

PLEASE NOTE: We welcome public comment on the items on the following agenda. To ensure maximum opportunity for participation, speakers representing themselves may speak for up to 2 minutes each, and those representing groups may speak for up to 4 minutes (1 speaker per group). Speakers' comments may address only items considered at today's meeting. Materials relating to matters that are scheduled for discussion in open session will be available at the meeting and will be posted on ESD's website prior to the meeting in accordance with the Public Officers Law.

**NEW YORK STATE URBAN DEVELOPMENT CORPORATION
d/b/a Empire State Development**

Empire State Development works to promote business investment and growth that leads to job creation and prosperous communities across New York State

AGENDA

Meeting of the Directors

Thursday

August 16, 2018 – 9:30 a.m.

AGENDA

FOR CONSIDERATION

I. CORPORATE ACTION

- A. Approval of the Minutes July 19, 2018 Directors' Meeting

REPORTS – Oral

- A. President's Report
- B. Loans and Grants – Summary of Projects

II. PROJECTS

CENTRAL NEW YORK REGION

- A. Various Counties (Central New York Region) – Alliance for Economic Inclusion Fund Capital and Working Capital – Upstate Revitalization Initiative (Capital and Working Capital Grant) - Findings and Determinations Pursuant to Section 10(g) of the Act; Authorization to Adopt the Proposed General Project Plan; Authorization to Make a Grant and to Take Related Actions

SOUTHERN TIER REGION

- B. Norwich (Southern Tier Region – Chenango County) – Unison Revitalization Capital – Upstate Revitalization Fund (Capital Grant) - Findings and Determinations Pursuant to Section 10(g) of the Act; Authorization to Adopt the Proposed General Project Plan; Authorization to Make a Grant and to Take Related Actions
- C. Regional Council Award – Priority Project - Binghamton (Southern Tier Region – Binghamton County) – Binghamton Precast & Supply Capital – Regional Council Capital Fund (Capital Grant) - Findings and Determinations Pursuant to Section 10(g) of the Act; Authorization to Adopt the Proposed General Project Plan; Authorization to Make a Grant and to Take Related Actions; Determination of No Significant Effect on the Environment
- D. Regional Council Award – Priority Project – Watkins Glen and Montour Falls (Southern Tier Region – Schuyler County) – Schuyler County Project Seneca Phase 1 Capital – Regional Council Capital Fund (Capital Grant) - Findings and Determinations Pursuant to Section 10(g) of the Act; Authorization to Adopt the Proposed General Project Plan; Authorization to Make a Grant and to Take Related Actions; Determination of No Significant Effect on the Environment
- E. Regional Council Award – Priority Project – Watkins Glen (Southern Tier Region – Schuyler County) – Schuyler County Wine Production Capital – Upstate Revitalization Initiative, Economic Transformation Program, and Regional Council Capital Fund (Capital Grants) – Findings and Determinations Pursuant to Section 10(g) of the Act; Authorization to Adopt the Proposed General Project Plan; Authorization to Make Grants and to Take Related Actions; Determination of No Significant Effect on the Environment

II. PROJECTS - Continued

NORTH COUNTRY REGION

- F. Regional Council Award - Potsdam (North Country Region – St. Lawrence County) – Jeffords Steel Improvement Capital – Regional Council Capital Fund (Capital Grant) - Findings and Determinations Pursuant to Section 10(g) of the Act; Authorization to Adopt the Proposed General Project Plan; Authorization to Make a Grant and to Take Related Actions; Determination of No Significant Effect on the Environment

MID-HUDSON REGION

- G. Regional Council Award – Priority Project - Tuxedo Park (Mid-Hudson Region – Orange County) – Tuxedo Sloatsburg Corridor Revitalization Capital – Regional Council Capital Fund (Capital Grant) - Findings and Determinations Pursuant to Section 10(g) of the Act; Authorization to Adopt the Proposed General Project Plan; Authorization to Make a Grant and to Take Related Actions; Determination of No Significant Effect on the Environment

MOHAWK VALLEY REGION

- H. Rome (Mohawk Valley Region - Oneida County) – City of Rome Riverwalk Village Capital – Economic Transformation Fund (Capital Grant) - Findings and Determinations Pursuant to Section 10(g) of the Act; Authorization to Adopt the Proposed General Project Plan; Authorization to Make a Grant and to Take Related Action; Determination of No Significant Effect on the Environment

FINGER LAKES REGION

- I. Pavilion (Finger Lakes Region – Genesee County) – WNY Cheese Enterprise Capital - Upstate Revitalization Initiative (Capital Grant) - Findings and Determinations Pursuant to Section 10(g) of the Act; Authorization to Adopt the Proposed General Project Plan; Authorization to Make a Grant and to Take Related Actions; Determination of No Significant Effect on the Environment

II. PROJECTS – Continued

NEW NY BROADBAND PROGRAM

- J. New NY Broadband Program – Phase 3 Awards, New NY Broadband 2015-16 Capital Fund – Consent Calendar – Findings and Determinations Pursuant to Section 10(g) of the Act; Authorization to Adopt the Proposed General Project Plan; Authorization to Make Grants and to Take Related Actions

