



October 15, 2021

The Honorable Kathy Hochul
Governor, State of New York
State Capitol Executive Chamber
Albany, NY 12224

Re: Annual Program Report Fiscal Year 2020-2021

Dear Governor Hochul:

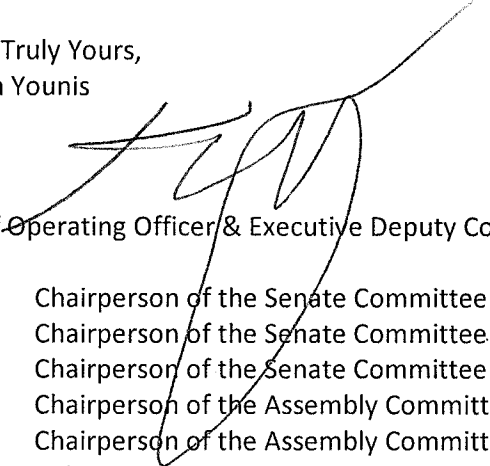
I am pleased to provide you with the Empire State Development Corporation's (ESD) Annual Program Report, which reports the status of proposals for assistance from its financial assistance programs. For consistency with applicable legislation, this year's report covers activity during the period from April 1, 2020, through March 31, 2021.

The report is organized by section. The sections are: (I) Proposals for Assistance: Approved; (II) Proposals for Assistance: Evaluated – Not Yet Approved; and (III) Proposals for Assistance: Evaluated – No Further Action Recommended. The following ESD discretionary programs are included:

- Buffalo Regional Innovation Cluster
- Community Development Financial Institution Program
- Downtown Revitalization Initiative
- Entrepreneurial Assistance Program
- Economic Development Initiatives Fund (Global NY)
- Economic Transformation Program
- Empire State Economic Development Fund
- Federal Department of Defense Program
- High Technology Innovation and Development Infrastructure
- JOBS Now Program
- Market New York Program
- Metropolitan Economic Revitalization Fund
- New NY Broadband Program
- New York Works Economic Development Fund
- New York Power Authority Craft Beverage Marketing Program
- New York State Life Science Initiative Fund
- Regional Council Capital Fund
- Restore New York Communities Initiative
- Transformative Investment Program
- Upstate Revitalization Initiative
- Urban and Community Development Program

I look forward to working with you to foster your economic vision for New York State.

Very Truly Yours,
Kevin Younis



Chief Operating Officer & Executive Deputy Commissioner

Cc: Chairperson of the Senate Committee on Finance
Chairperson of the Senate Committee on Commerce, Economic Development and Small Business
Chairperson of the Senate Committee on Corporations, Authorities and Commissions
Chairperson of the Assembly Committee on Ways and Means
Chairperson of the Assembly Committee on Economic Development, Job Creation, Commerce and Industry
Chairperson of the Assembly Committee on Corporations, Authorities and Commissions
Chairperson of the Assembly Committee on Small Business

As required by Section 30 of the Urban Development Corporation Act 174/68, this report contains the status of economic development programs administered by the Urban Development Corporation, doing business as Empire State Development (“ESD”). This report covers the fiscal year from April 1, 2020, through March 31, 2021. Awards contained in this report are comprised of Proposals for Assistance: Approved; Proposals for Assistance: Evaluated Not Yet Approved; and Proposals for Assistance: Evaluated No Further Action Recommended. During this fiscal period, ESD approved 334 awards totaling \$236,554,361 in funding commitments.

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- Project Detail by Region

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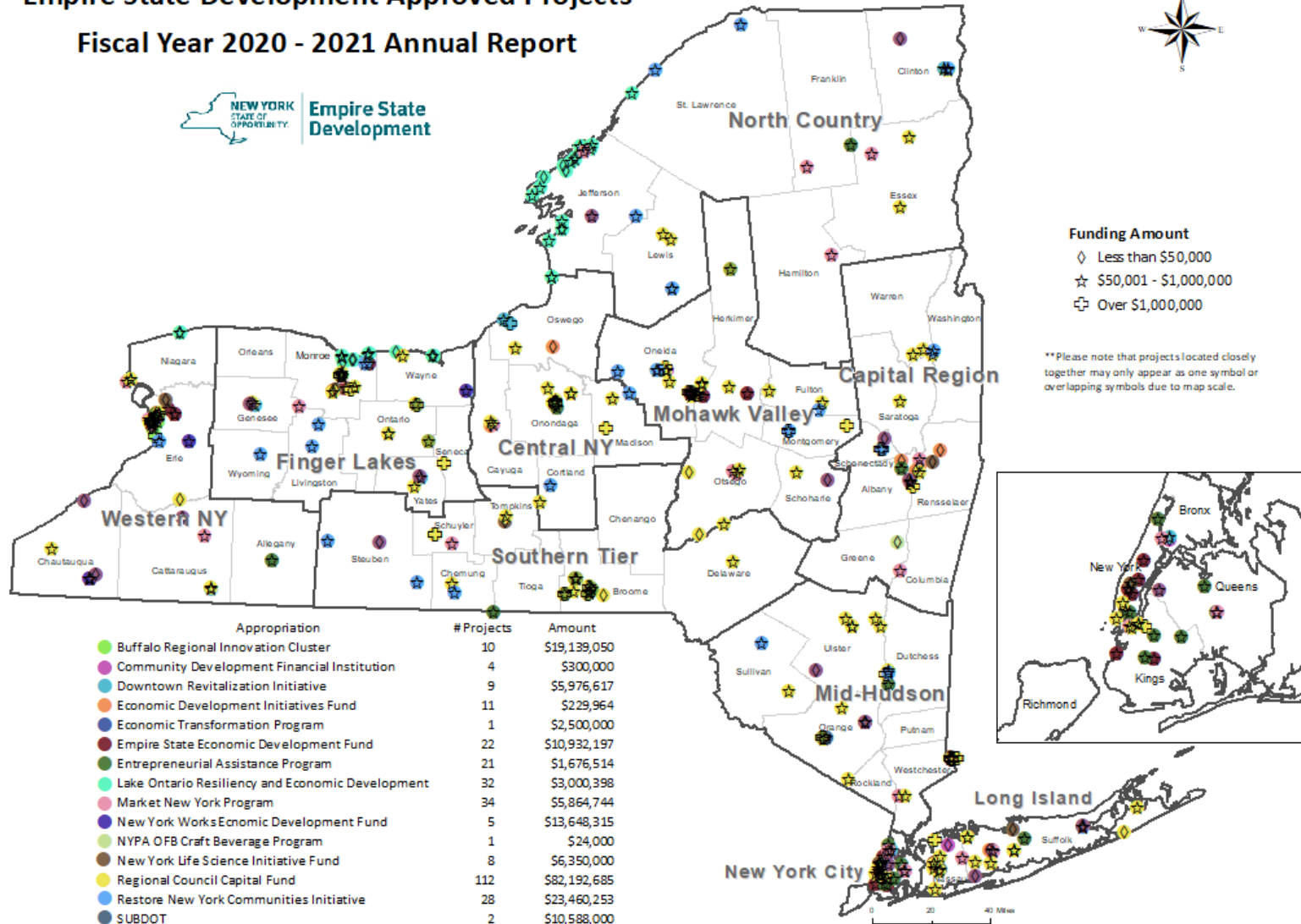
- Summary by Program and Region
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Section 3: Proposals for Assistance Evaluated – No Further Action Recommended

- Summary by Program and Region

Empire State Development Approved Projects

Fiscal Year 2020 - 2021 Annual Report



Funding Amount

- ◇ Less than \$50,000
- ☆ \$50,001 - \$1,000,000
- ⊠ Over \$1,000,000

**Please note that projects located closely together may only appear as one symbol or overlapping symbols due to map scale.

Appropriation	# Projects	Amount
Buffalo Regional Innovation Cluster	10	\$19,139,050
Community Development Financial Institution	4	\$300,000
Downtown Revitalization Initiative	9	\$5,976,617
Economic Development Initiatives Fund	11	\$229,964
Economic Transformation Program	1	\$2,500,000
Empire State Economic Development Fund	22	\$10,932,197
Entrepreneurial Assistance Program	21	\$1,676,514
Lake Ontario Resiliency and Economic Development	32	\$3,000,398
Market New York Program	34	\$5,864,744
New York Works Economic Development Fund	5	\$13,648,315
NYPA OFB Craft Beverage Program	1	\$24,000
New York Life Science Initiative Fund	8	\$6,350,000
Regional Council Capital Fund	112	\$82,192,685
Restore New York Communities Initiative	28	\$23,460,253
SUBDOT	2	\$10,588,000
Restore New York Communities Initiative	17	\$610,974
Upstate Revitalization Initiative	17	\$50,060,650
Total	394	\$236,554,361

ECONOMIC DEVELOPMENT PROGRAMS: APPROPRIATION CATEGORIES

Awards in this report are funded from the following appropriation categories (“Programs”):

