Required**	**Alphanumeric**
Eligible Beneficiary Street Address	Eligible Beneficiary Data	Required	Alphanumeric
Eligible Beneficiary City	Eligible Beneficiary Data	Required	String/Text
Eligible Beneficiary State	Eligible Beneficiary Data	Required	Single-Select (Dropdown)
Eligible Beneficiary Zip Code	Eligible Beneficiary Data	Required	Numeric
NAICS Code	Eligible Beneficiary Data	Required	Numeric
Full-Time Equivalent Employees	Eligible Beneficiary Data	Required	Numeric
VSB	Eligible Beneficiary Data	Required	Single-Select (Dropdown)
Self-Certified SEDI-Owned Business Status	Eligible Beneficiary Data	Required	Multi-Select (Double click for menu)
Minority-owned or Controlled Business Status	Eligible Beneficiary Data	Required	Single-Select (Dropdown)
Women-Owned or Controlled Business Status	Eligible Beneficiary Data	Required	Single-Select (Dropdown)

Data Element	Tab Location	Data Requirement	Data Type
TA Provider	**Provider Data**	**Required**	**Alphanumeric**
Veteran-Owned or Controlled Business Status	Eligible Beneficiary Data	Required	Single-Select (Dropdown)
Race of Principal Owners	Eligible Beneficiary Data	Required	Multi-Select (Double click for menu)
Ethnicity of Principal Owners	Eligible Beneficiary Data	Required	Multi-Select (Double click for menu)
Middle Eastern or North African Ancestry of Princip	Eligible Beneficiary Data	Required	Multi-Select (Double click for menu)
Gender of Principal Owners	Eligible Beneficiary Data	Required	Multi-Select (Double click for menu)
Gender of Principal Owners - Self-Idenficiation	Eligible Beneficiary Data	Conditional (Description of "Prefer to self-describe")	String/Text
Sexual Orientation of Principal Owners	Eligible Beneficiary Data	Required	Multi-Select (Double click for menu)
Veteran Status of Principal Owners	Eligible Beneficiary Data	Required	Multi-Select (Double click for menu)
Small Business Program Referral	Eligible Beneficiary Data	Required	Multi-Select (Double click for menu)
Name of Small Business Program	Eligible Beneficiary Data	Conditional (If "N/A" was not selected)	Alphanumeric

Data Element	Tab Location	Data Requirement	Data Type
TA Provider	**Provider Data**	**Required**	**Alphanumeric**
Referral to Source of Capital	Eligible Beneficiary Data	Required (If applicable, otherwise skip)	Multi-Select (Double click for menu)
Description of Other Lender or Other Investor	Eligible Beneficiary Data	Conditional (Description of "Other")	String/Text
TA Provider	TA Service Data	Required	Alphanumeric
Eligible Beneficiary Identifier	TA Service Data	Required	Alphanumeric
Primary Purpose - TA Service	TA Service Data	Required	Single-Select (Dropdown)
Primary Purpose of TA Service - Other	TA Service Data	Conditional (Description of "Other")	String/Text
TA Service - Brief Description	TA Service Data	Required	String/Text
Style - TA Service	TA Service Data	Required	Single-Select (Dropdown)
Description of Other Style - TA Service	TA Service Data	Conditional (Description of "Other")	String/Text
Hours - TA Service	TA Service Data	Required	Numeric

Data Element	Tab Location	Data Requirement	Data Type
TA Provider	**Provider Data**	**Required**	**Alphanumeric**
Classroom-Style TA Service Event - Primary Purpose	Classroom-Style TA Services	Conditional (If Classroom-Style TA Services are provided)	Single-Select (Dropdown)
Primary Purpose of Classroom-Style TA Service Ever	Classroom-Style TA Services	Conditional (Description of "Other")	String/Text
Classroom-Style TA Service Event - Brief Description	Classroom-Style TA Services	Conditional (If Classroom-Style TA Services are provided)	String/Text
Classroom-Style TA Service Event - Attendees	Classroom-Style TA Services	Conditional (If Classroom-Style TA Services are provided)	Numeric
Classroom-Style TA Service Event - Date	Classroom-Style TA Services	Conditional (If Classroom-Style TA Services are provided)	Date (MM/DD/YYYY)