List of Grantees

- A. Clarity Fiber Solutions, LLC – Cortland Chenango FTTH Broadband Capital (Various Counties) - \$12,391,484
- B. Clarity Fiber Solutions, LLC – Tompkins FTTH Broadband Capital (Tompkins County) - \$1,243,190
- C. DTC Cable, Inc. – DCBI Broadband Capital Project (Various Counties) - \$5,329,453
- D. Edwards Telephone Company, Inc. (d/b/a TDS Telecom) – Edwards Tel NY BB Phase III Broadband Capital (St. Lawrence County) - \$918,387
- E. Germantown Telephone Company (d/b/a Gtel Teleconnections) – Phase 3 Unserved Gtel Out of Territory Broadband Capital (Columbia County) - \$28,109
- F. Haefele TV, Inc. – Haefele TV Phase 3 Unserved Broadband Capital (Various Counties) - \$1,619,345
- G. John R. Guzzo, LLC (d/b/a Hudson Valley Wireless) – Connecting the Capital District Phase 3 (Unserved Broadband Capital) (Various Counties) - \$2,710,497
- H. Mid-Hudson Data Corp. – Phase III Unserved Broadband Capital (Various Counties) - \$3,649,999
- I. Otsego Electric Cooperative, Inc. (d/b/a Otsego Rural Broadband) (Various Counties) - \$1,440,693
- J. The Middleburgh Telephone Company (MIDTEL) – Round 3 MIDTEL Unserved Broadband Capital (Albany County) - \$2,318,108

RESTORE NEW YORK COMMUNITIES – CAPITAL GRANTS

- K. Statewide – Restore New York Communities – Capital Grant – Land Use Improvement Findings and Determinations Pursuant to Sections 10(c), 10(g) and 16-n of the Act; Authorization to Adopt the Proposed General Project Plans; Authorization to Make Grants and to Take Related Actions; Determination of No Significant Effect on the Environment

Project Summary

- A. City of Oswego - Oswego Harbor Revitalization Restore II (Oswego County) - \$1,500,000
- B. City of Middletown - Middletown Community Campus Restore IV (Orange County) - \$500,000
- C. City of Lockport – Tuscarora Club Restore IV (Niagara County) - \$500,000
- D. Village of Holley – Holley Gardens Restore V (Orleans County) - \$1,000,000

III. NON-DISCRETIONARY PROJECTS CONSENT CALENDAR

- A. Non-Discretionary Projects Consent Calendar – Findings and Determinations Pursuant to Section 10(g) of the Act; Authorization to Adopt the Proposed General Project Plan; Authorization to Make a Grant and to Take Related Actions

Local Assistance (Senate)

- A. North Country Family Health Center Capital and Working Capital – North Country Family Health Center (Jefferson County) - \$300,000

IV. EMPIRE STATE NEW MARKET CORPORATION

- A. Hornell, NY (Southern Tier Region – Steuben County) – Hornell Medical Village – St. James Hospital Medical Office Building Fit Out (the “Project”) - Findings and Determinations Pursuant to Sections 12 and 10(g) of the New York State Urban Development Corporation Act, as Amended (the “Act”); Authorization to Prioritize and Approve the Project, Adopt and Ratify Empire State New Market Corporation (“ESNMC”) Board Findings and General Project Plan; Determination of No Significant Effect on the Environment and Take Related Actions
- B. Schenectady, NY (Capital District Region – Schenectady County) – Boys & Girls Club of Schenectady (the “Project”) - Findings and Determinations Pursuant to Sections 12 and 10(g) of the New York State Urban Development Corporation Act, as Amended (the “Act”); Authorization to Prioritize and Approve the Project, Adopt and Ratify Empire State New Market Corporation (“ESNMC”) Board Findings and General Project Plan; Determination of No Significant Effect on the Environment and Take Related Actions

V. ENTREPRENEURIAL ASSISTANCE PROGRAM

- A. Statewide – Entrepreneurial Assistance Program (“EAP”) - Training and Technical Assistance Grants – Findings and Determinations Pursuant to Section 10(g) of the Act; Authorization to Make Grants and Take Related Action

VI. NEW YORK VENTURES

- A. New York State Innovation Venture Capital Fund (“NYSIVCF”) - Authorization of an Investment of NYSIVCF Funds in ENB Therapeutics
- B. New York State Innovation Venture Capital Fund (“NYSIVCF”) - Authorization of an Investment of NYSIVCF Funds in Kinolved, Inc.

VII. MARKET ORDER PROGRAM

- A. Contract for Marketing and Promotion Services of the New York State Apple Industry Through the New York Apple Association, Inc. - Authorization to Enter into a Contract for Marketing and Promotion Services of the New York State Apple Industry Relating to the Marketing Order Program; and Authorization to Take Related Actions

VIII. ADMINISTRATIVE ACTION

- A. New York (New York County) – One Bryant Park Land Use Improvement Project - Authorization to Extend the Contract with Shearman & Sterling, LLP to Provide for Legal Services; Authorization to Take Related Actions

IX. FOR INFORMATION

- A. Fiscal Year 2018-19 Budget Variance Report (First Quarter)