- **Buffalo Regional Innovation Cluster (“BRIC”)** – an appropriation to create thousands of jobs and to spur at least \$5 billion in new investment and economic activity in Buffalo.
- **Community Development Financial Institution Program (“CDFI”)** – an appropriation that provides micro-loans to businesses that do not qualify for bank loans as well as one-on-one counseling and business development assistance to facilitate credit-readiness.
- **Downtown Revitalization Initiative (“DRI”)** – a \$100 million, appropriation to transform 10 downtown neighborhoods per year into vibrant communities. Each community is nominated by their respective Regional Economic Development Council, and is awarded \$10 million to develop a downtown strategic investment plan and implement key catalytic awards that advance the community’s vision for revitalization.
- **Economic Development Initiatives (“Global NY Fund”)** – \$2 million of this annual appropriation is typically set aside to provide assistance to companies and not-for-profit organizations to increase the export of New York’s products and services to foreign markets. Grants are made to help New York State’s private sector identify and develop export markets and increase their sales, thereby creating and retaining jobs.
- **Economic Development Purposes Fund (“EDPF”)** – an appropriation established in the FY 2012 budget that made \$62.36 million of funding available for economic development across New York State for business investment, infrastructure investment, and economic growth investment initiatives.
- **Economic Transformation Program (“ETP”)** – a \$50 million appropriation created as part of the FY 2012 budget and a \$32 million appropriation in the FY 2018 budget to assist communities affected by closures of the state’s correctional and juvenile justice facilities.
- **Empire State Economic Development Fund (“EDF”)** – created in 1996 and generally funded annually, this appropriation provides assistance for awards that promote the economic health of New York State by facilitating the creation or retention of jobs or the increase of business activity.
- **Entrepreneurial Assistance Program (“EAP”)** – two annual appropriations that establish and maintain Entrepreneurial Assistance Program Centers in communities to provide instruction, training, technical assistance, and support services to individuals who have recently started their own business or are interested in starting a business.
- **Federal Department of Defense Office of Economic Adjustment** - Up to \$700,000 was made available in the FY 2018 budget through the United States Department of Defense Office of Economic Adjustment to fund awards that assist established and early stage defense industry companies with diversification and strengthen the resilience of the New York State defense companies to fluctuations in defense spending.
- **High Technology Innovation and Economic Development Infrastructure Program (“High Tech EDI”)** – A \$300 million appropriation in FY 2019 that supports initiatives that foster research and development of innovative technologies and leverage private investment in advanced science and technology, economic development initiatives, infrastructure, manufacturing, and other economic development initiatives.

ECONOMIC DEVELOPMENT PROGRAMS: APPROPRIATION CATEGORIES CONTINUED

- **JOBS Now Program (“JN”)** – this appropriation, created in 1998, promotes the state’s economic health and the increase of economic activity by encouraging the expansion of current businesses and attraction of new businesses, primarily targeting companies that create at least 300 new permanent, full-time private sector jobs, with 25% of funds allowed for the creation of 100-299 jobs.
- **Lake Ontario Resiliency and Economic Development** - a \$30 million appropriation for resiliency-related capital improvement projects undertaken by eligible businesses, not for profit organizations, farms, homeowners associations, and owners of multiple dwellings who were impacted by severe flooding and raised water levels in the vicinity of Lake Ontario and the St. Lawrence River in 2019. Grants will reimburse up to 50% of the resiliency-related costs, with a maximum award amount of \$200,000. As a condition of receiving a Program grant, eligible entities must also attempt to procure a local matching contribution totaling at least 5% of the Program grant.
- **Market NY (“Market NY”)** – an appropriation initiated during Round 3 of the Regional Council Consolidated Funding Application process, to support regionally themed, New York focused awards to help to bolster tourism growth by promoting tourism destinations, attractions, and special events.
- **Metropolitan Economic Revitalization Fund (“MERF”)** – a \$25 million fund created in 1996 to revive economically distressed neighborhoods in the Port District of New York by offering capital loans that will result in retention or creation of a significant number of private-sector jobs.
- **Minority and Women-owned Business Development and Lending Program (“MWBDL”)** – an appropriation that provides financial assistance to minority- and women-owned business enterprises via loan funding.
- **New York Broadband Program (“New NY Broadband”)** – a \$500 million appropriation to support broadband deployment to Unserved and Underserved areas of the State, at Internet speeds of at least 100 megabits per second (Mbps) (download) in most places, and 25 Mbps (download) in the most remote parts of the State.
- **New York State Life Science Initiative Fund** – a \$320 million appropriation in the FY 2018 budget to spur the growth of a new, world-class life science research cluster in New York, as well as expand the state’s ability to commercialize this research and grow the economy.
- **NYPA Craft Beverage Marketing Program (“NYPA Craft Bev”)** – an appropriation established in FY 2015, under Section 19 of Part I of Chapter 55 of the State Law, allowing the Power Authority of the State of New York to make a contribution to ESD in furtherance of ESD’s statewide economic development initiatives.
- **New York Works Economic Development Fund (“NY Works”)** – an appropriation to support economic development.
- **Regional Council Capital Fund (“RCCF”)** – an appropriation established under the Governor’s Regional Economic Development Council Initiative to support capital-based economic development initiatives intended to create or retain jobs, prevent or reduce unemployment and underemployment and to increase business activity in a community or region.

ECONOMIC DEVELOPMENT PROGRAMS: APPROPRIATION CATEGORIES CONTINUED

- **Restore New York Communities Initiative (“Restore NY”)** – a \$300 million appropriation, originally awarded for Rounds 1-3 between FY 2007- FY 2009, that aids municipalities in revitalizing vacant, abandoned or deteriorating commercial and residential properties which may be in need of demolition, rehabilitation or reconstruction. In the FY 2016-2017, an additional \$70 million was appropriated for Round V.
- **SUBDOT**- a suballocation from the New York State Department of Transportation for appropriations that relate to grants which ESD is administers for roadway improvements. In this report the allocation is related to the development of the Legoland theme park.
- **Transformative Investment Program (“TIP”)** - a \$400 million appropriation to support capital costs of regionally significant economic development initiatives that create or retain private sector jobs. Funding is made available
For project costs, services, expenses, loans and grants that catalyze private investment, grow commercial and residential tax bases and enhance the environment and quality of life for New York State residents.
- **Upstate Revitalization Initiative (“URI”)** – a program aimed at systematically revitalizing the economy of upstate New York. Modeled after the success of the Buffalo Billion Initiative, the URI is an opportunity for the communities of upstate New York to address the economic challenges of their regions and work together in bringing jobs back upstate.
- **Urban and Community Development Program (“UCDP”)** – an appropriation that promotes economic development by encouraging economic opportunities in targeted communities and urban areas.

SECTION 1: SUMMARY OF APPROVED ASSISTANCE FISCAL YEAR APRIL 1, 2020 – MARCH 31, 2021

Summary of Approved Assistance

Awards are categorized by funding program and detail the total amount of assistance approved by the ESD Board during the Fiscal Year APRIL 1, 2020 – MARCH 31, 2021. If a recipient received more than one award or an award from more than one Program, each award is counted separately.

Appropriation	Number of Projects	Amount
Buffalo Reg Inn Clust - Better Buff Fund	10	\$19,139,050
Community Development Financial Institution	4	\$300,000
Downtown Revitalization Initiative	9	\$5,976,617
Economic Development Initiatives Fund (Global NY)	11	\$229,964
Economic Transformation Program	1	\$2,500,000
Empire State Economic Development Fund	22	\$10,932,197
Entrepreneurial Assistance Program	21	\$1,676,514
Lake Ontario Resiliency and Economic Development	32	\$3,000,398
Market New York Program	34	\$5,864,744
New York Works Empire State Economic Development	5	\$13,648,315
NYPA Craft Beverage Marketing Program	1	\$24,000
NYS Life Science Initiative Fund	8	\$6,350,000
Regional Council Capital Fund	112	\$82,192,685
Restore New York Communities Initiative	28	\$23,460,253
SUBDOT	2	\$10,588,000
Upstate Revitalization Initiative	17	\$50,060,650
Urban and Community Development Program	17	\$610,974
Total	334	\$236,554,361

Section 1: Proposals for Assistance –Approved 4/1/2020 – 3/31/2021
A. Summary by Program and Region (Page 1 of 4)

Funding Program	# of Projects	Approved Assistance
BUFFALO REGIONAL INNOVATION CLUSTER (BRIC)		
Western New York	10	\$19,139,050
TOTAL BRIC	10	\$19,139,050
COMMUNITY DEVELOPMENT FINANCIAL INSTITUTION (CDFI)		
Capital District	1	\$100,000
Long Island	1	\$50,000
Mohawk Valley	1	\$100,000
New York City	1	\$50,000
TOTAL CDFI	4	\$300,000
DOWNTOWN REVITALIZATION INITIATIVE (DRI)		
Central New York	2	\$3,000,000
Finger Lakes	2	\$685,000
Mohawk Valley	1	\$900,000
New York City	1	\$437,000
North Country	2	\$543,367
Western New York	1	\$411,250
TOTAL DRI	9	\$5,976,617
ECONOMIC DEVELOPMENT INITIATIVES PROGRAM (GLOBAL NY)		
Capital District	4	\$88,211
Central New York	2	\$23,119
Finger Lakes	1	\$19,144
Long Island	1	\$25,000
New York City	2	\$49,490
Western New York	1	\$25,000
TOTAL GLOBAL NY	11	\$229,964
ECONOMIC TRANSFORMATION PROGRAM (ETP)		
Southern Tier	1	\$2,500,000
TOTAL ETP	1	\$2,500,000
EMPIRE STATE ECONOMIC DEVELOPMENT FUND (EDF)		
Finger Lakes	2	\$595,768
Long Island	3	\$1,580,000
Mid-Hudson	1	\$80,000
Mohawk Valley	4	\$2,057,759
New York City	8	\$4,703,670
Western New York	4	\$1,915,000
TOTAL EDF	22	\$10,932,197