Data Element	Description
TA Provider	**Enter the name of the TA Provider**
Relationship to TA Grant	Indicate which category applies to the TA provider: -TA Grant recipient -TA Grant subrecipient - entity of TA Grant recipient (including political subdivisions) - TA Grant subrecipient - legal, accounting, or financial advisory firm - TA Grant contractor - legal, accounting, or financial advisory firm
TA Provider Type	Indicate what type of entity the TA provider is (if multiple types apply, choose the one that best describes the TA provider): - State entity (including political subdivisions) - Institution of higher education-affiliated entity, including community colleges - For-profit entity - Non-profit entity - Other
Other TA Provider Type	Provide a description if "Other" was selected for the data element "TA Provider Type"
Self-Certified SEDI-Owned Business TA Provider	Indicate whether the TA provider self-certified that it is a SEDI-owned business. Select all categories in groups (1) through (4) that apply, including all subcategories in group (1) that apply: - (1) TA provider self-certified it is owned and controlled by individuals who have had their access to credit on reasonable terms diminished as compared to other in comparable economic circumstances, due to their: - membership of a group that has been subjected to racial or ethnic prejudice or cultural bias within American society - gender - veteran status - limited English proficiency - disability - long-term residence in an environment isolated from the mainstream of American society - membership of a Federally or state-recognized Indian Tribe - residence in a U.S. territory - residence in a community undergoing economic transitions (including communities impacted by the shift towards a net-zero economy or deindustrialization) - membership of an "underserved community" as defined in Executive Order 13985 (see the definition of "SSEDI-owned business" - (2) TA provider self-certified it is owned and controlled by individuals whose residences are in CDFI Investment Areas, as defined- in 12 C. F. R. § 1805.201(b)(3)(ii) - (3) TA provider self-certified that it will build, open, or operate a location in a CDFI Investment Area, as defined- in 12 C. F. R. § 1805.201(b)(3)(ii) - (4) TA provider self-certified that it is located in a CDFI Investment Area, as defined- in 12 C. F. R. § 1805.201(b)(3)(ii) - The TA provider did not certify
TA Services Provided	Indicate the types of TA services that the TA provider provides (if multiple types apply, select all that apply): -Legal services: Assisting with business formation or adopting corporate governance documents -Legal services: Obtaining needed registrations, licenses, filings, and certifications -Legal services: Advising on, or preparing documents for, the business to enter into contracts -Legal services: Legal services related to the business obtaining capital from investors, such as the development of financial instruments, investment term sheets, purchase agreements, and shareholder rights agreements -Legal services: Legal services related to a transfer of ownership interests in a business, including employee stock ownership plans - Legal services: Other - Accounting services: Digitizing financial records - Accounting services: Advisory services or training regrading accounting practices, recordkeeping, or accounting software - Accounting services: Other - Financial advisory services: Assisting with the establishment of banking relationships or other financial services - Financial advisory services: Assisting with applications for government small business programs, including preparing financial analyses - Financial advisory services: Identifying sources of credit, capital, grants and other financing - Financial advisory services: Advising on factor that may impede access to business financing - Financial advisory services: Advising on financial management - Financial advisory services: Developing presentations to potential investors, financial models, and business plan - Financial advisory services: Other
Description of Other TA Services	Provide a description if "Legal Services: Other", "Accounting services: Other", or "Financial advisory services: Other" was selected for the data element "TA Services Provided"
Amount of TA Grant Funds Budgeted	Amount of TA Grant funds budgeted for the TA provider
TA Provider	Enter the name of the TA Provider
Eligible Beneficiary Identifier	Name of the business that is the eligible beneficiary. If the eligible beneficiary prefers not to be identified by name, enter an alphanumeric or numeric code that serves as an anonymous identifier for the eligible beneficiary. Do not provide a Social Security number as an identifier.

