



**NEW YORK**  
STATE OF  
OPPORTUNITY™

**Empire State  
Development**

**ADDENDUM III** (Revised Schedule of Dates)

**COMMON REQUEST FOR PROPOSALS  
FOR  
UNDERWRITING SERVICES  
AND  
FINANCIAL ADVISORS**

**ISSUED:** November 18, 2019

**IMPORTANT NOTICE:** A restricted period under the Procurement Lobbying Law is currently in effect for this Procurement and it will remain in effect until approval of the Contract. Bidders are prohibited from contact related to this procurement with any New York State employee other than the designated contacts listed below (refer to: Section IX – Letter i).

Designated Contacts for this Procurement:

Primary Contact: Ralph Volcy  
Secondary Contact: John Discolo

All contacts/inquiries shall be made by email to the following address:

[Underwriters&Advisors-RFP@esd.ny.gov](mailto:Underwriters&Advisors-RFP@esd.ny.gov)

This RFP is posted on the Empire State Development website:

<https://esd.ny.gov/doing-business-ny/requests-proposals>

**PROPOSAL DUE DATE AND TIME**

~~**December 13, 2019 by 2:00 PM EST**~~

**December 20, 2019 by 3:00 PM EST**

## I. SCHEDULE OF DATES

It is anticipated that a contract will be awarded in response to this RFP based on the following schedule:

|  |  |
|--|--|
| Release of RFP                           | November 18, 2019  |
| Deadline for Submission of Questions     | November 29, 2019 by 3:00 PM EST   |
| Deadline for ESD to Respond to Questions | December 4, 2019   |
| Submission of Proposals (date and time)  | <del>December 13, 2019 by 2:00 PM EST</del><br><u>December 20, 2019 by 3:00 PM EST</u> |
| Interviews (if necessary)                | <del>Week of December 30, 2019</del><br><u>Week of January 6, 2020</u>                 |
| Announcement of Successful Bidder        | TBD  |
| Anticipated Contract Start Date          | TBD  |

Please note, the Corporation reserves the right to change any or all the dates stated in this RFP as it deems necessary or convenient in its discretion. In the event of such a date change, a revised timeline will be posted to the ESD website <http://www.esd.ny.gov/CorporateInformation/RFPs.html>. It is the responsibility of responding firms to regularly check this site for any changes/updates.

*Each of the foregoing is added to and made part of the RFP. Any questions regarding this addendum may be submitted to the designated contact account at [Underwriters&Advisors-RFP@esd.ny.gov](mailto:Underwriters&Advisors-RFP@esd.ny.gov).*