Section 1: Proposals for Assistance –Approved 4/1/2020 – 3/31/2021
A. Summary by Program and Region (page 2 of 4)

Funding Program	# of Projects	Approved Assistance
ENTREPRENEURIAL ASSISTANCE PROGRAM (EAP)		
Capital District	1	\$79,834
Central New York	1	\$79,834
Finger Lakes	1	\$79,834
Long Island	2	\$159,668
Mid-Hudson	2	\$159,668
Mohawk Valley	1	\$79,834
New York City	8	\$638,672
North Country	1	\$79,834
Southern Tier	2	\$159,668
Western New York	2	\$159,668
TOTAL EAP	21	\$1,676,514
LAKE ONTARIO RESILIENCY AND ECONOMIC DEVELOPMENT (LAKE ONTARIO)		
Central New York	2	\$400,000
Finger Lakes	11	\$815,700
North Country	17	\$1,655,891
Western New York	2	\$128,807
TOTAL LAKE ONTARIO	32	\$3,000,398
MARKET NEW YORK PROGRAM (MARKET NY)		
Capital District	4	\$739,272
Central New York	2	\$218,171
Finger Lakes	4	\$588,532
Long Island	2	\$262,500
Mid-Hudson	3	\$928,104
Mohawk Valley	3	\$544,987
New York City	3	\$569,950
North Country	5	\$806,245
Southern Tier	1	\$185,000
Western New York	7	\$1,021,983
TOTAL MARKET NY	34	\$5,864,744
NEW YORK WORKS ECONOMIC DEVELOPMENT FUND (NEW YORK WORKS)		
Finger Lakes	1	\$385,000
New York City	2	\$12,000,000
Western New York	2	\$1,263,315
TOTAL NEW YORK WORKS	5	\$13,648,315

Section 1: Proposals for Assistance –Approved 4/1/2018– 3/31/2019
A. Summary by Program and Region (Page 3 of 4)

Funding Program	# of Projects	Approved Assistance
NYPA CRAFT BEVERAGE PROGRAM (NYPA CRAFT BEV)		
Capital District	1	\$24,000
TOTAL NYPA CRAFT BEV	1	\$24,000
NEW YORK LIFE SCIENCE INITIATIVE FUND (NY LIFE SCIENCE)		
Capital District	1	\$50,000
Finger Lakes	1	\$50,000
Long Island	1	\$50,000
Mohawk Valley	1	\$6,000,000
New York City	2	\$100,000
Southern Tier	1	\$50,000
Western New York	1	\$50,000
TOTAL NY LIFE SCIENCE	8	\$6,350,000
REGIONAL COUNCIL CAPITAL FUND (RCCF)		
Capital District	8	\$10,199,000
Central New York	11	\$8,834,540
Finger Lakes	12	\$6,971,000
Long Island	15	\$7,371,952
Mid-Hudson	15	\$18,016,041
Mohawk Valley	18	\$10,134,627
New York City	6	\$5,167,293
North Country	5	\$1,672,000
Southern Tier	12	\$10,331,200
Western New York	10	\$3,495,032
TOTAL RCCF	112	\$82,192,685
RESTORE NEW YORK COMMUNITIES INITIATIVE (RESTORE NY)		
Capital District	2	\$1,457,258
Central New York	2	\$1,700,000
Finger Lakes	4	\$2,450,000
Long Island	1	\$250,000
Mid-Hudson	2	\$1,494,950
Mohawk Valley	6	\$9,500,000
North Country	6	\$4,037,945
Southern Tier	4	\$2,470,100
Western New York	1	\$100,000
TOTAL RESTORE NY	28	\$23,460,253
SUBDOT		
Mid-Hudson	2	\$10,588,000
TOTAL SUBDOT	2	\$10,588,000

Section 1: Proposals for Assistance –Approved 4/1/2020 – 3/31/2021
A. Summary by Program and Region (Page 4 of 4)

Funding Program	# of Projects	Approved Assistance
URBAN AND COMMUNITY DEVELOPMENT PROGRAM (UCDP)		
Capital District	2	\$60,156
Finger Lakes	2	\$28,700
Long Island	2	\$50,000
Mid-Hudson	1	\$50,000
Mohawk Valley	2	\$83,720
New York City	1	\$100,000
North Country	3	\$159,898
Southern Tier	1	\$11,000
Western New York	3	\$67,500
TOTAL UCDP	17	\$610,974
UPSTATE REVITALIZATION INITIATIVE (URI)		
Central New York	3	\$5,450,000
Finger Lakes	4	\$9,000,000
Mid-Hudson	1	\$8,000,000
Mohawk Valley	2	\$22,500,000
Southern Tier	7	\$5,110,650
TOTAL URI	17	\$50,060,650
Grand Total	334	236,554,361

Section 1: Proposals for Assistance – Approved 4/1/2020 – 3/31/2021

B. Project Detail by Region (Page 1 of 7)

Project Number	Project Name	Program	Approved Assistance
CAPITAL DISTRICT			
133,485	American Music Festival Trail Blazing Working Capital	MARKET NY	\$250,000
132,827	Blasch Working Capital 18-19	GLOBAL NY	\$20,257
133,881	Capital Region Chamber Foundation 20-21	EAP	\$79,834
125,580	City of Schenectady – Downtown Revitalization RESTORE NY IV	RESTORE NY	\$980,000
132,454	EASM Doty Machine Works Capital	RCCF	\$260,000
133,757	EGF CDFI RLF 21	CDFI	\$100,000
133,451	Erie Canal Accessibility Tourism Working Capital	MARKET NY	\$137,104
125,312	Hudson Headwaters Women’s Wellness Center Capital	RCCF	\$250,000
132,385	Hudson Valley Esports Invitational and Expo Working Capital	MARKET NY	\$152,168
131,671	HV Wine Mag Working Capital	NYPA CRAFT BEV	\$24,000
132,448	HVCC Nursing Program Expansion Capital	RCCF	\$200,000
133,196	Itthos Global Working Capital	GLOBAL NY	\$25,000
132,443	Lark Street Improvement Study Working Capital	UCDP	\$20,000
132,193	Next Advance 18-19 Working Capital	GLOBAL NY	\$17,954
133,452	Olana Partnership - 2020 Collaborative Exhibition Marketing	MARKET NY	\$200,000
125,703	Rensselaer Bioscience Development Upgrades Capital	RCCF	\$3,000,000
133,649	RPI Life Science Entrepreneur Development Grant Working Capital	NYS LIFE SCIENCE	\$50,000
132,449	SPAC Improvement Project	RCCF	\$1,000,000
131,436	The Mill Artisan District Capital	RCCF	\$2,325,000
130,161	The Mill Artisan District Capital	RCCF	\$2,900,000
132,944	Tire Conversion Technologies Working Capital	GLOBAL NY	\$25,000
132,272	Town of Glenville Feasibility Study Working Capital	UCDP	\$40,156
125,416	Village of Hudson Falls - Masonic Temple RESTORE IV	RESTORE NY	\$477,258
130,133	West Mountain Capital	RCCF	\$264,000
TOTAL CAPITAL DISTRICT		24 Projects	\$12,797,731
CENTRAL NEW YORK REGION			
131,819	Litatro Building DRI Capital	DRI	\$1,000,000
131,818	Riverwalk - West First Street Redevelopment Capital	DRI	\$2,000,000
133,889	South Side Innovation Center 20-21	EAP	\$79,834
133,195	Currier Plastics Working Capital	GLOBAL NY	\$9,444
133,762	Nasiff Working Capital 19-20	GLOBAL NY	\$13,675
134,080	Greene Point Marina, LLC	LAKE ONTARIO	\$200,000
134,081	Greene Point Mobile Home Park, LLC	LAKE ONTARIO	\$200,000
127,351	DeWitt Carrier Park MNY Working Capital	MARKET NY	\$68,171
133,508	NYS Equal Rights Heritage Center Marketing Plan Working Capital	MARKET NY	\$150,000
127,525	400 South Salina Street Sibley’s Building Capital	RCCF	\$2,500,000
131,252	Prospect Place Mixed-Use Development Capital	RCCF	\$320,000
129,533	St Joseph’s Hospital Capital	RCCF	\$136,540
124,676	Northeast Information Discovery Capital	RCCF	\$200,000
126,027	Oneida Air Systems Capital	RCCF	\$341,000
131,327	Cortlandville Gutches Lumber Sports Complex Phase 1 Capital	RCCF	\$862,000
128,072	Martens Companies Capital	RCCF	\$940,000
131,330	PACE CNY Capital	RCCF	\$965,000
132,493	Immediate Mailing Services, Inc.	RCCF	\$450,000
132,606	Knowles Cazenovia Thin Film Commercial Expansion Capital	RCCF	\$1,800,000
132,611	Volpi Manufacturing Capital	RCCF	\$320,000
131,776	City of Oneida - 155 Madison Building Rehabilitation RESTORE NY V	RESTORE NY	\$700,000
131,774	Village of Homer Main Street Building Reconstruction RESTORE NY V	RESTORE NY	\$1,000,000
133,118	JMA Tech Properties LLC	URI	\$5,000,000
133,581	UAS Center of Excellence Study Working Capital	URI	\$250,000
127,831	Whitlock- South Salina Street Capital	URI	\$200,000
TOTAL CENTRAL NEW YORK REGION		25 Projects	\$19,705,664
FINGER LAKES REGION			
133,859	Alkemy Machine Capital	RCCF	\$140,000
133,957	Beth Corporation dba Marge’s Lakeside Inn	LAKE ONTARIO	\$28,105
133,975	Capital Group, Inc.	LAKE ONTARIO	\$93,647
132,543	City of Batavia Working Capital	UCDP	\$20,000