Data Element	Description
TA Provider	**Enter the name of the TA Provider**
Eligible Beneficiary Street Address	Enter the street address of the eligible beneficiary. If the eligible beneficiary business does not provide an address or prefers not to respond, enter "None provided."
Eligible Beneficiary City	City of the eligible beneficiary.
Eligible Beneficiary State	State of the eligible beneficiary.
Eligible Beneficiary Zip Code	Zip code of the eligible beneficiary.
NAICS Code	2017 North American Industry Classification System (NAICS) code for the eligible beneficiary's industry. Each industry sector is defined by the U.S. Census Bureau; see https://www.census.gov/programs-surveys/economic-census/year/2022/guidance/understanding-naics.html. If multiple NAICS codes apply, choose the one that best describes the eligible beneficiary.
Full-Time Equivalent Employees	The eligible beneficiary's full-time equivalent employees (FTEs), rounded to the nearest whole number, at the time TA services are provided. This is determined by adding the number of full-time employees and the number of part-time and seasonal employees as a fraction of a full-time employee. For example, if a business has 100 employees working full-time (assume a full-time week of 40 hours) and 50 employees working 20 hours per week, the total number of FTEs would be 125. For seasonal employees, the FTE count is based on a 2,080-hour year, so that an employee who works 520 hours per year counts as 0.25 FTEs. Volunteers (i.e., individuals who receive no compensation, including no in-kind compensation, for work performed) are not considered employees. This data element can be used to assess whether a business qualifies as VSB
VSB	Indicate whether the eligible beneficiary's business is a VSB (Defined as fewer than 10 Full-Time Equivalent Employees). - Yes -No The TA Grant recipient may reasonably determine that a business is a VSB based on the FTE number provided by the business or a certification that the business meets the definition of VSB.
Self-Certified SEDI-Owned Business Status	Indicate whether the eligible beneficiary self-certified that it is a SEDI-owned business. Select all categories in groups (1) through (4) that apply: -(1) Eligible beneficiary self-certified it is owned and controlled by individuals who have had their access to credit on reasonable terms diminished as compared to others in comparable economic circumstances, due to their: -membership of a group that has been subjected to racial or ethnic prejudice or cultural bias within American society -gender -veteran status -limited English proficiency -disability -long-term residence in an environment isolated from the mainstream of American society -membership of a Federally or state-recognized Indian Tribe -long-term residence in a rural community -residence in a U.S. territory -residence in a community undergoing economic transitions (including communities impacted by the shift towards a net zero economy or deindustrialization) -membership of an "underserved community" as defined in Executive Order 13985 (see the definition of "SEDI-owned business" in Section II above) -(2) Eligible beneficiary self-certified it is owned and controlled by individuals whose residences are in CDFI Investment Areas, as defined in 12 C.F.R. § 1805.201(b)(3)(ii) -(3) Eligible beneficiary self-certified that it will build, open, or operate a location in a CDFI Investment Area, as defined in 12 C.F.R. § 1805.201(b)(3)(ii)
Minority-owned or Controlled Business Status	Indicate whether the eligible beneficiary is a minority-owned or controlled business: -Yes -No -Prefer not to respond -The business did not answer
Women-Owned or Controlled Business Status	Indicate whether the eligible beneficiary is a women-owned or controlled business: -Yes -No -Prefer not to respond -The business did not answer

Data Element	Description
TA Provider	**Enter the name of the TA Provider**
Veteran-Owned or Controlled Business Status	Indicate whether the eligible beneficiary is a veteran-owned or controlled business: -Yes -No -Prefer not to respond -The business did not answer
Race of Principal Owners	dictate the race categories with which the principal owner or principal owners identify (check all that apply): -American Indian or Alaska Native -Asian --Indian --Chinese --Filipino --Japanese --Korean --Vietnamese --Asian (Other) -Black or African American -Native Hawaiian or Other Pacific Islander --Guamanian or Chamorro --Native Hawaiian --Samoan --Pacific Islander (Other) -White -Prefer not to respond -The business did not answer
Ethnicity of Principal Owners	Indicate the following ethnicity categories with which the principal owner or principal owners identify (check all that apply): -Hispanic or Latino/a -Not Hispanic or Latino/a -Prefer not to respond -The business did not answer
Middle Eastern or North African Ancestry of Princip	Indicate the following ancestry categories with which the principal owner or principal owners identify (check all that apply): -Middle Eastern or North African -Not Middle Eastern or North African -Prefer not to respond -The business did not answer
Gender of Principal Owners	Indicate the following gender categories with which the principal owner or principal owners identify (check all that apply): -Female -Male -Nonbinary -Prefer to self-describe -Prefer not to respond -The business did not answer
Gender of Principal Owners - Self-Idenficiation	Provide a description if "Prefer to self-describe" was selected in the data element "Gender of Principal Owners"
Sexual Orientation of Principal Owners	Indicate the following sexual orientation categories with which the principal owner or principal owners identify (check all that apply): -Gay or lesbian -Bisexual -Straight, that is, not gay, lesbian, or bisexual -Something else -Prefer not to respond -The business did not answer
Veteran Status of Principal Owners	Indicate the following categories with which the principal owner or principal owners identify (check all that apply): -Veteran -Non-veteran -Prefer not to respond -The business did not answer
Small Business Program Referral	If the TA provider made a referral to a small business program with respect to the eligible beneficiary, check all that apply: -SSBCI-supported lending program -SSBCI-supported equity/venture capital program -Other federal small business program -Other small business program -N/A
Name of Small Business Program	If the TA provider made a referral to a small business program with respect to the eligible beneficiary, specify the name of each small business program to which the eligible beneficiary was referred.

