

Appendix A

Application Cover Sheet

Attach this form to the top of your Technical Proposal

POSITION(S) APPLYING FOR (a firm that checks the box for Financial Advisor may not check any other box for any other position):

- ☐ Senior Managing Underwriter
☐ Co-Managing Underwriter
☐ Selling Group Member
☐ Financial Advisor

DATE OF APPLICATION: _____

GENERAL INFORMATION:

Legal Name of Firm: _____

Firm's Mailing Address: _____

Firm's Website Address: _____

Firm's Main Telephone Number (including area code): _____

Federal Tax ID Number: _____

FINRA and/or SEC Registration Number: _____

Minority and/or Women Owned Business Enterprises ("MWBEs") Registration Number: _____

(For MWBE firms that are not certified but have applied for certification, please provide evidence of filing, including the filing date.)

Service-Disabled Veteran-Owned Business Enterprises ("SDVOBs") Registration Number: _____

(For SDVOBs that are not certified but have applied for certification, please provide evidence of filing, including the filing date.)

MAIN CONTACT INFORMATION FOR THIS PROPOSAL:

Please list the individual that will be the main contact regarding this proposal:

Contact Name: _____

Contact Telephone Number (including area code): _____

Contact E-mail Address: _____