Section 1: Proposals for Assistance – Approved 4/1/2020 – 3/31/2021

B. Project Detail by Region (Page 2 of 7)

Project Number	Project Name	Program	Approved Assistance
FINGER LAKES REGION Continued			
132,726	City of Rochester ROC the Riverway Rundel Library Terrace Capital	URI	\$1,500,000
133,953	Coastal View Association, Inc.	LAKE ONTARIO	\$73,750
128,258	Downtown Kitchen Incubator Rochester NY	RCCF	\$213,500
132,874	Electronics & Innovation Global NY Working Capital	GLOBAL NY	\$19,144
133,190	Ellicott Station Capital	DRI	\$425,000
133,979	Fire Research & Technology, LLC	LAKE ONTARIO	\$19,500
133,977	Fox Fruit Farms LLC	LAKE ONTARIO	\$50,000
133,518	Genesee Country Village & Museum Working Capital	MARKET NY	\$78,700
134,084	Hedges Lakeside Properties, LLC	LAKE ONTARIO	\$83,439
129,411	IEC Electronics URI Capital	URI	\$2,000,000
133,950	John A. Lynch	LAKE ONTARIO	\$24,794
132,566	KC Bailey Orchards Expansion Capital	RCCF	\$192,000
128,306	Keuka College Dahlstrom Student Center Capital	RCCF	\$240,000
132,552	La Marketa Latin American Village Plaza Capital	RCCF	\$400,000
133,851	LaserMaxDefense COVID-19 Response	EDF	\$175,768
133,161	Laurentide Inn DRI Round 3	DRI	\$260,000
133,524	Meeting and Convention Tourism Promotion	MARKET NY	\$97,500
132,559	Nazareth College Golisano Training Center Capital	RCCF	\$400,000
133,455	New York Kitchen Continued Marketing One Campus Program Working Capital	MARKET NY	\$187,332
132,550	NY Kitchen Campus Development Capital	RCCF	\$1,000,000
133,949	Ontario Properties, Inc. dba Shumway Marine	LAKE ONTARIO	\$200,000
124,977	Pure Functional Foods - Hemp Processing Program	NY WORKS	\$385,000
132,335	Real Eats Capital	URI	\$500,000
133,650	RIT Life Science Entrepreneur Development Grant Working Capital	NYS LIFE SCIENCE	\$50,000
133,097	Rochester Riverside Convention Center Capital II	URI	\$5,000,000
133,967	Rochester Yacht Club	LAKE ONTARIO	\$200,000
132,565	SamSen Capital	RCCF	\$2,000,000
133,958	Sandbar, Inc.	LAKE ONTARIO	\$11,400
131,588	Seneca Lake Irrigation Working Capital	UCDP	\$8,700
132,551	Sibley Public Market	RCCF	\$600,000
133,978	Steven S. Sill, Inc.	LAKE ONTARIO	\$31,065
129,582	The Schottland Family YMCA Capital	RCCF	\$1,455,500
133,419	The Strong Museum Expanded Marketing Project Working Capital	MARKET NY	\$225,000
133,891	Urban League of Rochester 20-21	EAP	\$79,834
131,759	Village Avon - Park Theater RESTORE NY V	RESTORE NY	\$400,000
131,757	Village of Geneseo - The Poor House Renovation RESTORE NY V	RESTORE NY	\$700,000
131,754	Village of Warsaw - 283-287 North Main Street RESTORE NY V	RESTORE NY	\$1,000,000
131,758	Village of Webster East Main Street RESTORE NY V	RESTORE NY	\$350,000
128,338	Wayne County Manufacturing Training Facility	RCCF	\$150,000
131,571	Western Region Off-Track Betting Paddock Upgrades	RCCF	\$180,000
126,611	Xerox Toner Plant Expansion Capital	EDF	\$420,000
TOTAL FINGER LAKES REGION		45 Projects	\$21,668,678
LONG ISLAND REGION			
131,275	Adelphi University Labs for Tomorrow Capital	RCCF	\$300,000
133,761	Avery Biomedical Working Capital	GLOBAL NY	\$25,000
133,840	Chembio COVID-19 Response	EDF	\$1,000,000
133,801	Clear Vu Medical/Critical Supplies Related to COVID-19	EDF	\$80,000
133,450	Economic Development Cooperative Marketing Initiative Working Capital	MARKET NY	\$187,500
133,895	Empire Bio Diagnostics COVID-19 Response	EDF	\$500,000
128,317	Family Service League Capital	RCCF	\$500,000

Section 1: Proposals for Assistance – Approved 4/1/2020 – 3/31/2021

B. Project Detail by Region (Page 3 of 7)

Project Number	Project Name	Program	Approved Assistance
LONG ISLAND REGION Continued			
131,279	Georgica Green Ventures Capital	RCCF	\$300,000
125,102	Glen Cove Waterfront Connector Capital	RCCF	\$2,500,000
133,354	Harbor Child Care Capital	RCCF	\$31,140
133,883	Hofstra University 20-21	EAP	\$79,834
132,423	Hofstra University Cybersecurity Innovation and Research Center Capital	RCCF	\$200,000
133,753	La Fuerza CDFI RLF 21	CDFI	\$50,000
129,532	NYIT Cyber Security Incubator Capital	RCCF	\$400,000
127,975	Ronkonkoma Hub Capital I	RCCF	\$550,000
127,975	Ronkonkoma Hub Capital I	RCCF	\$500,000
133,360	Southampton Arts Summer Stage Capital	RCCF	\$14,000
133,651	Stony Brook Life Science Entrepreneur Development Grant Working Capital	NYS LIFE SCIENCE	\$50,000
133,890	Suffolk County Community College 20-21	EAP	\$79,834
127,481	Sunrise Day Camp International Headquarters Capital	RCCF	\$500,000
132,598	Sunrise STEAM Shack Capital	RCCF	\$126,812
133,513	Tourism's Role in Saving Endangered Sea Life Working Capital	MARKET NY	\$75,000
129,339	Town of Riverhead - Riverhead Apartments RESTORE IV	RESTORE NY	\$250,000
130,346	Town of Riverhead Parking Plan Working Capital	UCDP	\$10,000
130,337	Village of Lindenhurst Master Plan Working Capital	UCDP	\$40,000
131,598	Village of Sag Harbor Capital	RCCF	\$550,000
130,070	YMCA of Long Island Capital	RCCF	\$500,000
130,070	YMCA of Long Island Capital	RCCF	\$400,000
TOTAL LONG ISLAND REGION		28 Projects	\$9,799,120
MID-HUDSON REGION			
133,555	A Marketing Plan for Expansion	MARKET NY	\$428,104
131,784	City of Poughkeepsie - Trolley Barn Redevelopment RESTORE NY V	RESTORE NY	\$994,950
127,851	City of Yonkers Ashburton Avenue Capital	RCCF	\$1,500,000
132,657	City Winery Hudson Valley Capital	RCCF	\$832,000
129,258	Foundation for Vassar Brothers Medical Center Capital	RCCF	\$2,500,000
131,647	Fruition Chocolate Capital	RCCF	\$75,000
129,133	Hudson Valley Agribusiness Capital	RCCF	\$226,596
133,439	Hudson Valley Pattern for Progress - Ellenville Strategic Plan Working Capital	UCDP	\$50,000
127,931	Independent Helicopters LLC Capital	EDF	\$80,000
133,654	LEGOLAND New York Capital	MARKET NY	\$100,000
133,654	LEGOLAND New York Capital	RCCF	\$3,000,000
133,654	LEGOLAND New York Capital	RCCF	\$3,000,000
133,654	LEGOLAND New York Capital	RCCF	\$1,000,000
133,654	LEGOLAND New York Capital	SUBDOT	\$588,000
133,654	LEGOLAND New York Capital	SUBDOT	\$10,000,000
133,654	LEGOLAND New York Capital	URI	\$8,000,000
129,773	New York Medical College Capital - Touro Dental Health	RCCF	\$750,000
130,168	North Street Brick Works Capital	RCCF	\$832,445
127,295	Philipsburg Manor Bridge and Wharf Market NY Capital RC6	MARKET NY	\$400,000
132,144	Schatz Bearing Capital	RCCF	\$600,000
132,655	The Research Institute for Brain and Body Health Capital	RCCF	\$1,000,000
127,556	The Solar Energy Consortium Capital	RCCF	\$1,000,000
128,406	The Solar Energy Consortium Capital	RCCF	\$700,000
131,788	Town of Liberty - Parkville Hamlet Revitalization RESTORE NY V	Restore NY Comm 17-18	\$500,000
132,866	Tuxedo Sloatsburg Corridor Revitalization Phase II Capital	RCCF	\$1,000,000
133,893	Women's Enterprise Development Poughkeepsie 20-21	EAP	\$79,834
133,894	Women's Enterprise Development Westchester 20-21	EAP	\$79,834
TOTAL MID-HUDSON REGION		27 Projects	\$39,316,763
MOHAWK VALLEY REGION			
131,941	AIDA Southside Hotel	RCCF	\$1,200,000
133,879	Business Training Institute, Inc 20-21	EAP	\$79,834
129,131	CABVI Capital	RCCF	\$1,700,000
129,305	City of Rome – Rome Turney Demolition RESTORE IV	RESTORE NY	\$500,000