Data Element	Description
TA Provider	**Enter the name of the TA Provider**
Referral to Source of Capital	If the TA provider made a referral to a potential source of capital with respect to the eligible beneficiary, check all that apply: -CDFI bank, thrift, or depository institution holding company -Non-CDFI community bank -Other bank, thrift, or depository institution holding company -CDFI loan fund -Non-CDFI credit union -Nonbank lender or nonbank payment services provider -Non-CDFI debt or loan fund -Growth equity capital fund -Angel investor or angel fund -For-profit venture capital fund -Nonprofit venture capital fund or venture/entrepreneurial development organization -Corporate venture capital fund -CDFI venture capital fund -University/technology transfer office -Other
Description of Other Lender or Other Investor	Provide a description of the type of lender or investor if selected "Other" for the data element "Referral to Source of Capital". Note that the TA provider is not required to specify the name of the lender or investor.
TA Provider	Enter the name of the TA Provider
Eligible Beneficiary Identifier	Name of the business that is the eligible beneficiary. If the eligible beneficiary prefers not to be identified by name, enter an alphanumeric or numeric code that serves as an anonymous identifier for the eligible beneficiary. Do not provide a Social Security number as an identifier.
Primary Purpose - TA Service	Indicate the primary purpose of TA service. -Legal services: Assisting with business formation or adopting corporate governance documents -Legal services: Obtaining needed registrations, licenses, filings, and certifications -Legal services: Advising on, or preparing documents for, the business to enter into contracts -Legal services: Legal services related to the business obtaining capital from investors, such as the development of financial instruments, investment term sheets, purchase agreements, and shareholder rights agreements -Legal services: Legal services related to a transfer of ownership interests in a business, including employee stock ownership plans -Legal services: Other -Accounting services: Preparing audits, financial statements, or business records -Accounting services: Digitizing financial records -Accounting services: Advisory services or training regarding accounting practices, recordkeeping, or accounting software. -Accounting services: Other -Financial advisory services: Assisting with the establishment of banking relationships or other financial services -Financial advisory services: Assisting with applications for government small business programs, including preparing financial analyses -Financial advisory services: Identifying sources of credit, capital, grants, and other financing -Financial advisory services: Advising on factors that may
Primary Purpose of TA Service - Other	Provide a description if “Legal Services: Other,” “Accounting services: Other,” or “Financial advisory services: Other” was selected for the data element “Primary Purpose – TA Service.”
TA Service - Brief Description	Provide a brief, descriptive overview of TA service.
Style - TA Service	Indicate how the TA provider provided TA service. -One-on-one (TA provider worked individually with eligible beneficiary) -Small-group (TA provider worked with a group to address specific small business issues (generally 2-10 people, but number may vary)) -Cohort (a group of eligible beneficiaries advanced through an educational program or curriculum) -Other
Description of Other Style - TA Service	Provide a description if "Other" was selected for the data element "Style - TA Service".
Hours - TA Service	Number of hours spent providing TA Service to the eligible beneficiary.