Section 1: Proposals for Assistance – Approved 4/1/2020 – 3/31/2021

B. Project Detail by Region (Page 4 of 7)

MOHAWK VALLEY REGION Continued			
Project Number	Project Name	Program	Approved Assistance
132,161	Cold Point DRI	DRI	\$900,000
133,854	COVID-19 Response Environmental Composites	EDF	\$772,259
133,845	COVID-19 Response HPK Industries	EDF	\$1,000,000
133,514	Diamonds of the Hall of Fame Collection Video Series	MARKET NY	\$317,250
131,779	Diana Knitting Mill Redevelopment RESTORE V	RESTORE NY	\$1,000,000
133,076	Enchanted Forest Expansion Capital	URI	\$500,000
127,645	Feldmeier Equipment Capital	EDF	\$150,000
133,862	Genesis Disposables COVID-19 Response	EDF	\$135,500
128,002	Gloversville Public Library Capital	RCCF	\$500,000
131,659	Griffiss International Airport Building 100 Capital	RCCF	\$1,400,000
131,544	Herkimer Diamond Mine Village Capital	RCCF	\$250,000
131,547	Little Falls Hospital Capital	RCCF	\$480,000
133,280	M. A. Polce Rome Headquarters Expansion	RCCF	\$260,000
131,638	Masonic Medical Research Laboratory Capital	RCCF	\$400,000
131,420	Matt Brewing Capital	RCCF	\$1,500,000
132,210	MMRI Life Sciences Initiative	NYS LIFE SCIENCE	\$6,000,000
133,449	Munson-Williams-Proctor Arts Institute Fashioning Art from Paper Working Capital	MARKET NY	\$106,987
132,206	Oneida National Bank Redevelopment Project	RCCF	\$800,000
128,891	Oriskany Manufacturing Capital	RCCF	\$600,000
132,488	Otsego Now - Steiner Packing Capital	RCCF	\$19,800
131,551	Pathfinder Village Adult Day Services Capital	RCCF	\$17,827
126,094	RESTORE NY IV City of Utica - Downtown Rehabilitation	RESTORE NY	\$1,000,000
123,864	Schoharie County Board of Supervisors Study Working Capital	UCDP	\$48,145
131,516	SUNY PI Assistive Technology Laboratory Capital	RCCF	\$600,000
127,013	Sylvan Beach 616 Main Street - RESTORE IV	RESTORE NY	\$500,000
133,448	The Public Art of Keith Haring: Back to the People Working Capital	MARKET NY	\$120,750
132,761	UFA Impact Center Feasibility Study Working Capital	UCDP	\$35,575
133,754	UIDC CDFI RLF 21	CDFI	\$100,000
132,476	United Way Childcare Capital	RCCF	\$100,000
132,166	Upper Mohawk Valley Auditorium Nexus Center URI Capital	URI	\$22,000,000
127,297	Village of Canajoharie - Beechnut RESTORE IV	RESTORE NY	\$500,000
131,781	Village of Canajoharie - Exit 29 Redevelopment RESTORE V	RESTORE NY	\$6,000,000
127,466	Village of Cobleskill Railroad Avenue Capital	RCCF	\$150,000
124,826	Village of Cooperstown WiFi Hotspot Capital	RCCF	\$57,000
133,262	Woodland Farm Brewery Expansion Capital	RCCF	\$100,000
TOTAL MOHAWK VALLEY REGION			\$51,900,927
NEW YORK CITY REGION			
132,942	Airto Global NY Working Capital	GLOBAL NY	\$25,000
133,876	Bedford Stuyvesant Restoration Corp 20-21	EAP	\$79,834
133,504	Brooklyn Historical Society Marketing Working Capital	MARKET NY	\$169,950
125,683	Brooklyn Navy Yard Transportation Capital	RCCF	\$986,792
133,878	Business Outreach Center Network, Inc 20-21	EAP	\$79,834
133,880	CAMBA 20-21	EAP	\$79,834
133,882	Chinatown Manpower Project 20-21	EAP	\$79,834
133,898	CJ Designs Working Capital Medical/Critical Supplies COVID-19 Response	EDF	\$352,590
133,896	Dellet Industries COVID-19 Response	EDF	\$850,000
132,517	Exalt Youth Headquarters Capital	RCCF	\$130,501
133,841	Fur America COVID-19 Response	EDF	\$600,000
133,802	Garment District for Gowns Medical/Critical Supplies for the COVID19 Ramp Up	EDF	\$472,000
126,230	GrowNYC Capital	NY WORKS	\$6,000,000
133,519	IT'S IN QUEENS! Tourism Marketing Program Working Capital	MARKET NY	\$150,000

Section 1: Proposals for Assistance – Approved 4/1/2020 – 3/31/2021

B. Project Detail by Region (Page 5 of 7)

NEW YORK CITY REGION Continued			
Project Number	Project Name	Program	Approved Assistance
132,771	Jonevon Working Capital	GLOBAL NY	\$24,490
131,608	LICP Life Sciences Cluster Working Capital	UCDP	\$100,000
133,886	Local Development Corporation of East New York 20-21	EAP	\$79,834
133,843	Made in Midtown COVID 19 Response	EDF	\$250,000
132,428	Nanotronics Imaging Project Chip Capital	RCCF	\$2,250,000
133,789	New Lab COVID-19 Response	EDF	\$1,000,000
131,594	New York BioLabs Capital II	RCCF	\$100,000
132,498	New York University Virtual Reality Capital	RCCF	\$700,000
132,818	Nos Quedamos Broadband Capital	DRI	\$437,000
128,518	NYC Department of Small Business Services - Wetlands Mitigation Capital	EDF	\$500,000
127,615	NYU eSTEAM Pipeline Capital	RCCF	\$1,000,000
133,648	NYU Life Science Entrepreneur Development Grant Working Capital	NYS LIFE SCIENCE	\$50,000
133,842	Print Parts COVID-19 Response	EDF	\$679,080
133,887	Queens Economic Development Corp 20-21	EAP	\$79,834
133,888	Renaissance Economic Development Corp 20-21	EAP	\$79,834
133,756	SOBRO CDFI RLF 21	CDFI	\$50,000
133,652	Touro Life Science Entrepreneur Development Grant Working Capital	NYS LIFE SCIENCE	\$50,000
133,897	UMEZ - National Urban League	NY WORKS	\$6,000,000
133,517	Universal Hip Hop Marketing Program Working Capital	MARKET NY	\$250,000
133,892	Washington Heights & Inwood Development Corp 20-21	EAP	\$79,834
TOTAL NEW YORK CITY REGION		34 Projects	\$23,816,075
NORTH COUNTRY REGION			
134,034	1000 Island Traditions, Inc. dba Thousand Islands Country Club	LAKE ONTARIO	\$176,800
133,503	1000 Islands Seaway Region High Water Reputation Recovery	MARKET NY	\$120,000
133,875	Adirondack Economic Development Corp. 20-21	EAP	\$79,834
126,687	Adirondack Historical Association Feasibility Study Working Capital	UCDP	\$21,750
134,030	Bedford Creek Properties LLC	LAKE ONTARIO	\$36,500
134,044	Boathouse Marine LLC	LAKE ONTARIO	\$173,900
134,001	Campbell's Point Association	LAKE ONTARIO	\$85,250
131,743	City of Ogdensburg - Former Newell Building and Site RESTORE NY V	RESTORE NY	\$1,000,000
126,847	City of Plattsburgh - Dock Street DRI Capital	DRI	\$243,367
131,747	City of Plattsburgh - MLD Site Redevelopment - RESTORE NY V	RESTORE NY	\$338,945
131,283	City of Watertown Comprehensive Plan Working Capital	UCDP	\$90,000
134,013	Clayton Island Tours LLC	LAKE ONTARIO	\$20,000
134,000	D&D 143 Corporation dba Otter Creek Inn	LAKE ONTARIO	\$200,000
133,521	Diversity Initiative Programming & Marketing	MARKET NY	\$129,945
123,898	Durkee Street DRI Working Capital	DRI	\$300,000
133,404	Empire State Winter Games Working Capital	MARKET NY	\$100,000
133,478	Get Outside: Four-Season Destination Strategy	MARKET NY	\$366,300
134,003	Harbor's End, Inc.	LAKE ONTARIO	\$50,102
130,271	Lyons Falls Mill Site Capital	RCCF	\$350,000
133,994	Martin's Marina Inc.	LAKE ONTARIO	\$111,098
132,470	Mitigation of the City of Plattsburgh's Water Resource Recovery Facility	RCCF	\$758,000
134,004	Mobile Home Park Trust	LAKE ONTARIO	\$32,278
134,054	Northern Marine Inc	LAKE ONTARIO	\$61,694
132,472	Omniafiltra 2019 Capital	RCCF	\$114,000
134,011	Ontario Shores	LAKE ONTARIO	\$200,000
126,581	Paradox Brewery Capital	RCCF	\$200,000
134,037	Rainbow Shores Trailer Park, LLC	LAKE ONTARIO	\$16,225
133,454	Regional Tourism Marketing Plan	MARKET NY	\$90,000
134,036	River Watch Yacht Basin, Inc.	LAKE ONTARIO	\$200,000
134,051	Sackets Boathouse, LLC	LAKE ONTARIO	\$79,613
133,997	St. Lawrence Grande Inc.	LAKE ONTARIO	\$64,784
131,746	The Old Mill Rehabilitation RESTORE NY V	RESTORE NY	\$987,000
132,457	Town of Dannemora - Planning Working Capital	UCDP	\$48,148
131,748	Town of Wilna - Dock Street Rehabilitation RESTORE NY V	RESTORE NY	\$772,000
126,200	Village of Lyons Falls - Paper Mill Site Cleanup RESTORE IV	RESTORE NY	\$500,000
131,745	Village of Lyons Falls Paper Mill Site Cleanup RESTORE NY V	RESTORE NY	\$440,000
133,407	Ward Lumber - Cooperative Purchase Capital	RCCF	\$250,000
134,008	West Winds Cottages & Motel, Inc.	LAKE ONTARIO	\$48,427
134,056	Wright's Sporting Goods & Marine Inc.	LAKE ONTARIO	\$99,220
TOTAL NORTH COUNTRY REGION		39 Projects	\$8,955,180

Section 1: Proposals for Assistance – Approved 4/1/2020 – 3/31/2021
B. Project Detail by Region (Page 6 of 7)

Project Number	Project Name	Program	Approved Assistance
SOUTHERN TIER REGION			
132,271	250 Main Street Mixed-Use Capital	URI	\$54,830
132,171	7 Hawley Street Capital	URI	\$2,152,300
132,278	AgZeit Capital	URI	\$1,949,000
129,622	Air-Flo Manufacturing Company Capital	RCCF	\$475,000
132,729	Amphenol Endwell Capital	RCCF	\$400,000
133,548	Beer Tree Brew Works New Location	RCCF	\$340,000
133,877	Binghamton LDC 20-21	EAP	\$79,834
128,127	Broome Community College- Carnegie Library Capital	RCCF	\$5,497,200
132,731	Buckingham Facility Expansion Capital	RCCF	\$50,000
131,458	Buckingham Manufacturing Company Capital	RCCF	\$64,000
132,899	Buckingham Manufacturing Expansion Capital	URI	\$45,000
131,465	Calice Advanced Manufacturing Center Capital	RCCF	\$330,000
131,762	City of Binghamton - North Side First Ward Residential Property RESTORE NY V	RESTORE NY	\$60,000
131,767	City of Corning - 22 West Third Street RESTORE NY V	RESTORE NY	\$901,700
131,760	City of Elmira - Baldwin Street Mixed Use RESTORE NY V	RESTORE NY	\$508,400
131,761	City of Hornell - Marion-Rohr Redevelopment RESTORE NY V	RESTORE NY	\$1,000,000
133,647	Cornell Life Science Entrepreneur Development Grant Working Capital	NYS LIFE SCIENCE	\$50,000
133,506	Festival of Lights in the Glen – Summer through Early Winter Working Capital	MARKET NY	\$185,000
133,884	Ibero American Action League, Inc 20-21	EAP	\$79,834
132,747	Ithaca Childcare Capital	RCCF	\$790,000
133,533	Lupo's Meat Processing Plant Capital	RCCF	\$250,000
132,763	Main & Broad JB Building URI Capital	URI	\$230,430
124,445	Otsego Ready Mix Capital	RCCF	\$385,000
132,739	Sportsfield Specialties Expansion Capital	RCCF	\$500,000
132,381	The Armory Lofts URI Capital	URI	\$179,090
132,379	The Kenmore Building Greater Binghamton URI Capital	URI	\$500,000
132,746	Village of Bath Strategic Plan 2018 Working Capital	UCDP	\$11,000
124,493	Village of Watkins Glen- Seneca Capital	RCCF	\$1,250,000
131,316	Watkins Glen- Seneca Lake Capital	ETP	\$2,500,000
TOTAL SOUTHERN TIER REGION		29 Projects	\$20,817,618
WESTERN NEW YORK REGION			
133,699	43North Forge Buffalo Working Capital	BRIC	\$462,000
133,874	ACCORD Corp. 20-21	EAP	\$79,834
127,619	Allen Street Streetscape Capital	RCCF	\$600,000
132,698	Aquarium of Niagara Shark and Ray Bay Interactive Touch Pool	RCCF	\$273,000
126,309	Art's Café Springville - 5 East Main Capital	RCCF	\$43,220
128,466	Bethlehem Steel Redevelopment Phase II Capital	BRIC	\$10,000,000
133,857	BUDC Solar Microgrid Capital	BRIC	\$2,000,000
133,064	Buffalo Museum of Science Capital Improvements ESCEDF	BRIC	\$2,600,000
133,239	Buffalo Niagara Freedom Station Coalition ESCEDF	BRIC	\$900,000
125,013	Calspan	EDF	\$400,000
132,697	Center for Therapeutic Interactions	RCCF	\$1,200,000
133,221	Central Terminal Planning Study	BRIC	\$400,000
134,112	City of Jamestown NY Works EDF 2020	NY WORKS	\$1,000,000
127,319	County of Chautauqua - South County Industrial Park Expansion Capital	RCCF	\$200,000
126,127	Downtown Niagara Falls Stabilization Capital	RCCF	\$91,812
133,808	East Side Commercial Building Stabilization Fund Capital	BRIC	\$500,000
133,445	Explore and More Working Capital	MARKET NY	\$150,000
132,369	Four Mile Brewing DRI Capital	DRI	\$411,250
133,509	Frank Lloyd Wright's Buffalo Marketing Initiative Working Capital	MARKET NY	\$75,000

Section 1: Proposals for Assistance – Approved 4/1/2020 – 3/31/2021
B. Project Detail by Region (Page 7 of 7)

WESTERN NEW YORK REGION Continued			
Project Number	Project Name	Program	Approved Assistance
133,453	Front Park Augmented Reality	MARKET NY	\$146,171
133,456	Golden Mummies Special Exhibit, Working Capital	MARKET NY	\$75,000
133,885	IBERO Medaille College Buffalo 20-21	EAP	\$79,834
134,072	James McDonough	LAKE ONTARIO	\$94,350
134,070	Kaplan Newco, LLC dba Back Bay Marina	LAKE ONTARIO	\$34,457
133,767	La Plaza de Virginia	BRIC	\$1,866,000
132,882	Mandela Market Expansion Capital	BRIC	\$130,000
132,923	Manufacturers Hanover Bank Building Building Stabilization Capital	RCCF	\$200,000
134,096	MSAAHCC Strategic Action Plan Working Capital	BRIC	\$281,050
133,403	National Comedy Center Working Capital	MARKET NY	\$240,000
126,287	Niagara Street Reconstruction I	RCCF	\$160,000
126,287	Niagara Street Reconstruction I	RCCF	\$350,000
129,473	Northern Chautauqua Community Economic Development Strategy	UCDP	\$40,000
133,224	PostProcess Technology Working Capital	GLOBAL NY	\$25,000
133,899	Shatkin F.I.R.S.T. Working Capital Medical/Critical Supplies COVID-19 Response	EDF	\$675,000
123,688	SMART 71 Training Center Capital	RCCF	\$377,000
123,913	Steuben Foods- Hemp Processing Program	NY WORKS	\$263,315
133,839	Strong Manufacturers COVID-19 Response	EDF	\$600,000
131,607	The Connection Capital 2018	EDF	\$240,000
130,223	The LeeKee Lagoon Capital	MARKET NY	\$160,812
133,505	The Other Side of New York Working Capital	MARKET NY	\$175,000
132,704	Town of Ashford Feasibility Study Working Capital	UCDP	\$12,500
133,653	UB Life Science Entrepreneur Development Grant Working Capital	NYS LIFE SCIENCE	\$50,000
131,697	Village of Blasdell – South Park Revitalization RESTORE NY V	RESTORE NY	\$100,000
131,367	Village of Falconer Feasibility Study Working Capital	UCDP	\$15,000
TOTAL WESTERN NEW YORK REGION		44 Projects	\$27,776,605
Grand Total		334 Projects	\$236,554,361

**SECTION 2: SUMMARY OF ASSISTANCE
EVALUATED NOT YET APPROVED
FISCAL YEAR APRIL 1, 2020 – MARCH 31, 2021**

Summary of Assistance Evaluated Not Yet Approved

Awards are broken down by Program and detail the total amount of assistance for awards that were evaluated, but not yet approved by ESD at the end of Fiscal Year APRIL 1, 2020 – MARCH 31, 2021. Per statute, in order to promote the development of proposed awards, references to specific participant names have been excluded. Instead, project numbers are included in order to identify the progress of specific proposals. If a recipient received more than one award or an award from more than one Program, each award is counted separately.