Data Element	Description
TA Provider	**Enter the name of the TA Provider**
Classroom-Style TA Service Event - Primary Purpose	Indicate the primary purpose of the classroom-style TA service event: -Legal services: Assisting with business formation or adopting corporate governance documents -Legal services: Obtaining needed registrations, licenses, filings, and certifications -Legal services: Advising on, or preparing documents for, the business to enter into contracts -Legal services: Legal services related to the business obtaining capital from investors, such as the development of financial instruments, investment term sheets, purchase agreements, and shareholder rights agreements -Legal services: Legal services related to a transfer of ownership interests in a business, including employee stock ownership plans -Legal services: Other -Accounting services: Preparing audits, financial statements, or business records -Accounting services: Digitizing financial records -Accounting services: Advisory services or training regarding accounting practices, recordkeeping, or accounting software -Accounting services: Other -Financial advisory services: Assisting with the establishment of banking relationships or other financial services -Financial advisory services: Assisting with applications for government small business programs, including preparing financial analyses -Financial advisory services: Identifying sources of credit, capital, grants, and other financing -Financial advisory services: Advising on factors that may impede access to business financing
Primary Purpose of Classroom-Style TA Service Ever	Provide a description if "Legal Services: Other", "Accounting services: Other", or "Financial advisory services: Other" was selected for the data element "Classroom-Style TA Service Event - Primary"
Classroom-Style TA Service Event - Brief Description	Provide a brief, descriptive overview of the classroom-style TA service event, including how the TA provider specifically targeted the event towards eligible beneficiaries.
Classroom-Style TA Service Event - Attendees	Provide the number of attendees that attended the classroom-style TA service event.
Classroom-Style TA Service Event - Date	Provide the date of the classroom-style TA service event.

Data Element		Color Legend			
TA Provider		Provider	Eligible Beneficiary	Referral	Service
Relationship to TA Grant					
TA Provider Type					
Other TA Provider Type					
Self-Certified SEDI-Owned Business TA Provider					
TA Services Provided					
Description of Other TA Services					
Amount of TA Grant Funds Budgeted					
TA Provider					
Eligible Beneficiary Identifier					

Data Element		Color Legend			
TA Provider		Provider	Eligible Beneficiary	Referral	Service
Eligible Beneficiary Street Address					
Eligible Beneficiary City					
Eligible Beneficiary State					
Eligible Beneficiary Zip Code					
NAICS Code					
Full-Time Equivalent Employees					
VSB					
Self-Certified SEDI-Owned Business Status					
Minority-owned or Controlled Business Status					
Women-Owned or Controlled Business Status					

Data Element		Color Legend			
TA Provider		Provider	Eligible Beneficiary	Referral	Service
Veteran-Owned or Controlled Business Status					
Race of Principal Owners					
Ethnicity of Principal Owners					
Middle Eastern or North African Ancestry of Princip					
Gender of Principal Owners					
Gender of Principal Owners - Self-Idenficiation					
Sexual Orientation of Principal Owners					
Veteran Status of Principal Owners					
Small Business Program Referral					
Name of Small Business Program					

Data Element		Color Legend			
TA Provider		Provider	Eligible Beneficiary	Referral	Service
Referral to Source of Capital					
Description of Other Lender or Other Investor					
TA Provider					
Eligible Beneficiary Identifier					
Primary Purpose - TA Service					
Primary Purpose of TA Service - Other					
TA Service - Brief Description					
Style - TA Service					
Description of Other Style - TA Service					
Hours - TA Service					

Data Element		Color Legend			
TA Provider		Provider	Eligible Beneficiary	Referral	Service
Classroom-Style TA Service Event - Primary Purpose					
Primary Purpose of Classroom-Style TA Service Ever					
Classroom-Style TA Service Event - Brief Description					
Classroom-Style TA Service Event - Attendees					
Classroom-Style TA Service Event - Date					

SSBCI TA RFA2024

Data Record Keeping Requirements

6. Single Select DV

Relationship to TA Grant	TA Provider Type	States	VSB	Minority-owned or Controlled Business Status	Women-Owned or Controlled Business Status
TA Grant contractor – legal, accounting, or financial advisory firm	Non-profit entity	AR		The business did not answer	The business did not answer
	Other	CA			
		CO			
		CT			
		DE			
		DC			
		FL			
		GA			
		HI			

Relationship to TA Grant	TA Provider Type	States	VSB	Minority-owned or Controlled Business Status	Women-Owned or Controlled Business Status
		ID			
		IL			
		IN			
		IA			
		KS			
		KY			
		LA			
		ME			
		MD			
		MA			
		MI			
		MN			
		MS			
		MO			
		MT			
		NE			
		NV			
		NH			
		NJ			
		NM			
		NY			
		NC			
		ND			
		OH			

Relationship to TA Grant	TA Provider Type	States	VSB	Minority-owned or Controlled Business Status	Women-Owned or Controlled Business Status
		OK			
		OR			
		PA			
		RI			
		SC			
		SD			
		TN			
		TX			
		UT			
		VT			
		VA			
		WA			
		WV			
		WI			
		WY			

Relationship to TA Grant	Veteran-Owned or Controlled Business Status	Primary Purpose - TA Service	Style - TA Service	Classroom-Style TA Service Event - Primary Purpose
TA Grant contractor – legal, accounting, or financial advisory firm	The business did not answer	Legal services: Legal services related to the business obtaining capital from investors, such as the development of financial instruments, investment term sheets, purchase agreements, and shareholder rights agreements	Other	Legal services: Legal services related to the business obtaining capital from investors, such as the development of financial instruments, investment term sheets, purchase agreements, and shareholder rights agreements
		Legal services: Legal services related to a transfer of ownership interests in a business, including employee stock ownership plans		Legal services: Legal services related to a transfer of ownership interests in a business, including employee stock ownership plans
		Legal services: Other		Legal services: Other
		Accounting services: Preparing audits, financial statements, or business records		Accounting services: Preparing audits, financial statements, or business records
		Accounting services: Digitizing financial records		Accounting services: Digitizing financial records
		Accounting services: Advisory services or training regarding accounting practices, recordkeeping, or accounting software.		Accounting services: Advisory services or training regarding accounting practices, recordkeeping, or accounting software
		Accounting services: Other		Accounting services: Other
		Financial advisory services: Assisting with the establishment of banking relationships or other financial services		Financial advisory services: Assisting with the establishment of banking relationships or other financial services
		Financial advisory services: Assisting with applications for government small business programs, including preparing financial analyses		Financial advisory services: Assisting with applications for government small business programs, including preparing financial analyses

Relationship to TA Grant	Veteran-Owned or Controlled Business Status	Primary Purpose - TA Service	Style - TA Service	Classroom-Style TA Service Event - Primary Purpose
		financial advisory services: Identifying sources of credit, capital, grants, and Other financing		Financial advisory services: Identifying sources of credit, capital, grants, and other financing
		Financial advisory services: Advising on factors that may impede access to business financing		Financial advisory services: Advising on factors that may impede access to business financing
		Financial advisory services: Advising on financial management		Financial advisory services: Advising on financial management
		Financial advisory services: Developing presentations to potential investors, financial models, and business plans		Financial advisory services: Developing presentations to potential investors, financial models, and business plans
		Financial advisory services: Other		Financial advisory services: Other

6. SINGLE SELECT DV

Relationship to TA Grant	Veteran-Owned or Controlled Business Status	Primary Purpose - TA Service	Style - TA Service	Classroom-Style TA Service Event - Primary Purpose

SSBCI TA RFA2024

Data Record Keeping Requirements

7. Multi-Select DV

Self-Certified SEDI-Owned Business TA Provider	TA Services Provided	Self-Certified SEDI-Owned Business Status	Race of Principal Owners	Ethnicity of Principal Owners
(1) TA provider self-certified it is owned and controlled by individuals who have had their access to credit on reasonable terms diminished as compared to others in comparable economic circumstances, due to their:	Legal services: Assisting with business formation or adopting corporate governance documents	(1) Eligible beneficiary self-certified it is owned and controlled by individuals who have had their access to credit on reasonable terms diminished as compared to others in comparable economic circumstances, due to their:	American Indian or Alaska Native	Hispanic or Latino/a
membership of a group that has been subjected to racial or ethnic prejudice or cultural bias within American society	Legal services: Obtaining needed registrations, licenses, filings, and certifications	membership of a group that has been subjected to racial or ethnic prejudice or cultural bias within American society	Asian-Indian	Not Hispanic or Latino/a
gender	Legal services: Advising on, or preparing documents for, the business to enter into contracts	gender	Asian-Chinese	Prefer not to respond
veteran status	Legal services: Legal services related to the business obtaining capital from investors, such as the development of financial instruments, investment term sheets, purchase agreements, and shareholder rights agreements	veteran status	Asian-Filipino	The business did not answer
limited English proficiency	Legal services: Legal services related to a transfer of ownership interests in a business, including employee stock ownership plans	limited English proficiency	Asian-Japanese	