Program	Number of Projects	Proposed Assistance
Buffalo Regional Innovation Cluster	3	\$1,900,000
Downtown Revitalization Initiative	8	\$8,638,890
Empire State Economic Development Fund	8	\$1,358,784
Lake Ontario Resiliency and Economic Development Program	95	\$12,864,609
Market New York Program	8	\$3,597,641
Regional Council Capital Fund	58	\$53,131,829
Urban and Community Development Program	4	\$202,500
Total	184 Projects	\$81,694,253

Section 2: Proposals for Assistance Evaluated Not Yet Approved

4/1/2020 – 3/31/2021

A. Project Detail by Region (Page 1 of 2)

Program	Number of Projects	Proposed Assistance
BUFFALO REGIONAL INNOVATION CLUSTER (BRIC)		
Western New York	3	\$1,900,000
TOTAL BRIC	3	\$1,900,000
DOWNTOWN REVITALIZATION INITIATIVE (DRI)		
Capital District	2	\$480,000
Mid-Hudson	1	\$3,800,000
North Country	1	\$375,000
Southern Tier	1	\$439,000
Western New York	3	\$3,544,890
TOTAL DRI	8	\$8,638,890
EMPIRE STATE ECONOMIC DEVELOPMENT FUND (EDF)		
Capital District	3	\$218,680
Central New York	2	\$300,000
Mid-Hudson	1	\$750,000
New York City	2	\$90,104
TOTAL EDF	8	\$1,358,784
LAKE ONTARIO RESILIENCY AND ECONOMIC DEVELOPMENT (LAKE ONTARIO)		
Central New York	7	\$1,017,377
Finger Lakes	31	\$4,630,736
North Country	47	\$6,131,974
Western New York	10	\$1,084,522
TOTAL LAKE ONTARIO	95	\$12,864,609
MARKET NEW YORK PROGRAM (MARKET NY)		
Capital District	2	\$1,107,899
Mid-Hudson	3	\$931,000
New York City	2	\$1,308,742
North Country	1	\$250,000
TOTAL MARKET NY	8	\$3,597,641
REGIONAL COUNCIL CAPITAL FUND (RCCF)		
Capital District	7	\$8,310,000
Central New York	6	\$6,265,000
Finger Lakes	1	\$450,000
Long Island	12	\$7,539,000
Mid-Hudson	11	\$10,589,000
Mohawk Valley	5	\$6,218,980
New York City	2	\$1,620,000
North Country	5	\$4,317,849
Southern Tier	5	\$3,999,000
Western New York	4	\$3,823,000
TOTAL RCCF	58	\$53,131,829

Section 2: Proposals for Assistance Evaluated Not Yet Approved
4/1/2020 – 3/31/2021
A. Project Detail by Region (Page 2 of 2)

Program	Number of Projects	Proposed Assistance
URBAN AND COMMUNITY DEVELOPMENT PROGRAM (UCDP)		
Capital District	2	\$87,500
Central New York	1	\$100,000
Western New York	1	\$15,000
TOTAL UCDP	4	\$202,500
Grand Total	184 Projects	\$81,694,253

Section 2: Proposals for Assistance – Evaluated Not Yet Approved 4/1/2020 – 3/31/2021
B. Project Detail by Region (Page 1 of 5)

Project Number	Program	Proposed Assistance	Date Project Initiated
CAPITAL DISTRICT			
133,726	DRI	\$230,000	11/25/2020
133,771	DRI	\$250,000	11/16/2020
134,152	EDF	\$100,000	3/9/2021
134,153	EDF	\$22,000	3/23/2021
134,155	EDF	\$96,680	3/17/2021
133,464	MARKET NY	\$500,000	7/22/2020
133,563	MARKET NY	\$607,899	5/4/2020
126,330	RCCF	\$950,000	11/17/2020
133,075	RCCF	\$1,000,000	4/13/2020
133,458	RCCF	\$5,000,000	7/22/2020
133,462	RCCF	\$800,000	7/23/2020
133,465	RCCF	\$400,000	9/15/2020
133,466	RCCF	\$40,000	4/14/2020
133,469	RCCF	\$120,000	9/15/2020
133,479	UCDP	\$50,000	9/16/2020
133,480	UCDP	\$37,500	8/19/2020
TOTAL CAPITAL DISTRICT	16 Projects	\$10,204,079	
CENTRAL NEW YORK REGION			
134,150	EDF	\$150,000	3/17/2021
134,151	EDF	\$150,000	3/17/2021
134,079	LAKE ONTARIO	\$76,072	10/26/2020
133,944	LAKE ONTARIO	\$200,000	10/26/2020
133,945	LAKE ONTARIO	\$197,875	10/26/2020
133,946	LAKE ONTARIO	\$104,600	10/26/2020
133,948	LAKE ONTARIO	\$138,830	10/29/2020
134,077	LAKE ONTARIO	\$200,000	10/26/2020
134,078	LAKE ONTARIO	\$100,000	10/29/2020
133,375	RCCF	\$2,000,000	4/7/2020
133,377	RCCF	\$485,000	9/17/2020
133,386	RCCF	\$280,000	10/13/2020
133,387	RCCF	\$400,000	11/23/2020
133,398	RCCF	\$2,500,000	10/15/2020
133,402	RCCF	\$600,000	10/14/2020
133,400	UCDP	\$100,000	4/2/2020
TOTAL CENTRAL NEW YORK REGION	16 Projects	\$7,682,377	

Section 2: Proposals for Assistance – Evaluated Not Yet Approved 4/1/2020 – 3/31/2021
B. Project Detail by Region (Page 2 of 5)

Project Number	Program	Proposed Assistance	Date Project Initiated
FINGER LAKES REGION			
133,952	LAKE ONTARIO	\$200,000	10/29/2020
133,970	LAKE ONTARIO	\$200,000	10/29/2020
133,971	LAKE ONTARIO	\$152,636	10/29/2020
133,980	LAKE ONTARIO	\$16,393	10/26/2020
133,982	LAKE ONTARIO	\$30,289	10/26/2020
133,983	LAKE ONTARIO	\$200,000	10/29/2020
133,985	LAKE ONTARIO	\$123,540	10/29/2020
133,986	LAKE ONTARIO	\$200,000	11/13/2020
133,987	LAKE ONTARIO	\$144,875	10/29/2020
133,988	LAKE ONTARIO	\$50,265	10/26/2020
133,989	LAKE ONTARIO	\$109,572	10/29/2020
133,990	LAKE ONTARIO	\$150,000	10/29/2020
133,954	LAKE ONTARIO	\$200,000	10/26/2020
133,955	LAKE ONTARIO	\$59,475	10/26/2020
133,956	LAKE ONTARIO	\$49,375	10/26/2020
133,959	LAKE ONTARIO	\$114,939	10/29/2020
133,960	LAKE ONTARIO	\$200,000	10/29/2020
133,961	LAKE ONTARIO	\$68,458	10/26/2020
133,962	LAKE ONTARIO	\$200,000	10/29/2020
133,963	LAKE ONTARIO	\$151,628	10/29/2020
133,964	LAKE ONTARIO	\$200,000	10/29/2020
133,965	LAKE ONTARIO	\$200,000	10/29/2020
133,966	LAKE ONTARIO	\$200,000	10/29/2020
133,968	LAKE ONTARIO	\$200,000	10/29/2020
133,969	LAKE ONTARIO	\$190,654	10/29/2020
133,972	LAKE ONTARIO	\$164,200	10/29/2020
133,974	LAKE ONTARIO	\$101,353	10/29/2020
133,981	LAKE ONTARIO	\$200,000	10/29/2020
133,984	LAKE ONTARIO	\$200,000	10/29/2020
134,083	LAKE ONTARIO	\$153,084	10/26/2020
134,085	LAKE ONTARIO	\$200,000	10/29/2020
133,677	RCCF	\$450,000	4/7/2020
TOTAL FINGER LAKES REGION	32 Projects	\$5,080,736	
LONG ISLAND REGION			
133,321	RCCF	\$90,000	4/28/2020
133,324	RCCF	\$100,000	9/22/2020
133,326	RCCF	\$54,000	4/16/2020

Section 2: Proposals for Assistance – Evaluated Not Yet Approved 4/1/2020 – 3/31/2021
B. Project Detail by Region (Page 3 of 5)