Self-Certified SEDI-Owned Business TA Provider	TA Services Provided	Self-Certified SEDI-Owned Business Status	Race of Principal Owners	Ethnicity of Principal Owners
disability	Legal services: Other	disability	Asian-Korean	
long-term residence in an environment isolated from the mainstream of American society	Accounting services: Preparing audits, financial statements, or business records	long-term residence in an environment isolated from the mainstream of American society	Asian-Vietnamese	
membership of a Federally or state-recognized Indian Tribe	Accounting services: Digitizing financial records	membership of a Federally or state-recognized Indian Tribe	Asian (Other)	
long-term residence in a rural community	Accounting services: Advisory services or training regarding accounting practices, recordkeeping, or accounting software	long-term residence in a rural community	Black or African American	
residence in a U.S. territory	Accounting services: Other	residence in a U.S. territory	Native Hawaiian or Other Pacific Islander	
residence in a community undergoing economic transitions (including communities impacted by the shift towards a net-zero economy or deindustrialization)	Financial advisory services: Assisting with the establishment of banking relationships or other financial services	residence in a community undergoing economic transitions (including communities impacted by the shift towards a netzero economy or deindustrialization)	Native Hawaiian or Other Pacific Islander - Guamanian or Chamorro	
membership of an “underserved community” as defined in Executive Order 13985 (see the definition of “SEDI-owned business” in Section II above)	Financial advisory services: Assisting with applications for government small business programs, including preparing financial analyses	membership of an “underserved community” as defined in Executive Order 13985 (see the definition of “SEDI-owned business” in Section II above)	Native Hawaiian or Other Pacific Islander - Native Hawaiian	
(2) TA provider self-certified it is owned and controlled by individuals whose residences are in CDFI Investment Areas, as defined in 12 C.F.R. § 1805.201(b)(3)(ii)	Financial advisory services: Identifying sources of credit, capital, grants, and other financing	(2) Eligible beneficiary self-certified it is owned and controlled by individuals whose residences are in CDFI Investment Areas, as defined in 12 C.F.R. § 1805.201(b)(3)(ii)	Native Hawaiian or Other Pacific Islander - Samoan	

Self-Certified SEDI-Owned Business TA Provider	TA Services Provided	Self-Certified SEDI-Owned Business Status	Race of Principal Owners	Ethnicity of Principal Owners
(3) TA provider self-certified that it will build, open, or operate a location in a CDFI Investment Area, as defined in 12 C.F.R. § 1805.201(b)(3)(ii)	Financial advisory services: Advising on factors that may impede access to business financing	(3) Eligible beneficiary self-certified that it will build, open, or operate a location in a CDFI Investment Area, as defined in 12 C.F.R. § 1805.201(b)(3)(ii)	Native Hawaiian or Other Pacific Islander - Pacific Islander (Other)	
(4) TA provider self-certified that it is located in a CDFI Investment Area, as defined in 12 C.F.R. § 1805.201(b) (3)(ii)	Financial advisory services: Advising on financial management	(4) Eligible beneficiary self-certified that it is located in a CDFI Investment Area, as defined in 12 C.F.R. §1805.201(b)(3)(ii)	White	
(5) TA provider self-certified but did not select a demographic category	Financial advisory services: Developing presentations to potential investors, financial models, and business plan	(5) Eligible beneficiary self-certified but did not select a demographic category	Prefer not to respond	
The TA provider did not certify	Financial advisory services: Other	Eligible beneficiary did not certify	The business did not answer	

Self-Certified SEDI-Owned Business TA Provider	Middle Eastern or North African Ancestry of Principal Owners	Gender of Principal Owners	Sexual Orientation of Principal Owners
(1) TA provider self-certified it is owned and controlled by individuals who have had their access to credit on reasonable terms diminished as compared to others in comparable economic circumstances, due to their:	Middle Eastern or North African	Female	Gay or lesbian
membership of a group that has been subjected to racial or ethnic prejudice or cultural bias within American society	Not Middle Eastern or North African	Male	Bisexual
gender	Prefer not to respond	Nonbinary	Straight, that is, not gay, lesbian, or bisexual
veteran status	The business did not answer	Prefer to self-describe	Something else
limited English proficiency		Prefer not to respond	Prefer not to respond

Self-Certified SEDI-Owned Business TA Provider	Middle Eastern or North African Ancestry of Principal Owners	Gender of Principal Owners	Sexual Orientation of Principal Owners
disability		The business did not answer	The business did not answer
long-term residence in an environment isolated from the mainstream of American society			
membership of a Federally or state-recognized Indian Tribe			
long-term residence in a rural community			
residence in a U.S. territory			
residence in a community undergoing economic transitions (including communities impacted by the shift towards a net-zero economy or deindustrialization)			
membership of an “underserved community” as defined in Executive Order 13985 (see the definition of “SEDI-owned business” in Section II above)			
(2) TA provider self-certified it is owned and controlled by individuals whose residences are in CDFI Investment Areas, as defined in 12 C.F.R. § 1805.201(b)(3)(ii)			

Self-Certified SEDI-Owned Business TA Provider	Middle Eastern or North African Ancestry of Principal Owners	Gender of Principal Owners	Sexual Orientation of Principal Owners
(3) TA provider self-certified that it will build, open, or operate a location in a CDFI Investment Area, as defined in 12 C.F.R. § 1805.201(b)(3)(ii)			
(4) TA provider self-certified that it is located in a CDFI Investment Area, as defined in 12 C.F.R. § 1805.201(b) (3)(ii)			
(5) TA provider self-certified but did not select a demographic category			
The TA provider did not certify			

Self-Certified SEDI-Owned Business TA Provider	Veteran Status of Principal Owners	Small Business Program Referral	Referral to Source of Capital
(1) TA provider self-certified it is owned and controlled by individuals who have had their access to credit on reasonable terms diminished as compared to others in comparable economic circumstances, due to their:	Veteran	SSBCI-supported lending program	CDFI bank, thrift, or depository institution holding company
membership of a group that has been subjected to racial or ethnic prejudice or cultural bias within American society	Non-veteran	SSBCI-supported equity/venture capital program	Non-CDFI community bank
gender	Prefer not to respond	Other federal small business program	Other bank, thrift, or depository institution holding company
veteran status	The business did not answer	Other small business program	CDFI loan fund
limited English proficiency		N/A	Non-CDFI credit union

Self-Certified SEDI-Owned Business TA Provider	Veteran Status of Principal Owners	Small Business Program Referral	Referral to Source of Capital
disability			Nonbank lender or nonbank payment services provider
long-term residence in an environment isolated from the mainstream of American society			Non-CDFI debt or loan fund
membership of a Federally or state-recognized Indian Tribe			Growth equity capital fund
long-term residence in a rural community			Angel investor or angel fund
residence in a U.S. territory			For-profit venture capital fund
residence in a community undergoing economic transitions (including communities impacted by the shift towards a net-zero economy or deindustrialization)			Nonprofit venture capital fund or venture/entrepreneurial development organization
membership of an “underserved community” as defined in Executive Order 13985 (see the definition of “SEDI-owned business” in Section II above)			Corporate venture capital fund
(2) TA provider self-certified it is owned and controlled by individuals whose residences are in CDFI Investment Areas, as defined in 12 C.F.R. § 1805.201(b)(3)(ii)			CDFI venture capital fund

Self-Certified SEDI-Owned Business TA Provider	Veteran Status of Principal Owners	Small Business Program Referral	Referral to Source of Capital
(3) TA provider self-certified that it will build, open, or operate a location in a CDFI Investment Area, as defined in 12 C.F.R. § 1805.201(b)(3)(ii)			University/technology transfer office
(4) TA provider self-certified that it is located in a CDFI Investment Area, as defined in 12 C.F.R. § 1805.201(b) (3)(ii)			Other
(5) TA provider self-certified but did not select a demographic category			
The TA provider did not certify			