Project Number	Program	Proposed Assistance	Date Project Initiated
LONG ISLAND REGION Continued			
133,331	RCCF	\$95,000	1/6/2021
133,334	RCCF	\$800,000	9/21/2020
133,337	RCCF	\$200,000	1/5/2021
133,338	RCCF	\$1,000,000	1/6/2021
133,345	RCCF	\$3,000,000	9/22/2020
133,349	RCCF	\$600,000	9/21/2020
133,351	RCCF	\$1,000,000	11/24/2020
133,362	RCCF	\$300,000	9/22/2020
133,366	RCCF	\$300,000	9/22/2020
TOTAL LONG ISLAND REGION	12 Projects	\$7,539,000	
MID-HUDSON REGION			
131,960	DRI	\$3,800,000	7/27/2020
134,165	EDF	\$750,000	12/16/2020
133,560	MARKET NY	\$290,000	4/30/2020
133,564	MARKET NY	\$460,000	2/25/2021
133,570	MARKET NY	\$181,000	5/4/2020
133,775	RCCF	\$125,000	12/17/2020
131,960	RCCF	\$2,000,000	7/27/2020
133,421	RCCF	\$200,000	12/17/2020
133,424	RCCF	\$264,000	12/22/2020
133,425	RCCF	\$2,405,000	9/23/2020
133,429	RCCF	\$455,000	12/17/2020
133,431	RCCF	\$1,900,000	12/21/2020
133,436	RCCF	\$240,000	4/13/2020
133,437	RCCF	\$1,900,000	12/21/2020
133,438	RCCF	\$600,000	7/28/2020
133,440	RCCF	\$500,000	12/21/2020
TOTAL MID-HUDSON REGION	16 Projects	\$16,070,000	
MOHAWK VALLEY REGION			
133,786	RCCF	\$1,100,000	11/12/2020
133,788	RCCF	\$1,000,000	11/10/2020
133,277	RCCF	\$950,000	11/12/2020
133,283	RCCF	\$668,980	2/10/2021
133,295	RCCF	\$2,500,000	11/12/2020
134,163	EDF	\$23,920	3/4/2021
134,164	EDF	\$66,184	3/16/2021
133,559	MARKET NY	\$400,000	5/4/2020
133,578	MARKET NY	\$908,742	4/29/2020
133,793	RCCF	\$220,000	5/1/2020
133,257	RCCF	\$1,400,000	7/27/2020
TOTAL MOHAWK VALLEY REGION	11 Projects	\$9,237,826	

Section 2: Proposals for Assistance – Evaluated Not Yet Approved 4/1/2020 – 3/31/2021
B. Project Detail by Region (Page 4 of 5)

Project Number	Program	Proposed Assistance	Date Project Initiated
NORTH COUNTRY REGION			
133,722	DRI	\$375,000	2/4/2021
133,992	LAKE ONTARIO	\$200,000	10/26/2020
133,996	LAKE ONTARIO	\$90,325	10/26/2020
134,007	LAKE ONTARIO	\$15,636	10/26/2020
134,012	LAKE ONTARIO	\$99,775	10/26/2020
134,019	LAKE ONTARIO	\$99,843	11/30/2020
134,021	LAKE ONTARIO	\$43,475	10/26/2020
134,022	LAKE ONTARIO	\$200,000	10/29/2020
134,033	LAKE ONTARIO	\$192,900	10/29/2020
134,047	LAKE ONTARIO	\$50,632	10/26/2020
134,049	LAKE ONTARIO	\$200,000	11/10/2020
134,053	LAKE ONTARIO	\$82,500	10/26/2020
134,058	LAKE ONTARIO	\$187,050	10/29/2020
134,059	LAKE ONTARIO	\$20,275	10/26/2020
134,061	LAKE ONTARIO	\$41,300	10/26/2020
133,993	LAKE ONTARIO	\$30,000	10/26/2020
133,995	LAKE ONTARIO	\$17,500	10/26/2020
133,998	LAKE ONTARIO	\$200,000	10/26/2020
133,999	LAKE ONTARIO	\$130,050	10/29/2020
134,002	LAKE ONTARIO	\$200,000	10/26/2020
134,005	LAKE ONTARIO	\$54,598	10/26/2020
134,006	LAKE ONTARIO	\$40,400	10/26/2020
134,009	LAKE ONTARIO	\$188,392	10/29/2020
134,014	LAKE ONTARIO	\$200,000	12/1/2020
134,016	LAKE ONTARIO	\$144,243	10/29/2020
134,017	LAKE ONTARIO	\$78,997	10/26/2020
134,018	LAKE ONTARIO	\$200,000	10/29/2020
134,020	LAKE ONTARIO	\$200,000	10/29/2020
134,023	LAKE ONTARIO	\$200,000	10/29/2020
134,024	LAKE ONTARIO	\$200,000	10/30/2020
134,025	LAKE ONTARIO	\$200,000	12/1/2020
134,026	LAKE ONTARIO	\$200,000	10/29/2020
134,027	LAKE ONTARIO	\$200,000	10/29/2020
134,028	LAKE ONTARIO	\$199,000	10/29/2020
134,032	LAKE ONTARIO	\$200,000	10/29/2020
134,038	LAKE ONTARIO	\$110,482	10/29/2020
134,039	LAKE ONTARIO	\$17,500	10/26/2020
134,041	LAKE ONTARIO	\$86,450	10/26/2020
134,043	LAKE ONTARIO	\$195,413	10/29/2020
134,045	LAKE ONTARIO	\$130,250	10/26/2020
134,046	LAKE ONTARIO	\$200,000	10/29/2020
134,048	LAKE ONTARIO	\$168,848	10/29/2020
134,050	LAKE ONTARIO	\$200,000	10/26/2020
134,052	LAKE ONTARIO	\$170,950	12/1/2020
134,057	LAKE ONTARIO	\$13,400	10/26/2020
134,060	LAKE ONTARIO	\$60,120	1/20/2021
134,063	LAKE ONTARIO	\$90,110	10/26/2020

Section 2: Proposals for Assistance – Evaluated Not Yet Approved 4/1/2020 – 3/31/2021
B. Project Detail by Region (Page 5 of 5)

Project Number	Program	Proposed Assistance	Date Project Initiated
NORTH COUNTRY REGION Continued			
134,064	LAKE ONTARIO	\$81,560	10/26/2020
133,572	MARKET NY	\$250,000	4/29/2020
133,417	RCCF	\$20,849	4/14/2020
133,405	RCCF	\$3,000,000	4/22/2020
133,412	RCCF	\$500,000	4/22/2020
133,416	RCCF	\$97,000	4/22/2020
133,418	RCCF	\$700,000	5/1/2020
TOTAL NORTH COUNTRY REGION	54 Projects	\$11,074,823	
SOUTHERN TIER REGION			
133,566	DRI	\$439,000	8/3/2020
133,525	RCCF	\$599,000	10/28/2020
133,526	RCCF	\$220,000	3/16/2021
133,530	RCCF	\$200,000	10/13/2020
133,535	RCCF	\$700,000	11/25/2020
133,546	RCCF	\$2,280,000	8/12/2020
TOTAL SOUTHERN TIER REGION	6 Projects	\$4,438,000	
WESTERN NEW YORK REGION			
133,766	BRIC	\$500,000	7/20/2020
133,228	BRIC	\$1,000,000	8/19/2020
133,784	BRIC	\$400,000	4/29/2020
132,247	DRI	\$194,000	8/11/2020
133,713	DRI	\$2,000,000	11/5/2020
133,135	DRI	\$1,350,890	8/28/2020
134,065	LAKE ONTARIO	\$200,000	10/29/2020
134,066	LAKE ONTARIO	\$90,527	10/26/2020
134,067	LAKE ONTARIO	\$30,700	10/26/2020
134,068	LAKE ONTARIO	\$52,000	10/26/2020
134,069	LAKE ONTARIO	\$44,056	10/26/2020
134,071	LAKE ONTARIO	\$200,000	10/29/2020
134,073	LAKE ONTARIO	\$200,000	10/29/2020
134,074	LAKE ONTARIO	\$182,013	10/29/2020
134,075	LAKE ONTARIO	\$65,226	10/26/2020
134,076	LAKE ONTARIO	\$20,000	10/26/2020
133,228	RCCF	\$2,700,000	8/19/2020
133,489	RCCF	\$600,000	1/8/2021
133,494	RCCF	\$323,000	1/8/2021
133,497	RCCF	\$200,000	1/8/2021
133,500	UCDP	\$15,000	1/8/2021
TOTAL WESTERN NEW YORK REGION	21 Projects	\$10,367,412	
Grand Total	184 Projects	\$81,694,253	

**SECTION 3: PROPOSALS FOR ASSISTANCE EVALUATED –
NO FURTHER ACTION RECOMMENDED
FISCAL YEAR APRIL 1, 2020 – MARCH 31, 2021**

Awards are broken down by Program and fall into the following two categories: 1) the company or organization accepted ESD’s offer of assistance, but it did not meet requirements for ESD’s approval; or 2) assistance was approved by ESD, but the company or organization did not meet the requirements for the disbursement of funds. There were no awards in the first category during FY 2020-21.

Appropriation	# Of Awards	Amount
Market New York Program	3	\$372,600
Total	3	\$372,600